From: Julia Williams juliawilliams@clintonlaw.net & Subject: Dulberg v Popovich et al; Mast Deposition

Date: July 10, 2020 at 2:57 PM

To: Paul Dulberg pdulberg@comcast.net

Cc: Mary Winch marywinch@clintonlaw.net, Ed Clinton ed@clintonlaw.net

Dear Paul,

Attached please find Hans Mast deposition transcript.

Best Regards,

Julia Williams
Of Counsel
The Clinton Law Firm
111 W. Washington, Ste. 1437
Chicago, IL 60602
P:312.357.1515
F: 312.201.0737
juliawilliams@clintonlaw.net

This message may be privileged and confidential. If you are not the intended recipient, please delete the email and notify the sender immediately.



Dulberg Hans Mast d...25.zip



CONTRACT FOR LEGAL SERVICES

persons o	ter "my attor	e to employ the rney") to represen sponsible for causi	it me in the prose	ecution or settles	ment of my o	daim agains
in my cla consent.	My att nim. The app	orney agrees to m proval of any settle	ake no charge fo ement amount ca	r legal services t nnot be made w	unless a recovition	very is made owledge and
inderstar including video fee settlemen	% in the ever nd my attorn s, but not lim s, records fea	e to pay my attorn of my recovery front my claim result ey may need to in ited to, expenses a es, and physician in to my attorney's	om my claim by its in more than on the concur reasonable such as accident fees. I understant legal fee.	suit or settleme one (1) trial and, expenses in pro- reports filing f	nt; this will i /or an appeal perly handlin ees, court re s will be take	increase to I of a trial. I ng my claim porters fees, en out of my
Client			By:			
Date:			Date:			<u> </u>
3416 We	st Elm Street , Illinois 600		POVICH, P.C.			

Date: 6/25/20
Court Reporter: Barb Smith

		Exhibit
STATE OF ILLINOIS))SS	Witness: Hans Mast Date: 6/25/20 Court Reporter: Barb Smith
COUNTY OF McHENRY)	



IN THE CIRCUIT COURT OF THE TWENTY-SECOND JUDICIAL CIRCUIT McHENRY COUNTY, ILLINOIS

PAUL DULBERG,)		
Plaintiff,)		
vs.)	No.:	12LA178
DAVID GAGNON, Individually, and as)		·
Agent of CAROLINE MCGUIRE and BILI	L)		
MCGUIRE, and CAROLINE MCGUIRE)		
and BILL MCGUIRE, Individually,)		
)		
Defendants.)		

COMPLAINT

NOW COMES the Plaintiff, PAUL DULBERG, by his attorneys, LAW OFFICES OF THOMAS J. POPOVICH, P.C., and complaining against the Defendants, DAVID GAGNON, Individually, and as Agent of CAROLINE McGUIRE and BILL McGUIRE, and CAROLINE McGUIRE and BILL McGUIRE, individually, and states as follows:

Count I

Paul Dulberg vs. David Gagnon, individually, and as Agent of Caroline and Bill McGuire

- On June 28, 2011, the Plaintiff, PAUL DULBERG, lived in the City of McHenry,
 County of McHenry, Illinois.
- 2. On June 28, 2011, Defendants CAROLINE McGUIRE and BILL McGUIRE lived, controlled, managed and maintained a single family home located at 1016 W. Elder

lived, controlled, managed and maintained a single	
Avenue, in the City of McHerry County of McHerry Local Rule 3.10	eelanti being enleked. Heing diswissed ok an order geonilli, yta
THIS GASE IS HEREBY SET FOR SCHEDOL	ON M9 MA TA
ENLUGE TO ARREAR MAY RESULT IN THE CA	HIS CASE IS HEREBY SET FOR SCHEDULING
BEING DISMISSED OR AN ORDER	MOTICE

POP 001163

- 3. On June 28, 2011, the Defendant, DAVID GAGNON, was living and/or staying at his parent's home at 1016 W. Elder Avenue, in the City of McHenry, County of McHenry, Illinois.
- 4. On June 28, 2011, the Defendants, CAROLINE McGUIRE and BILL McGUIRE contracted, hired the Defendant, DAVID GAGNON, to cut down, trim and/or maintain the trees and brush at their premises at 1016 W. Elder Avenue, in the City of McHenry, County of McHenry, Illinois.
- 5. On June 28, 2011, and at the request and with the authority and permission of the Defendants CAROLINE McGUIRE and BILL McGUIRE, and for their benefit, the Defendant, DAVID GAGNON, was working under their supervision and control while engaged in cutting, trimming and maintaining trees and brush at the premises at 1016 W. Elder Avenue, in the City of McHenry, County of McHenry, Illinois.
- 6. On June 28, 2011, as part of his work at the subject property, the Defendant, DAVID GAGNON, was authorized, instructed, advised and permitted to use a chainsaw to assist him in his work for Defendants, CAROLINE McGUIRE and BILL McGUIRE, which was owned by the McGuires.
- 7. On June 28, 2011, the Defendant, DAVID GAGNON, was under the supervision and control of Defendants, CAROLINE McGUIRE and BILL McGUIRE, and was working as their apparent and actual agent, and was then acting and working in the scope of his agency for Defendants, CAROLINE McGUIRE and BILL McGUIRE.

- 8. On June 28, 2011, and while the Defendant, DAVID GAGNON, was working in the course and scope of his agency for Defendants, CAROLINE McGUIRE and BILL McGUIRE, and was under their supervision and control, Defendant, DAVID GAGNON was in use of a chainsaw while trimming a tree and branch.
- 9. On June 28, 2011, and while Defendant, DAVID GAGNON, was in use of a chainsaw while trimming a tree and branch, Defendant, DAVID GAGNON, asked for and/or requested the assistance of the Plaintiff, PAUL DULBERG, to hold the tree branch while Defendant, DAVID GAGNON, trimmed the branch with the chainsaw.
- 10. On June 28, 2011, and while Defendant, DAVID GAGNON, was in sole control, use and operation of the subject chainsaw, the chainsaw was caused to strike and injure the Plaintiff, PAUL DULBERG.
- 11. At all relevant times, Defendants, CAROLINE McGUIRE and BILL McGUIRE, knew of Defendant, DAVID GAGNON's use of the chainsaw in the presence of the Plaintiff, PAUL DULBERG, and knew that such created a danger to the Plaintiff, PAUL DULBERG's safety.
- 12. That at all relevant times, the Defendants, DAVID GAGNON, as agent of CAROLINE McGUIRE and BILL McGUIRE, owed a duty to use care and caution in his operation of a known dangerous instrumentality.

- 13. On June 28, 2011, the Defendant, DAVID GAGNON, was negligent in one or more of the following ways:
 - a. Failed to maintain control over the operating of the chainsaw;
 - Failed to take precaution not to allow the chainsaw to move toward the Plaintiff,
 PAUL DULBERG, so as to cause injury;
 - c. Failed to warn the Plaintiff, PAUL DULBERG, of the dangers existing from the Defendant, DAVID GAGNON's inability to control the chainsaw;
 - failed to keep a proper distance from the Plaintiff, PAUL DULBERG, while operating the chainsaw;
 - e. Otherwise was negligent in operation and control of the chainsaw.
- 14. That as a proximate result of the Defendant's negligence, the Plaintiff, PAUL DULBERG, was injured externally; he has experienced and will in the future experience pain and suffering; he has been permanently scarred and/or disabled; and has become obligated for large sums of money for medical bills and will in the future become obligated for additional sums of money for medical care, and has lost time from work and/or from earning wages due to such injury.
- 15. That at the above time and date, the Defendant's negligence can be inferred from the circumstances of the occurrence as the instrument of the injury was under the control of the Defendant and therefore, negligence can be presumed under the doctrine of *Res Ipsa Loquitur*.

WHEREFORE, Plaintiff, PAUL DULBERG, demands judgment against Defendants,
DAVID GAGNON, and CAROLINE McGUIRE and BILL McGUIRE in an amount in excess of
\$50,000.00, plus costs of this action.

Count II

Paul Dulberg vs. Caroline McGuire and Bill McGuire

- 1 15. That the Plaintiff, PAUL DULBERG, restates and realleges paragraphs 1 through14, in Count I, above, as paragraphs 1 through 15 of Count II, as if fully alleged herein.
- 16. That at all relevant times, the Defendants, CAROLINE McGUIRE and BILL McGUIRE, owned, controlled, maintained and supervised the premises whereat the accident to the Plaintiff, PAUL DULBERG, occurred.
- 17. That at all relevant times, the Defendants, CAROLINE McGUIRE and BILL McGUIRE, were in control of and had the right to advise, instruct and demand that the Defendant, DAVID GAGNON, act or work in a safe and reasonable manner.
- 18. That at all relevant times, the Defendant, DAVID GAGNON, was acting as the agent, actual and apparent, of Defendants, CAROLINE McGUIRE and BILL McGUIRE, and was acting at their request and in their best interests and to their benefit as in a joint enterprise.
- 19. That at all relevant times, Defendants, CAROLINE McGUIRE and BILL McGUIRE, knew DAVID GAGNON was operating a chainsaw with the assistance of the Plaintiff, PAUL DULBERG, and had the right to discharge or terminate the Defendant, DAVID GAGNON's work for any reason.
- That at all relevant times, Defendants, CAROLINE McGUIRE and BILL McGUIRE, owed a duty to supervise and control Defendant, DAVID GAGNON's activities on the property so as not to create a unreasonable hazard to others, including the Plaintiff, PUAL DULBERG.

- 21. On June 28, 2011, the Defendants, CAROLINE McGUIRE and BILL McGUIRE, were negligent in one or more of the following ways:
 - a. Failed to control operation of the chainsaw;
 - Failed to take precaution not to allow the chainsaw to move toward the Plaintiff,
 PAUL DULBERG, so as to cause injury;
 - c. Failed to warn the Plaintiff, PAUL DULBERG, of the dangers existing from the Defendant's inability to control the chainsaw;
 - Failed to keep the chainsaw a proper distance from the Plaintiff, PAUL
 DULBERG, while operating the chainsaw;
 - e. Otherwise was negligent in operation and control of the chainsaw.
- 22. That as a proximate result of the Defendant's negligence, the Plaintiff, PAUL DULBERG, was injured externally; he has experienced and will in the future experience pain and suffering; he has been permanently scarred and/or disabled; and has become obligated for large sums of money for medical bills and will in the future become obligated for additional sums of money for medical care, and has lost time from work and/or from earning wages due to such injury.

WHEREFORE, Plaintiff, PAUL DULBERG, demands judgment against Defendants, CAROLINE McGUIRE and BILL McGUIRE, in an amount in excess of \$50,000.00, plus costs of this action.

LAW OFFICES OF THOMAS J. POPOVICH, P.C.

One of the Attorneys for Plaintiff

Hans A. Mast LAW OFFICES OF THOMAS J. POPOVICH, P.C. 3416 West Elm Street Lake, Illinois 60050 (815) 344-3797 ARDC No. 06203684

STATE OF ILLINOIS)
COUNTY OF McHENRY)SS)
IN THE CIRCUIT	COURT OF THE TWENTY-SECOND JUDICIAL CIRCUIT McHENRY COUNTY, ILLINOIS
PAUL DULBERG,)
Plaintiff,)
VS.)) No.:)
DAVID GAGNON, Individ Agent of CAROLINE MCC MCGUIRE, and CAROLIN and BILL MCGUIRE, Indi-	GUIRE and BILL) WE MCGUIRE)
Defendants.))
:	AFFIDAVIT
I, HANS A. MAST,	being first duly sworn on oath, depose and state as follows:
1. That I am on case.	e of the attorneys responsible for the prosecution of the above-entitled
	If Plaintiff, PAUL DULBERG, I am hereby requesting money damages \$50,000.00, together with the costs of this action, against each of the
FURTHER, Affiant	sayeth naught.
	LAW OFFICES OF THOMAS J. POPOVICH, P.C.
Hans A. Mast LAW OFFICES OF THOM 3416 West Elm Street McHenry, Illinois 60050 (815) 344-3797 ARDC No. 06203684	AS J. POPOVICH, P.C.

MEMORANDUM

TO:

Tom, Marla, Diana and Alarie

FROM:

Hans

DATE:

December 5, 2011

SUBJECT:

PAUL DULBERG - NEW CLIENT

On December 1, 2011, I met Paul Dulberg and his mother, concerning a recent injury that Paul suffered at a friend's house due to a chainsaw accident on June 28, 2011. Paul was referred to our office by a former client, Hubert McArtor. Paul had previously been with Francisco Botto in Woodstock but they rejected the case. He did sign a contract with Botto but we have correspondence in the file that they rejected him and sent him away. They did not refer him to us. Apparently, they rejected the case because they did not think that they would be able to prove that the defendant was more than 50% negligent causing the accident. I disagree.

Paul's contact information is as follows:

Paul Dulberg 4606 Hayden Court McHenry, IL 60051 847/497-4250 SSN 323-76-4001 DOB 03-19-70 DOA 06/28/11 Exhibit 3
Witness: Hans Mast
Date: 6/25/20
Court Reporter: Barb Smith

Paul describes that he was at a friend's house, Caroline and Bill McGuire who live at 1016 W. Elder Avenue, McHenry, IL 60051, phone 815/344-4274. The McGuire's son, Dave was at the home and Dave was cutting up some tree limbs in the yard. Caroline had called Paul over to the house earlier to see if he wanted the wood for firewood. Dave asked Paul to hold some tree limbs while he cut them up. He had held two tree branches previously and then about an hour after he arrived he was holding another branch and Dave suddenly swung around with the chainsaw rising it in the air and cutting his Paul's forearm severely while holding on to a branch. Paul said that the chainsaw went about 40% through his forearm. Dave took him immediately to NIMC where they stitched him up. It was a very deep and open wound. Unfortunately, he did not take any photos of the wound. Instead, he followed up with his family physician, Dr. Frank Sek on Route 120 in McHenry who removed the stitches about a week later. Dr. Sek thought there was going to be possible nerve damage due to his arm being very painful. About two weeks later he went to a doctor at the Associates in Neurology in Libertyville and they took and EMG test which found that there were some branch nerves that had been severed which may be the cause of his ongoing pain. They thought that the pain was probably more ligamentous and they referred him to Dr. Paul Papierski, phone 847/247-0547. That was in August. He has not returned to see Dr. Papierski because apparently they needed an MRI and he did not have money to pay for an MRI. I urged him to return to see Dr. Papierski and to have the MRI done even if they have to hold a lien on our case. His arm is very painful when he lifts anything and he drops things continuously. He said that he will follow up with Dr. Papierski and advise me further what needs to be done as far as treatment.

At the time of the accident he was not employed but he had been just recently hired when the accident occurred by AMS Screw Products in Spring Grove. He was going to earn \$12 an hour for 40 hours per week. He had talked to Karen over at AMS Screw and she agreed to hire him, but unfortunately, he was injured before he could start work. Their phone number is 732/545-8888x231.

The central issue in my view in this case is whether there is insurance coverage. Since the son was not living with the McGuire's at the time of the accident, it may be that David Gagnon is not going to be insured for the accident. However, Paul and his mother advised me that Dave also had a home and lived at 39010 90th Place in Genoa City, Wisconsin. Therefore, hopefully he has homeowner's insurance that will apply to this claim as well as med-pay coverage to help pay for the MRI that needs to be done.

The McGuire's were insured by:

Auto Owners Insurance Tom Malatia, Adjuster 6000 Tallgate Road, Suite D Elgin, IL 60123 847/587-3077 847/531-5420 847/531-8063x3808 gen. # Claim No. 13-2779-11

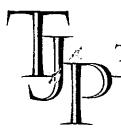
By copy of this memo, I ask Alarie to set up a new file.

By copy of this memo, I ask **Marla** to order the medical records and bills from NIMC, Dr. Frank Sek and Associates in Neurology in Libertyville. Please also diary the 1 and 2 year SOL deadlines in this case.

Thanks,

Hans

S:\Main\DULBERG, PAUL\Memos\Memo re new client 12-5-11,wpd



THOMAS J. POPOVICH

HANS A. MAST

JOHN A. KORNAK

The Law Offices of Thomas J. Popovich P.C.

3416 W. Elm Street McHenry, Illinois 60050 Telephone: 815.344.3797

FACSIMILE: 815.344.5280

www.popovichlaw.com

Mark J. Vogg James P. Tutaj Robert J. Lumber

THERESA M. FREEMAN

October 22, 2013

VIA FACSIMILE: 815/226-7701

Ronald A. Barch Cicero, France, Barch & Alexander, PC 6323 E. Riverside Blvd. Rockford, IL 61114

RE: Paul Dulberg vs. David Gagnon, Caroline McGuire and Bill McGuire

McHenry County Case: 12 LA 178

Dear Mr. Barch:

I recently discussed this claim with my client. We are prepared to let your clients out of the case for \$7,500 at this point. Please advise how you wish to proceed.

Thank you for your cooperation.

Very truly yours,

HANS A. MAST

smq

Exhibit 4
Witness: Hans Mast
Date: 6/25/20
Court Reporter: Barb Smith

** Transmit Conf.Report **

P.I LAW OFFICE T POPOVICH Fax 1-815-344-5280 Oct 22 2013 12:24pm

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THOMAS J. POPOVICH

HANS A. MAST

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3416 W. Elm Street McHenry, Illinois 60050

TELEPHONE: 815.344.3797 FACSIMILE: 815.344.5280

www.popovichlaw.com

MARK J. VOGG JAMES P. TUTAJ ROBERT J. LUMBER THERESA M. FREEMAN

John A. Kornak
October 22, 2013

VIA FACSIMILE: 815/226-7701

Ronald A. Barch Cicero, France, Barch & Alexander, PC 6323 E. Riverside Blvd. Rockford, IL 61114

RE: Paul Dulberg vs. David Gagnon, Caroline McGuire and Bill McGuire McHenry County Case: 12 LA 178

Dear Mr. Barch:

I recently discussed this claim with my client. We are prepared to let your clients out of the case for \$7,500 at this point. Please advise how you wish to proceed.

Thank you for your cooperation.

Very truly yours,

HANS A. MAST

smq

XFINITY Connect

hansmast@comcast.ne

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Re: Medical depositions

From: Hans Mast < hansmast@comcast.net>

Wed, Oct 30, 2013 02:34 PM

Subject: Re: Medical depositions

To: Paul Dulberg <pdulberg@comcast.net>
Cc: Hans Mast <hansmast@comcast.net>

Paul, here are my thoughts regarding your case. There are two issues. The first liability, or whether Mr. Gagnon is liable for your injury. If he is not proven liable, then it does not matter how badly you were hurt since he will not be found responsible for your damages. The second issue is your damages, or to what extent you were injured due to Mr. Gagnon's acts. Both of these issues are strongly contested in your case.

As to liability, there were no witnesses to the accident. So, whether Mr. Gagnon will be held responsible for your damages is uncertain and a gamble. That is because it is your word against his word. Our argument is that you were simply holding a limb when he caused the chain saw to strike you. His argument is that you moved your arm in the path of the chain saw unexpectedly. If the jury determines that we did not prove your "version" of the accident, then they can find against you and in favor of Mr. Gagnon at trial.

As to damages, the issue is complicated. That is because your treating physicians do not all agree on exactly what injury you suffered or whether your had a fully recovery or not.

Dr. Talerico at MidAmerica Hand and Shoulder, saw you twice. The first time was in December, six months after your injury. He was not supportive of your claim in most respects. He didn' t really feel there was anything wrong with you - as to the forearm. He said that you complained mostly of pain radiating down the forearm from the laceration site with numbness and tingling. On exam he noted no tenderness and it was mostly a normal presentation. Strength was good. He did not see any nerve problem. He prescribed physical therapy due to a muscular sort of symptomology - not nerve related. Apparently you did only 2 sessions of therapy and returned January, 2012. No new complaints at the time. The EMG was normal. He did not believe you were disabled. He continued you on therapy. He saw no evidence of nerve problems. The only symptoms were subjective - not represented by any abnormal exam finding. Dr. Sagerman has also been deposed. I will summarize his testimony for your soon. His was more favorable, but still limited in what he related to the chain saw accident. Apparently he does not believe you presently have any symptoms relatable to the chain saw injury. Think about these issues. I will provide you Dr. Sagerman's summary soon.

----- Original Message -----From: Paul Dulberg <pdulberg@comcast.net>To: Hans Mast <hansmast@comcast.net>Sent: Fri, 25 Oct 2013 13:18:24 -0000 (UTC)Subject: Medical depositions

Morning Hans, Wanted to touch base this morning because the call from you about something not being medically linked has been on my mind. I'm not sure what was said during that deposition with the drs that prompted the call but I have been thinking about what it could be. Most likely it was the right elbow procedure. During my deposition I remember being asked if the two were linked and I answered yes. Let me explain my answer to you. If the chainsaw had not gone through my arm then the procedure on my elbow wouldn't have happened. That procedure was exploratory to find what was bothering the arm from the chainsaw. Upon opening up the arm they did find some compressions which from my understanding was nothing unusual for a male of my age and very well may or may not have happened during my retreat from the chainsaw when I ended up half way across the yard on the ground. Incidental finding or not it still would not have been found if I hadn't had the chainsaw incident. So as I see it they are linked good or bad and cannot be separated. The exploratory procedure was to find and possibly fix issues relating to the chainsaw incident. They also removed a ton of scar tissue in the forearm on the same day during the same exploratory procedure that was a direct result of the chainsaw.

Hope this helps explain things better.Let me know, Thanks, Paul

Paul Dulberg847-497-425QSent from my iPad

Exhibit 5
Witness: Hans Mast
Date: 6/25/20
Court Reporter: Barb Smith

From: Paul Dulberg <pdulberg@comcast.net>

Subject: Fwd: 3 pm meeting

Date: December 28, 2016 10:39:25 AM CST

To: paul_dulberg@comcast.net

From: Paul Dulberg comcast.net>
Date: November 5, 2013 at 9:46:33 AM CST
To: Hans Mast hansmast@comcast.net>

Subject: Re: 3 pm meeting

Hans,

Below is a link to an article talking about the integration of digital cameras at the centegra facilities.

A friend of mine who works at NIMC for well over a decade just replied to me and she says everything is recorded and available exactly for the purposes we discussed.

http://www.sdmmag.com/articles/print/success-stories-in-integrating-video-surveillance

Paul Dulberg 847-497-4250 Sent from my iPad

On Nov 5, 2013, at 9:00 AM, Hans Mast < hansmast@comcast.net > wrote:

no chance, sorry

---- Original Message -----

From: Paul Dulberg cpdulberg@comcast.net>
To: Hans Mast <hansmast@comcast.net>
Sent: Mon, 04 Nov 2013 22:54:28 -0000 (UTC)

Subject: Re: 3 pm meeting

Also

Any chance the hospital (centegra) has video of their parking lots? This could disprove David's claim of talking before entering the ER.

Thanks, Paul Paul Dulberg 847-497-4250

Sent from my iPad

On Nov 4, 2013, at 11:49 AM, Hans Mast < hansmast@comcast.net > wrote:

No need to bring anything, your mom is welcome...

---- Original Message -----

From: Paul Dulberg comcast.net>
To: Hans Mast <hansmast@comcast.net>
Sent: Mon, 04 Nov 2013 17:31:20 -0000 (UTC)

Subject: 3 pm meeting

нı напs,

Curious if I should bring anything with me at 3 pm?

Mind if my Mom comes along? Thanks and see you soon,

Paul

Paul Dulberg 847-497-4250 Sent from my iPad

Exhibit 6

Witness: Hans Mast
Date: 6/25/20
Court Reporter: Barb Smith

XFINITY Connect

hansmast@comcast.ne

+ Font Size -

Re: Dave's Best and oldest friend John

From: Paul Dulberg <pdulberg@comcast.net>

Tue, Nov 19, 2013 02:29 AM

Subject: Re: Dave's Best and oldest friend John

To: Hans Mast < hansmast@comcast.net>

I still don't get how they don't feel responsible for work done on their property by their own son that ended up cutting through 40% of my arm.

Perhaps their negligence is the fact that they didn't supervise the work close enough but they did oversee much of the days activity with David. Just because Dave was doing the work doesn't mean they were not trying to tell their kid what to do. They told him plenty of times throughout the day what to do. How is that not supervising?

Paul

Paul Dulberg 847-497-4250 Sent from my iPad

- > On Nov 18, 2013, at 8:07 PM, Hans Mast <hansmast@comcast.net> wrote:
- > Paul whether you like it or not they don't have a legal liability for your injury because they were not directing the work. So if we do not accept their 5000 they will simply file a motion and get out of the case for free. That's the only other option is letting them file motion getting out of the case
- > Sent from my iPhone

>> On Nov 18, 2013, at 7:40 PM, Paul Dulberg <pdulberg@comcast.net> wrote:

>>

>>

- >> Only 5, That's not much at all.
- >> Is this a take it or leave it or do we have any other options?
- >> If you want a negligence case for the homeowners ask what happened immediately after the accident.
- >> Neither of them offered me any medical assistance nor did either of them call 911 and all Carol could think of besides calling David an idiot was calling her homeowners insurance.
- >> They all left me out in the yard screaming for help while they were busy making sure they were covered.

>>

>> She even went as far as to finally call the Emergency Room after I was already there just to tell me she was covered.

>> How selfish are people when they worry about if their insured over helping the person who was hurt and bleeding badly in their yard. >>

>> I'm glad she got her answer and had to share it with me only to find out her coverage won't even pay the medical bills.

>> I'm not happy with the offer.

>> As far as John Choyinski, he knows he has to call you and said he will tomorrow.

>> Paul

>> >> >>

>>

>> Paul Dulberg

>> 847-497-4250

>> Sent from my iPad

>>

>>> On Nov 18, 2013, at 1:28 PM, Hans Mast <hansmast@comcast.net> wrote:

>>>

>>> Im waiting to hear from John. I tried calling him last week, but no one answered.

>>>

>>> In addition, the McGuire's atty has offered us (you) \$5,000 in full settlement of the claim against the McGuires only. As we discussed, they have no liability in the case for what Dave did as property owners. So they will likely get out of the case on a motion at



some point, so my suggestion is to take the \$5,000 now. You probably won't see any of it due to liens etc. but it will offset the costs deducted from any eventual recovery....

- >>>
- >>> Let me know what you think..
- >>>
- >>> Hans
- >>> ---- Original Message -----
- >>> From: Paul Dulberg <pdulberg@comcast.net>
- >>> To: Hans Mast <hansmast@comcast.net>
- >>> Sent: Fri, 15 Nov 2013 22:41:26 -0000 (UTC)
- >>> Subject: Dave's Best and oldest friend John
- >>> Hans,
- >>> Just spoke with John Choyinski again about talking with you.
- >>> I am leaving your number with him as he has agreed to talk with you about David Gagnon.
- >>> I believe he will try and call sometime tomorrow.
- >>> Paul
- >>> Oh and I know that nothing that happened right after the incident makes any difference as to the validity of the injuries but David's conduct immediately after the incident does show his lack of moral values for other humans and what he was willing and was not willing to do to help me get medical help. For his actions towards me or any other human being is enough to sue the shit out him alone. It is the things that happened afterwards that upset me the most.
- >>> Sorry for the rant but Dave was a complete ass all the way and deserves this.
- >>> Paul Dulberg
- >>> 847-497-4250
- >>> Sent from my iPad

CICERO, FRANCE, BARCH & ALEXANDER, P.C.

A Professional Corporation
Attorneys at Law
6323 EAST RIVERSIDE BOULEVARD
ROCKFORD, ILLINOIS 61114

PAUL R. CICERO
JOHN W. FRANCE
RONALD A. BARCH
CHARLES P. ALEXANDER

TEL: (815) 226-7700 FAX: (815) 226-7701

November 18, 2013

CHANTEL R. BIELSKIS ANDREW T. SMITH

Attorney Hans A. Mast Law Offices of Thomas J. Popovich, PC 3416 West Elm Street McHenry, IL 60050

Case:

Paul Dulberg v. David Gagnon, Caroline McGuire and Bill McGuire

(McHenry County Case No. 12 LA 178)

Issued For Settlement Purposes Only

Dear Mr. Mast:

I am writing to confirm our telephone conversation earlier this morning, wherein I advised you that I was authorized to propose settlement of Mr. Dulberg's claim against Carolyn and Bill McGuire for a lump-sum total of \$5,000.00. The settlement would of course be contingent upon customary settlement documents, including a release, a good faith settlement finding and dismissal.

Pursuant to your request, I searched my file materials for lien notices. The only notice of lien contained in my file at this time is your Attorney's Lien (enclosed). I have asked my contact at Auto-Owners Insurance Company to confirm no lien notices have arrived on his end since Mr. Dulberg's case was assigned to me for the defense of Mr. and Mrs. McGuire. I do not anticipating any lien notices, but just wanted to be safe.

I understand that you intend to run my settlement proposal by Mr. Dulberg. I look forward to hearing from you once you have had a chance to confer with him.

Very truly yours,

RONALD A. BARCH

RB:mj\37ltr.HAM

Tom Malatia (Claim No. 13-2779-11)

Encl.

Exhibit 8
Witness: Hans Mast
Date: 6/25/20
Court Reporter: Barb Smith

MEMORANDUM

TO: File

FROM: Hans

DATE: November 20, 2013

SUBJECT: PAUL DULBERG

On November 20, 2013, I met with Paul and his friend to discuss the McGuire's \$5,000 settlement offer and other issues with regard to this case. I also told them there is a dispute as to McGuire's liability, as they maintain that they were not directing Dave's work. Paul maintains that the McGuire's controlled everything that Dave was doing. I told him that that's not what the evidence seems to show. I told them the McGuire's could possibly get out of the case on motion, and the alternative is to accept the \$5,000 offer. Paul wants to read the deps of the McGuire's and also wants us to order his and Dave's dep to review. I agreed to do so.

By copy of this memo, I ask **Sheila** to order copies of Paul and Dave's deps. I think defense counsel ordered them, so all we need to do is get copies. Please let me know if the copies have not been already ordered so we don't have to order the originals.

Thanks,

Hans

Exhibit 9
Witness: Hans Mast
Date: 6/25/20
Court Reporter: Barb Smith

MEMORANDUM

TO:

File

FROM:

Hans

DATE:

December 20, 2013

SUBJECT:

PAUL DULBERG

On December 18, 2013, I called Paul today after an email and we had a long discussion about the McGuire's liability and he seemed to concede and understand that probably based on the testimony there is nothing we can prove against the McGurie's and he is willing to take their \$5,000 settlement offer.

Exhibit 10

Witness: Hans Mast
Date: 6/25/20

Court Reporter: Barb Smith



THOMAS J. POPOVICH

HANS A. MAST

JOHN A. KORNAK

The Law Offices of Thomas J. Popovich P.C.

3416 W. ELM STREET

McHenry, Illinois 60050

Telephone: 815.344.3797 Facsimile: 815.344.5280

www.popowioklaw.com

www.popovichlaw.com

December 26, 2013



MARK J. VOGG JAMES P. TUTAJ ROBERT J. LUMBER THERESA M. FREEMAN

VIA FACSIMILE: 815/226-7701

Ronald A. Barch Cicero, France, Barch & Alexander, PC 6323 E. Riverside Blvd. Rockford, IL 61114

RE: Paul Dulberg vs. David Gagnon, Caroline McGuire and Bill McGuire

McHenry County Case: 12 LA 178

Dear Mr. Barch:

Please be advised that we will accept your \$5,000 settlement offer on behalf of you clients, Caroline and Bill McGuire. Please forward your settlement agreement to my attention. Also, please present a motion for good faith finding with regard to the settlement.

As I understand it, you have no liens on the file other than our attorney's lien.

Thank you for your cooperation.

Very truly yours,

HANS'A MAST

smq

Exhibit 11
Witness: Hans Mast
Date: 6/25/20
Court Reporter: Barb Smith

STATE OF ILLINOIS IN THE CIRCUIT COURT OF THE 22ND JUDICIAL CIRCUIT COUNTY OF McHENRY

PAUL DULBERG,)
Plaintiff,) Case No. 12 LA 178
vs.)
DAVID GAGNON, Individually, and as Agent of CAROLINE MCGUIRE and BILL MCGUIRE, and CAROLINE MCGUIRE and BILL MCGUIRE, Individually,))))
Defèndants.	<i>)</i>)

ANSWER TO PLAINTIFF'S INTERROGATORIES TO DEFENDANTS BILL McGUIRE AND CAROLYN McGUIRE

TO: Paul Dulberg c/o Attorney Hans A. Mast Law Offices of Thomas J. Popovich 3416 West Elm Street McHenry, IL 60050

Defendants, BILL McGUIRE AND CAROLYN McGUIRE (improperly named Caroline), by and through their attorneys, Cicero, France, Barch & Alexander, PC, and for their Answer to Plaintiff's Interrogatories, state as follows:

State the full name of the defendant(s) answering, as well as your current residence address, 1. date of birth, marital status, and social security number, and, if different, give the full name, as well as the current residence address, date of birth, marital status, and social security number of the individual(s) signing these Answers.

ANSWER:

William "Bill" McGuire 1016 W. Elder Avenue McHenry, IL 60051

Married: Carolyn

DOB: July 29, 1952

Carolyn McGuire

1016 W. Elder Avenue McHenry, IL 60051

Married: Bill

November 26, 1946

Defendants object to providing Social Security Numbers. Such information is highly sensitive and private and is furthermore irrelevant to any issues in

the pending lawsuit.

Exhibit 13 Witness: Hans Mast

2. State the full name and current residence address of each person who witnessed or claims to have witnessed the accident to the Plaintiff on the premises as described in the complaint.

ANSWER:

David Gagnon

39010 90th Place

Paul Dulberg 4606 Hayden

Genoa City, WI 53128 McHenry, IL 60051

3. State the full name and current residence address of each person who witnessed or claims to have witnessed the work and/or conditions existing as described in the complaint at the location of the accident on the date of the accident described.

ANSWER: See answer to Interrogatory No. 2.

4. State the name and address of the person(s) or entity that owned the property premises whereat the accident occurred as alleged, as of the date in question.

ANSWER:

Bill and Carolyn McGuire 1016 W. Elder Avenue McHenry, IL 60051

5. State the name and address of the person(s) or entity that was involved in the work and/or maintenance of the exterior of the premises as alleged on the date in question.

ANSWER: See response to Interrogatory No. 2.

6. State the name and address of the person(s) or entity that decided or chose to undertake the work and/or maintenance of the exterior of the premises as alleged on the date in question, including chain saw use and activity.

ANSWER: See response to Interrogatory No. 2.

7. State the name and address of the person(s) or entity that was to supervise or oversee the work and/or maintenance at the exterior of the premises as alleged on the date in question including chain saw use and activity.

ANSWER: See response to Interrogatory No. 2.

8. State the full name and current residence address of each person, who was present and/or claims to have been present at the scene immediately before, at the time of, and/or immediately after said occurrence.

ANSWER:

Before:

William "Bill" McGuire 1016 W. Elder Avenue McHenry, IL 60051

David Gagnon 39010 90th Place Genoa City, WI 53128

Paul Dulberg 4606 Hayden McHenry, IL 60051

Carolyn McGuire

McHenry, IL 60051

1016 W. Elder Avenue

At Time Of

Occurrence:

David Gagnon
39010 90th Place
Ganon City, WI 5

Genoa City, WI 53128

Paul Dulberg 4606 Hayden

McHenry, IL 60051

After:

William "Bill" McGuire 1016 W. Elder Avenue McHenry, IL 60051

David Gagnon 39010 90th Place Genoa City, WI 53128 Carolyn McGuire 1016 W. Elder Avenue McHenry, IL 60051

Paul Dulberg 4606 Hayden McHenry, IL 60051

9. State the name and address of each witness that knows or claims to know the circumstances of the alleged accident, how it occurred or how the Plaintiff became injured – as alleged in the Complaint.

ANSWER:

On information and belief, David Gagnon and Paul Dulberg were present at the time of the alleged occurrence and therefore know the circumstances surrounding the occurrence. Answering further, Defendants Bill McGuire and Carolyn McGuire were not present at the time of the occurrence but knew that David Gagnon and Paul Dulberg were present on the date of the occurrence. From conversations with David Gagnon, the answering Defendants believe that Mr. Gagnon and Mr. Dulberg had been cutting logs and tree branches into smaller sections without incident. While in the process of cutting tree branches Paul Dulberg unexpectedly and without warning moved his right arm directly in the path of the running chain saw. Investigation continues.

- 10. With respect to the chain saw that was being operated on the premises at the time of the alleged injury, state as follows:
 - a. Who was operating the chain saw at the time of the Plaintiff's alleged injury;
 - b. Who owned the chain saw at the time of Plaintiff's alleged injury;
 - c. who requested that the chain saw be used to perform work at the time of Plaintiff's injury.

ANSWER:

- a. On information and belief, David Gagnon was operating the chain saw at the time Mr. Dulberg was injured.
- b. Bill McGuire was the owner of the chain saw on the date of the occurrence.
- c. David Gagnon had Bill McGuire's permission to use the chain saw.
- 11. With respect to David Gagnon's experience in use of a chain saw prior to the date of the alleged accident, state as follows:
 - a. How many times had David Gagnon operated the same or similar chain saw prior to the date of alleged accident;
 - b. What formal training did David Gagnon receive in use or operation of a chain saw prior to the occurrence alleged;
 - c. Who, if any, (names and addresses) trained David Gagnon in use or operation of a chain saw prior to the occurrence.

ANSWER:

- a. Objection. This interrogatory is better directed to David Gagnon. Answering subject to objection, and to the best of the answering parties' knowledge, David Gagnon has used chain saws in the past but the answering parties do not known how often he has used chain saws in the past.
- b. Objection. This interrogatory is better directed to David Gagnon. Answering subject to objection, the answering parties do not know whether David Gagnon has been formally trained the use or operation of a chain saw. Answering further, the answering parties are aware that Mr. Gagnon has used chain saws many times in the past and he appears/appeared to know what he is doing.

- c. Objection. This interrogatory is better directed to David Gagnon. Answering subject to objection, the answering party do now know whether or by whom David Gagnon was trained in the use of chain saws. Answering further, the answering parties are aware that Mr. Gagnon has used chain saws many times in the past and he appears/appeared to know what he is doing.
- 12. What was the scope of work or task David Gagnon was engaged in with use of the chain saw at or about the time of the alleged accident.

ANSWER:

To the extent "scope of work" or "engaged" constitute legal conclusions, the answering Defendants object to Interrogatory No. 12. Answering subject to objection, at the time of the alleged occurrence, the answering Defendants were in the process of replacing an old shed on their property. Paul Dulberg helped David Gagnon tear down the old shed. The answering Defendants further believe that Mr. Dulberg took the components of the old shed to his property for eventual reassembly. On the date of the occurrence, Mr. Dulberg was helping David Gagnon take down several trees to make room for a new shed. On information and belief. prior to the occurrence Mr. Gagnon and Mr. Dulberg had been cutting logs and tree branches into smaller sections without incident. While in the process of cutting tree branches Paul Dulberg unexpectedly and without warning moved his right arm directly in the path of the running chain saw. Answering further, the answering Defendants did not engage, hire or pay either individual for their activities on site. Nor did either answering Defendant dictate, control or otherwise supervise the methods and means by which Mr. Gagnon and Mr. Dulberg performed the tree and branch cutting at issue.

13. Who (names and addresses) requested or chose to engage Gagnon in the "task" of use and operation of the chain saw at or about the time of the alleged accident.

ANSWER:

To the extent the words "chose" and "engage" constitute legal conclusions, the answering Defendants object to Interrogatory No. 13. Answering subject to objection, David Gagnon undertook the tree cutting and trimming in question as a favor to his parents. He was not engaged, hired or paid for the activities in question.

14. What instructions or guidance, if any, was given to Gagnon prior to Plaintiff's alleged injury/accident with regard to how he was to perform the chain saw work at the premises.

ANSWER: See response to Interrogatory No. 11.

Were you (Defendant) covered under any policy of insurance at the time of the occurrence. If so, were you named or covered under any policy, policy, or policies, of liability insurance effective on the date of said occurrence, and: State the name of each such company or companies, the policy number of numbers, the effective period(s) occurrence, including umbrella or excess insurance coverage, property damage and medical payment coverage.

ANSWER: Yes.

Auto-Owners Insurance Company

Policy No. 48-010-965-01

Eff.: May 9, 2011 thru May 9, 2012

Personal Liability (Each Occurrence): \$300,000.00 Medical Payments (Each Person): \$1,000.00

16. Do you have any information:

- (a) That any plaintiff was, within the 5 years immediately prior to said occurrence, confined in a hospital and/or clinic, treated by a physician and/or other health professional, or x-rayed for any reason other than person injury? If so, state each plaintiff so involved, the name and address of each such hospital and/or clinic, physician, technician and/or other health care professional, the approximate date of such confinement or service and state the reason for such confinement or service;
- (b) That any plaintiff has suffered any serious personal injury and/or illness prior to the date of said occurrence? If so, state each plaintiff so involved, state when, where and how he or she was injured and/or ill and describe the injuries and/or illness suffered;
- (c) That any plaintiff has suffered any serious personal injury and/or illness since the date of said occurrence? If so, state each plaintiff so involved, state when, where and how he or she was injured and/or ill and describe the injuries and/or illness suffered;
- (d) That any plaintiff has ever filed any other suit for his or her own personal injuries? If so, state each plaintiff so involved, state the court, and caption in which filed, the year filed, the title and docket number of said case.

ANSWER:

- a. On information and belief, the answering parties believe that Paul Dulberg was involved in a motor vehicle accident that resulted in a shoulder injury of some sort. The answering parties do not know of any other details about the auto accident or injuries, if any.
- b. See answer to Interrogatory No. 16(a).
- c-d. No.

17. Were any photographs, movies and/or videotapes taken of the scene of the occurrence or of the persons involved? If so, state the date or dates on which such photographs, movies and/or videotapes were taken, the subject thereof, who now has custody of them, the name, address and occupation and employer of the person taking them.

ANSWER: None, other than those furnished as part of Plaintiff's discovery response.

- 18. Have you (or anyone acting on your behalf) had any conversations with any person at any time with regard to the manner in which the occurrence complained of occurred, or have you overheard any statements made by any person at any time with regard to the injuries complained of by plaintiff or the manner in which the occurrence complained of occurred? If the answer to this Interrogatory is in the affirmative, state the following:
 - (a) The date or dates of such conversations and/or statements;
 - (b) The place of such conversations and/or statements;
 - (c) All persons present for the for the conversations and/or statements;
 - (d) The matters and things stated by the person in the conversations and/or statements;
 - (e) Whether the conversation was oral, written and/or recorded; and
 - (f) Who has possession of said statement if written and/or recorded.
 - ANSWER:
- (a) thru (f): See summary of oral communication received from David Gagnon set forth in response to Interrogatory No. 9. Answering further, on information and belief, a few weeks after the subject occurrence Paul Dulberg did roofing work and moved heavy pieces of lumber for Mike Thomas, 460 Walbeck Drive, Twin Lakes, WI 53181 (312/961-9655). Investigation continues.
- 19. Do you know of any statements made by any person relating to the occurrence complained of by the plaintiff? If so, give the name and address of each such witness, the date of said statement, and state whether such statement was written and/or oral.

ANSWER: See answers to Interrogatories No. 9 and 18.

20. State the name and address of each person having knowledge of Plaintiff's activities on the premises PRIOR to the accident in question.

ANSWER: Paul Dulberg and David Gagnon.

21. State the name and address of each person having knowledge of Plaintiff's activities on the premises AFTER the accident in question.

ANSWER: Paul Dulberg, David Gagnon, Bill McGuire and Carolyn McGuire.

22. Had the Plaintiff ever used or operated a chain saw on the premises or for the Defendant's prior to his alleged accident. If so, state the dates and times such occurred.

ANSWER: Yes. In June 2011 Carolyn and Bill McGuire had a contractor take down a large tree on their property. The contractor left the fallen limbs. Shortly thereafter, Paul Dulberg brought his own chain saw down to the property. He cut the limbs into logs. David Gagnon helped him cut and load the logs.

- 23. Pursuant to Illinois Supreme Court Rule 213(f), provide the name and address of each witness who will testify at trial, and state the subject of each witness' testimony, giving the following information:
 - (a) The subject matter on which the opinion witness is expected to testify;
 - (b) The conclusions and/or opinions of the opinion witness and the basis therefore, including reports of said witness, if any;
 - (c) The qualifications of each opinion witness, including a Curriculum Vitae and/or resume, if any; and
 - (d) Identify any written reports of the opinion witness regarding this occurrence.

ANSWER:

Illinois Supreme Court Rule 213(f)(1) - Lay Witnesses:

The answering party has not yet determined the identity of the witnesses that might be called upon to offer lay witness testimony and opinions at trial. However, each of the following individuals are possible trial witnesses:

- a. Paul Dulberg. Presumably, Mr. Kemp will testify about his age, education and work experience. He may testify concerning all the events and occurrences alleged in his complaint. He may also testify concerning his state of health before the events and occurrences alleged in his complaint, the injuries he attributes to the events and occurrences alleged in his complaint, and his current state of health. Lastly, it is anticipated that he will testify concerning all matters covered by his discovery responses and discovery deposition, if taken. Investigation continues.
- b. David Gagnon. The answering party does not know the specifics of Mr. Gagnon's potential trial testimony. Presumably, however, Mr. Gagnon will testify about his age, education and work experience. He may testify concerning his connection to Bill McGuire and Carolyn McGuire. He may testify concerning the events and occurrences alleged in Plaintiff's Complaint. He may also testify concerning observations he made about Mr. Dulberg's state of health before the events and occurrences alleged in Plaintiff's Complaint, observations he made about the injuries Mr.

Dulberg attributes to the events and occurrences alleged in Plaintiff's Complaint, and observations he made about Mr. Dulberg's current state of health. Lastly, it is anticipated that he will testify concerning all matters covered by his discovery responses and discovery deposition, if taken. Investigation continues.

- c. Bill McGuire. If called upon to testify, Mr. McGuire will testify about his age, education and work experience. He will testify concerning his connection to David Gagnon and Carolyn McGuire. He may testify concerning the circumstances surrounding the occurrence alleged in Plaintiff's Complaint. He may also testify concerning observations he made about Mr. Dulberg's state of health immediately after and since the occurrence alleged in Plaintiff's Complaint, including observations he made about the injuries Mr. Dulberg attributes to the occurrence alleged in Plaintiff's Complaint. Lastly, it is anticipated that he will testify concerning all matters covered by his discovery responses and discovery deposition, if taken. Investigation continues.
- d. Carolyn McGuire. If called upon to testify, Mrs. McGuire will testify about her age, education and work experience. She will testify concerning her connection to David Gagnon and Bill McGuire. She may testify concerning the circumstances surrounding the occurrence alleged in Plaintiff's Complaint. She may also testify concerning observations she made about Mr. Dulberg's state of health immediately after and since the occurrence alleged in Plaintiff's Complaint, including observations she made about the injuries Mr. Dulberg attributes to the occurrence alleged in Plaintiff's Complaint. Lastly, it is anticipated that she will testify concerning all matters covered by her discovery responses and discovery deposition, if taken. Investigation continues.
- e. Mike Thomas. If called upon to testify, Mr. Thomas may testify about his age, education and work experience. He may testify concerning his connection to Paul Dulberg. He may also testify concerning observations he made about Mr. Dulberg's state of health after the occurrence alleged in Plaintiff's Complaint, including observations he made of Mr. Dulberg performing roofing work and moving lumber. Lastly, it is anticipated that he will testify concerning all matters covered in his discovery deposition, if taken. Investigation continues.
- f. Investigation continues.

Illinois Supreme Court Rule 213(f)(2) - Independent Opinion Witnesses.

To the extent any of the individuals disclosed above as potential Rule 213(f)(1) witnesses also qualify for disclosure as an independent expert witness within the meaning of Illinois Supreme Court Rule 213(f)(2), the responding Defendants incorporate the above Rule 213(f)(1) disclosure as though fully and completely set forth herein as a Rule 213(f)(2) disclosure. Answering further, the responding Defendants further incorporate the identity and opinions of any medical provider that treated Plaintiff for injuries he claims are associated with the occurrence alleged in his Complaint. For additional detail, see the medical records and materials produced by Plaintiff as part of his production response. Investigation continues.

Illinois Supreme Court Rule 213(f)(3) - Controlled Opinion Witnesses.

None at this time. Answering further, Defendants reserve the right to retain and disclose controlled opinion witnesses and will do so, if necessary, in accordance with all applicable court orders and discovery rules.

24. List the names and addresses of all other persons (other than yourself and persons heretofore listed) who have knowledge of the facts of said occurrence and/or of the injuries and damages claimed to have resulted therefrom.

ANSWER: None, other than as disclosed in response to the interrogatories above.

25. Identify any statements, information and/or documents known to you and requested by any of the foregoing Interrogatories which you claim to be work product or subject to any common law or statutory privilege, and with respect to each Interrogatory, specify the legal basis for the claim as required by Supreme Court Rule 201(n).

ANSWER: None at this time.

26. State the name and address of each person at the premises (although maybe at different location or not a witness to the incident) described at the time of the occurrence.

ANSWER: See response to Interrogatory Nos. 1 and 2.

27. Was the Plaintiff struck and injured by the chain saw while in operation on the date and time alleged. If so, what caused the chain saw to strike the Plaintiff.

ANSWER: On information and belief, yes. Answering further, Defendants were not present at the time of the occurrence. See answer to Interrogatory No. 9.

28. Describe what, if any, of the Plaintiff's conduct caused or contributed to his injury on the date and time in question.

ANSWER: See answer to Interrogatory No. 9.

29. Did the chain saw malfunction at any time during its use prior to Plaintiff's alleged injury.

ANSWER: To the best of the answering parties' knowledge, no.

30. Prior to Plaintiff's alleged injury, was the subject chain saw operating safely and properly.

ANSWER: To the best of the answering parties knowledge, yes.

CAROLYN MCGUIRE and BILL MCGUIRE, Defendants, by their attorneys, CICERO, FRANCE, BARCH & ALEXANDER, P.C.,

By

RONALD A. BARCH (6209572)

Cicero, France, Barch & Alexander, P.C. 6323 East Riverside Blvd. Rockford, IL 61114 815/226-7700 815/226-7701 (fax)

STATE OF ILLINOIS)
) \$3
COUNTY OF WINNEBAGO)

BILL McGUIRE, being first duly sworn on oath, deposes and states that he is one of the defendants herein; that he has read the foregoing interrogatory answers; and that the interrogatory answers herein are true, correct and complete to the best of his knowledge and belief.

Bill Mc Yourd

Subscribed and sworn to before me on the 674 day August, 2012.

Notary Public

OFFICIAL SEAL RONALD A BARCH NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES: 06/07/14

STATE OF ILLINOIS)
) SS
COUNTY OF WINNEBAGO	ì

CAROLYN McGUIRE, being first duly sworn on oath, deposes and states that she is one of the defendants herein; that she has read the foregoing interrogatory answers; and that the interrogatory answers herein are true, correct and complete to the best of her knowledge and belief.

Carolyn McGuire

Subscribed and sworn to before me on the bird day August, 2012.

Notar Pul

OFFICIAL SEAL RONALD A BARCH NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES: 05/07/14

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing document was

served upon:

Attorney Hans A. Mast Law Offices of Thomas J. Popovich 3416 West Elm Street McHenry, IL 60050

by depositing the same in the United States Post Office Box addressed as above, postage prepaid,

at Rockford, Illinois, at 5:00 o'clock p.m. on

Cicero, France, Barch & Alexander, P.C. 6323 East Riverside Blvd. Rockford, IL 61114 815/226-7700 815/226-7701 (fax)

Dulberg 000175

STATE OF ILLINOIS)
) SS
COUNTY OF MCHENRY	```

IN THE CIRCUIT COURT OF THE TWENTY-SECOND JUDICIAL DISTRICT McHENRY COUNTY, ILLINOIS

PAUL DULBERG,

Plaintiff(s),

CASE NO. 12LA000178

VS.

DAVID GAGNON, Individually, and as Agent of CAROLINE MCGUIRE and BILL MCGUIRE, and CAROLINE MCGUIRE and BILL MCGUIRE, Individually,

Defendant(s).

Exhibit 14
Witness: Hans Mast
Date: 6/25/20
Court Reporter: Barb Smith

ANSWERS TO CO-DEFENDANT INTERROGATORIES

The Defendant, DAVID GAGNON, in response to the Interrogatories propounded states as follows:

1. State the full name, present residence address and birth date of the person answering these Interrogatories.

ANSWER: David A. Gagnon, 39010 90th Place, Genoa City Wisconsin 53128 DOB: 4/3/1697

2. State your marital status on the date of the occurrence in question and, if married, your spouse's name and age on said date.

ANSWER: Married; Pamela Gagnon, 39010 90th Place, Genoa City Wisconsin 53128.

- 3. State the full name and present or last known address (indicating which) of each person who:
 - (a) Witnessed or claims to have witnessed the occurrence in question.
 - (b) Was present or claims to have been present at the scene immediately before said occurrence.
 - (c) Was present or claims to have been present immediately after said occurrence.
 - (d) Otherwise has or claims to have any knowledge of the facts or possible causes of the occurrence to include any damages or injuries alleged to have resulted from said occurrence.

ANSWER: David A. Gagnon, 39010 90th Place, Genoa City Wisconsin 53128; Paul Dulberg, 4606 Hayden Ct, McHenry Illinois 60050; Carolyn McGuire, 1016 W. Elder

Avenue, McHenry Illinois 60051; William McGuire, 1016 W. Elder Avenue, McHenry Illinois 60051.

4. State specifically and with certainty the personal injuries and property damage, if any, sustained by PAUL DULBERG as a result of said occurrence.

ANSWER: Defendant has no knowledge regarding the plaintiff's personal injuries and/or property damage claims.

7. State whether PAUL DULBERG was hospitalized or had suffered any illness or personal injury prior to or subsequent to the date of said occurrence, and if so, state the nature and date of each such hospitalization, illness or personal injury.

ANSWER: I do not know.

8. State whether PAUL DULBERG suffered any permanent scarring as a result of the accident alleged in the complaint. If so, state the location of such scar, the width and length of such scar or scars. (Pursuant to Supreme Court Rule 214, please attach any photos of any such scar to your answers hereto.)

ANSWER: I do not know.

9. State whether prior to the accident alleged in the complaint PAUL DULBERG suffered any physical disability or impairment of any kind whatsoever. If so, state the nature of such physical disability or impairment and how PAUL DULBERG came to have such physical disability or impairment.

ANSWER: I do not know.

10. State the location of the alleged occurrence, pinpointing such location in feet, inches and direction from fixed objects or boundaries at the scene of the occurrence.

ANSWER: The accident occurred in-front of my parent's home at 1016 W. Elder Avenue, McHenry Illinois 60051.

11. State with particularity the nature of the alleged defect, object substance or condition which caused the alleged occurrence giving the exact dimensions and physical description of such including the size, shape, color, height, length and depth of such defect or object.

ANSWER: Chainsaw, EFCO, Model # MT3500, 2.38 Cubic Inch, 16" blade.

12. State with particularity what PAUL DULBERG was doing at the time of the accident alleged in the complaint.

ANSWER: He was helping me trim a tree by holding a branch.

13. State with particularity what DAVID GAGNON was doing at the time of the accident alleged in the complaint.

ANSWER: I was cutting through a branch with the chainsaw.

14. State with particularity the address for David Gagnon on June 28, 2011.

ANSWER: 39010 90th Place, Genoa City Wisconsin 53128.

15. State with particularity all the reasons why PAUL DULBERG was present on the premises known commonly as 1016 W. Elder Avenue, City of McHenry, County of McHenry, Illinois on the date of the alleged occurrence.

ANSWER: I asked him to help me trim the tree at my parents' home.

16. State with particularity all the reasons why DAVID GAGNON was present on the premises known commonly as 1016 W. Elder Avenue, City of McHenry, County of McHenry, Illinois on the date of the alleged occurrence.

ANSWER: I was trimming a tree for my parents.

17. State with particularity your basis for alleging that David Gagnon was working under the supervision and control of Defendants Bill McGuire and Carolyn McGuire at the time of the occurrence, as asserted in your answer to Plaintiff's Complaint.

ANSWER: N/A

18. State with particularity your basis for alleging that Defendants Bill McGuire and Carolyn McGuire instructed and/or advised David Gagnon in the use of a chain saw on or before the date of the occurrence, as asserted in your answer to Plaintiff's Complaint.

ANSWER: N/A

19. State with particularity your basis for alleging that David Gagnon was under the supervision and control of Defendants Bill McGuire and Carolyn McGuire and working as their apparent and actual agent on the date of and at the time of the occurrence, as asserted in your answer to Plaintiff's Complaint.

ANSWER: N/A

20. State with particularity any and all defects associated with the chain saw you believe or claim was involved in the occurrence alleged in Plaintiff's Complaint.

ANSWER: None.

21. State whether any photographs or videos were taken of the scene of the occurrence or of the persons, objects or premises involved, and if so, state the number of photographs or videos taken, their subject matter and who now has custody of them.

ANSWER: No.

- 22. Pursuant to Supreme Court Rule 213(f), furnish the identity and addresses of witnesses who will testify at trial and the following information:
 - (a) For each lay witness, identify the subjects on which the witness will testify.

- (b) For each independent expert witness, identify the subjects on which the witness will testify and the opinions the party expects to elicit.
- (c) For each controlled expert witness, identify:
 - (i) the subject matter on which the witness will testify;
 - (ii) the conclusions and opinions of the witness and the bases therefor;
 - (iii) the qualifications of the witness; and
 - (iv) any reports prepared by the witness about .the case.

ANSWER: David A. Gagnon, 39010 90th Place, Genoa City Wisconsin 53128— This witness is expected to testify to any dangerous or defective condition that he saw and/or was aware of; his insurance policy and coverage; maintenance, repair and inspection of the chainsaw; as to any dangerous or defective area on the premises. This witness is also expected to testify regarding his observations of the plaintiff before, during and after the alleged occurrence; his understanding as to the facts of the accident; his observations of the scene and he is expected to testify as to any conversations which took place between the parties and witnesses. This witness is also expected to testify consistent with any testimony he may have given and/or may give at a discovery deposition.

Paul Dulberg, 4606 Hayden Ct, McHenry Illinois 60050—This witness is expected to testify to any dangerous or defective condition that he saw and/or was aware of; his relationship to the tenants of the building; his observations prior, during and after his alleged injury; the nature of his injury, medical bills, medical records and recovery; his understanding of his injury and recovery. This witness is also expected to testify to his understanding as to the facts of the accident; his observations of the scene and he is expected to testify as to any conversations which took place between the parties and witnesses. This witness is also expected to testify consistent with any testimony he may have given and/or may give at a discovery deposition.

Carolyn McGuire, 1016 W. Elder Avenue, McHenry Illinois 60051; William McGuire, 1016 W. Elder Avenue, McHenry Illinois 60051—These witnesses are expected to testify as to their ownership of the property in question; their insurance policy and coverage; their knowledge of the area, chainsaw and tree; maintenance, repair and inspection of the chainsaw; as to any violations the premises; as to any dangerous or defective area on the premises. These witnesses are also expected to testify regarding their observations of the plaintiff before, during and after the alleged occurrence; their understanding as to the facts of the accident; their observations of the scene and they are expected to testify as to any conversations which took place between the parties and witnesses. These witnesses are also expected to testify consistent with any testimony they may have given and/or may give at a discovery deposition.

Under penalties as provided by law pursuant to 735 ILCS 5/1-109 of the Code of Civil Procedure, the undersigned certifies that the statements set forth in this instrument are true and correct, except as to matters therein stated to be on information and belief and as to such matters the undersigned certifies as aforesaid that he/she verily believes the same to be true.

DAVID GAGNON

Attorney for Plaintiff(s) Paul Dulberg

Cicero, France, Barch & Alexander PC 6323 East Riverside Blvd Rockford, IL 61114

Attorney for Co-Defendants, Caroline and Bill McGuire

LAW OFFICE OF M/GERARD GREGOIRE

200 N LaSalle St Ste 2650

Chicago, IL 60601-1092/ Telephone: 312-588/9821

By:

PERRYA! ACCARDO

Firm No.: 46878

E-MAIL ADDRESS:

/LL/NOISLEGAL@ALLSTATE.COM

Attorney Bar No.: 6228720 Attorney for Defendant(s):

David Gagnon

	THE TWENTY-SECOND JUDICIAL CHROUPT INTROIS Y COUNTY, ILLINOIS MAR 1 3 2015
PAUL DULBERG,) KATHERINE M. KEEFE
Plaintiff,	Clerk of the Circuit Court
* *************************************) \
vs.) No. 12 LA 178
DAVID GAGNON, Individually, and as Agent of CAROLINE McGUIRE and BILI McGUIRE and CAROLINE McGUIRE and BILL McGUIRE, Individually,	COPY
Defendants.	Ś

NOTICE OF MOTION

TO: VIA FIRST CLASS MAIL:

Perry Accardo Law Office of Steven A. Lihosit 200 N. LaSalle Street, Suite 2550

Chicago, IL 60601-1092

VIA CERTIFIED MAIL:

Paul Dulberg 4606 Hayden Court McHenry, IL 60051

On March 13, 2015 at 9:00 a.m., or as soon thereafter as counsel may be heard, I shall appear before the Honorable Thomas A. Meyer or any judge sitting in his stead, in courtroom 201 in the Circuit Court of McHenry County in Woodstock, Illinois and shall then and there present MOTION TO WITHDRAW AS COUNSEL, a copy of which is hereby served upon you

AFFIDAVIT OF SERVICE

I certify that I served this Notice by mailing to whom it is directed at approximately 5:00 p.m. on March 5, 2015 in McHenry, IL and further that the statements set forth in this Affidavit of Service are true and correct.

Hans A. Mast, Attorney for Plaintiff

LAW OFFICES OF THOMAS J. POPOVICH, P.C.

3416 West Elm Street McHenry, IL 60050 815-344-3797 Attorney ID No. 06208070

Exhibit 15
Witness: Hans Mast
Date: 6/25/20
Court Reporter: Barb Smith

51	U.S. Postal S CERTIFIED (Domestic Mail O	TIMAIL™ RI			
93	For delivery information	ation visit our webs	ite at www.u	sps.com	
-	OFF	ICIA	L U	SE	
0001 63	Postage Certified Fee Return Receipt Fee (Endorsement Required) Restricted Delivery Fee (Endorsement Required)	s 6.48		Postmark Here	
7014 0150	Streef, Apt. or PO Box City, State,	Oulberg Hayden Court nry, IL 60051		: .	
	PS Form 3800, August 2	2006	See Hev	erse for Instr	uctions.

IN THE CIRCUIT COURT FOR THE TWENTY-SECOND JUDICIAL CIRCUIT McHENRY COUNTY, ILLINOIS

PAUL DULBERG,)		
Plaintiff,)		
vs.))	No.	12 LA 178 FILED McHenry County, Illinois
DAVID GAGNON, Individually, and as Agent of CAROLINE McGUIRE and BILL McGUIRE and CAROLINE McGUIRE and BILL McGUIRE, Individually,))))		MAR 1 3 2015 KATHERINE M. KEEFE Clerk of the Circuit Court
Defendants.)		

MOTION TO WITHDRAW AS COUNSEL

NOW COME the LAW OFFICES OF THOMAS J. POPOVICH, P.C., attorneys for the Plaintiff, PAUL DULBERG, and hereby move to withdraw as counsel for the Plaintiff in this cause pursuant to Supreme Court Rule 13. In support of said Motion, the attorneys hereby state as follows:

- 1. Communication between Plaintiff and Plaintiff's counsel has broken down resulting in an unworkable situation for both attorney and client.
- 2. By copy of this motion, Plaintiff is hereby advised that, to ensure notice of any further action in this cause, she should retain new counsel or within 21 days of the hearing of this motion and withdrawal of counsel, retain other counsel or file her own supplementary appearance with the clerk of the circuit court, stating an address at which service of notices or other papers may be had upon her.

WHEREFORE, the LAW OFFICES OF THOMAS J. POPOVICH, P.C. respectfully requests that this Court enter an Order granting the LAW OFFICES OF THOMAS J. POPOVICH, P.C. leave to withdraw as counsel for the Plaintiff, PAUL DULBERG.

Respectfully submitted,

Hans A. Mast

LAW OFFICES OF THOMAS J. POPOVICH

3416 West Elm Street McHenry, IL 60050 (815) 344-3797 Attorney No. 06208070

Hans Mast June 25, 2020

```
1
     STATE OF ILLINOIS
                              SS:
     COUNTY OF MCHENRY
 3
       IN THE CIRCUIT COURT OF THE 22ND JUDICIAL CIRCUIT
 4
                    MCHENRY COUNTY, ILLINOIS
 5
     PAUL DULBERG,
                                    )
 6
              Plaintiff,
 7
         -vs-
                                      No. 17 LA 377
 8
     THE LAW OFFICES OF THOMAS
                                    )
 9
     POPOVICH and HANS MAST,
                                    )
                                   )
10
              Defendants.
                                   )
                                   )
11
12
              The remote videoconference deposition of
13
     HANS MAST, appearing remotely from McHenry County,
14
     Illinois, called by the Plaintiff for examination,
15
    pursuant to subpoena and pursuant to the Code of
16
     Civil Procedure of the State of Illinois, and the
17
     Rules of the Supreme Court thereof, pertaining to the
     taking of depositions, for the purpose of discovery,
18
19
     taken before Barbara G. Smith, appearing remotely
20
     from Will County, Illinois, Certified Shorthand
21
     Reporter and Notary Public within and for the County
22
     of Cook and State of Illinois, commencing at the hour
     of 10:00 a.m. on the 25th day of June, A.D., 2020.
23
24
```

```
1
     REMOTE APPEARANCES:
 2
         THE CLINTON LAW FIRM, By
         MS. JULIA C. WILLIAMS
 3
         111 West Washington Street, Suite 1437
         Chicago, Illinois 60602
 4
         (312) 357-1515
         (312) 201-0737
                         (Facsimile)
 5
         juliawilliams@clintonlaw.net
 6
              On behalf of the Plaintiff;
 7
         KARBAL COHEN ECONOMOU SILK DUNNE, LLC, By
 8
         MR. GEORGE FLYNN
         150 South Wacker Drive, Suite 1700
 9
         Chicago, Illinois 60606
         (312) 431-3622
10
         (312) 431-3670 (Facsimile)
         gflynn@karballaw.com
11
              On behalf of the Defendants.
12
13
     ALSO PRESENT: Mr. Paul Dulberg
14
15
16
17
18
19
20
21
22
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24
```

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23	
24	

1	THE REPORTER: The attorneys participating
2	in this deposition acknowledge that I am not
3	physically present in the deposition room and that I
4	will be reporting this deposition remotely. They
5	further acknowledge that, in lieu of an oath
6	administered in person, the witness will verbally
7	declare his testimony in this matter is under penalty
8	of perjury. The parties and their counsel consent to
9	this arrangement and waive any objections to this
10	manner of reporting. Please indicate your agreement
11	by stating your name and your agreement on the
12	record.
13	MS. WILLIAMS: Julia Williams. I agree.
14	MR. FLYNN: George Flynn. I agree.
15	THE REPORTER: Will the witness kindly
16	present his government-issued identification by
17	holding it up to the camera for verification?
18	(Witness presents
19	government-issued identification
20	and identity is verified.)
21	THE REPORTER: Thank you.
22	HANS MAST,
23	called as a witness herein, having been first duly
24	sworn, was examined and testified as follows:

1	EXAMINATION
2	BY MS. WILLIAMS:
3	MS. WILLIAMS: Okay, so this is the
4	discovery deposition of Hans Mast taken pursuant to
5	all applicable rules and notice in the case of
6	Dulberg versus The Law Offices of Thomas Popovich,
7	et al. This deposition is being taken for the
8	purposes of discovery.
9	Q. Hans, can you state your name for the
10	record, please?
11	A. Hans Mast.
12	Q. Have you had your deposition taken before?
13	A. Yes.
14	Q. And how many times?
15	A. I think two.
16	Q. And for what purpose?
17	A. Long, long time ago I think there was a
18	malpractice case I was a witness on and a legal a
19	medical malpractice case that turned into a legal
20	malpractice case, not against me but against the
21	office I was with.
22	Q. Okay. So you weren't named in the
23	lawsuit as a defendant?
24	A. I might have been named. I might have been

- 1 named, but I was somebody that appeared on a motion.
 2 I think I got out eventually.
 3 Q. Okay, and then -- And then -- Sorry. And
 4 then you said you think twice, so do you know
- then you said you think twice, so do you know

 approximately what year that medical malpractice case

 that turned into a legal malpractice case, do you

 know roughly what year that was?
- 8 A. '94 or something.
- 9 Q. Okay, and then the second time, what would 10 have that been?
- 11 A. It's not coming to me. It was another legal
 12 case. I don't remember the details.
 - Q. Okay --
- 14 A. There -- Go ahead.
- Q. More than 10 years? I'm sorry, I didn't mean to interrupt you.
- 17 A. Yes.

- Q. We can go over the -- I'm going to try not to to interrupt you, you're going to try not to interrupt me. You've taken depositions before, I'm sure we can get into that and appreciate you answering orally, all of those typical things that apply, and I'll try not to interrupt you too much.
- 24 Have you ever -- Other than the one time you just

- identified, have you ever been sued other than this suit for legal malpractice?
- A. No.

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- Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal malpractice suit? Do you know what the basis of the suit was?
- A. It was a medical malpractice case that I think lost on a summary judgment motion and they were -- the client was suing the office and I think I got involved in it because I was on a motion.
- Q. Were you the one that drafted the summary judgment motion?
- 14 A. I don't think so. I don't really remember 15 clearly back then, but I don't think I did.
- 16 Q. Okay.
- 17 A. I think I argued -- I might have argued it.
- 18 | I don't remember.
- 19 Q. Okay. Have you -- Have you taken 20 depositions before?
- 21 A. Yes.
- Q. Roughly how many depositions do you think you've taken in your legal career?
- 24 A. Lots. Lots.

- Q. Hundreds?
- 2 A. Probably.

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- Q. Oh, I'm sorry, I forgot to do this, but I think we saw your room. It's just you and George Flynn in the room with you, correct?
 - A. Yes.
 - Q. And there's no one else in the room and if there were, you would identify them, correct?
- 9 A. Yes.
 - Q. And you don't have any devices or anything with you? You're not communicating with anyone during this deposition other than the attorney in the room with you, correct?
 - A. And you and who else is on this meeting.
 - Q. Okay. I'm sorry, let me rephrase. Is there anyone that I don't know that you are communicating with that I wouldn't know?
 - A. Not that I'm aware of.
 - Q. Okay. If you take any notes or otherwise communicate with people during the deposition, we just ask that those notes be produced. Okay. Did you do anything to prepare for the deposition today?
- A. Well, I just saw some exhibits you sent
 George. I didn't really prepare them. I looked them

1	over bri	efly.
2	Q.	Did you review any of the other files that
3	have been	n produced in this case?
4	Α.	No.
5	Q.	Did you review any notes?
6	А.	No.
7	Q.	Any other documents?
8	Α.	No.
9	Q.	Did you meet with anyone
10	Α.	Other than George?
11	Q.	to prepare? Other than George.
12	Α.	No.
13	Q.	And you did meet with George, I'm
14	assuming	. I don't want to know the contents of that
15	meeting,	but you met with George to prepare?
16	Α.	Not very long.
17	Q.	Okay. Did you talk to anyone else about
18	today's (deposition prior to the deposition today?
19	Α.	No.
20	Q.	Where did you go to law school?
21	Α.	Kent.
22	Q.	And what year did you graduate?
23	Α.	'91.
24	Q.	And were you admitted to practice in

```
1
     Illinois that same year?
 2.
         Α.
              Yes.
              And have you -- Are you admitted to practice
 3
         0.
 4
     anywhere else?
 5
         Α.
              No.
              Have you ever been reprimanded or
 6
         Ο.
 7
     disciplined by any courts?
 8
         Α.
              No.
 9
              Have you ever been publicly reprimanded or
         Ο.
10
     disciplined by any oversight body, such as the ARDC?
11
         Α.
              No.
              When did you start practicing?
12
         0.
13
               '91.
         Α.
14
              And where did you start?
         Ο.
15
         Α.
              In Rockford.
16
              With a firm?
         Q.
17
              Yeah, Cacciatore.
         Α.
18
              And how long were you there?
         Ο.
19
              About a year and a half.
         Α.
20
         Ο.
              And what kind of work did you do there?
              Personal injury, plaintiff.
21
         Α.
22
              Have you done personal injury your entire
         Ο.
23
     career?
24
              No, I did some defense work.
         Α.
```

1	Q.	Okay. So you were at Cacciatore for a year
2	and a hal	f and you were doing plaintiff's personal
3	injury wo	ork. What did you do after that?
4	Α.	I went to the Loggans firm in Chicago for
5	about 6 m	months.
6	Q.	And what did you do there?
7	Α.	Plaintiff's.
8	Q.	PI again, personal injury?
9	Α.	Yeah.
10	Q.	And after that?
11	Α.	Judge and James in Park Ridge.
12	Q.	And how long were you there?
13	Α.	7 years, I think.
14	Q.	Did you do plaintiff's personal injury there
15	as well?	
16	Α.	No, that was defense.
17	Q.	What kind of defense work?
18	Α.	Lots All kinds, municipal, tort.
19	Q.	Did you defend personal injury cases while
20	you were	there as well?
21	Α.	Yes.
22	Q.	And then after that, where did you go?
23	Α.	Kemper, I think.
24	Q.	And how long were you with Kemper?

1 Α. I think a couple years. Maybe a little more 2. than that. 3 Q. And what kind of work did you do at Kemper? 4 Α. Defense. 5 Q. Defense of what type of cases? 6 Lots of different kinds, auto accidents, Α. premises. 7 8 Mostly torts though, negligence-type cases? Q. 9 Yes. Α. 10 Ο. And then after Kemper? 11 I think Popovich was next. Α. 12 And how long were you with the Popovich Q. 13 firm? 14 About 18 years, I think. Α. 15 And do you know what year you -- roughly Q. 16 what year you joined Popovich? 17 2001 maybe. Α. 18 And you were there for roughly 18 years you Ο. think? 19 20 Α. Yes. 21 Ο. So you left maybe just last year? 22 In '18. Α. 23 0. 2018? 24 Yeah. Α.

- 1 Q. And why did you leave Popovich?
- 2 A. To start on my own.
- 3 Q. And where are you now?
- 4 A. With Compton Law Group.
- 5 Q. I'll give you just a second to come back.
- 6 A. Yeah.
- Q. And what types of -- I'm sorry, I'm going to go back to the Popovich firm. What kind of cases did you handle at Popovich's firm?
- 10 A. Plaintiff's personal injury, all kinds.
- Q. And then at Compton, what kind of work do
- 12 | you do?
- 13 A. Same thing, same kind of cases, plaintiff's 14 personal injury.
- Q. So is it fair to say you've been doing
- 16 plaintiff's personal injury cases steadily throughout
- 17 your career?
- 18 A. Yeah, except for the time I was with the
- 19 defense offices.
- 20 Q. Okay. But you were still doing personal
- 21 | injury, just on the defense side, not on the
- 22 | plaintiff side?
- A. Right.
- Q. Okay. Did you answer discovery in this

- case, in the malpractice case that we're -- the Dulberg versus Thomas Popovich case?
 - A. I think I did.
- Q. Do you remember -- Did you review discovery in this case, do you recall?
- A. Like I said, I think I answered some and signed off on some, I just don't remember. I haven't seen them recently.
- 9 Q. Okay. Okay. If you recall, do you remember 10 reviewing the documents that were produced in this 11 case?
- 12 A. I don't know what was produced.
- 13 Q. Okay.

- 14 A. I assume the file.
- Q. Right. Okay, if I represented that the file was produced, would that make sense to you? Can we kind of agree that the file was produced?
 - A. Well, if you told me that.
- Q. Okay. So when the file was produced, I
 don't know if you recall, there were black -- some
 black pages between the file. Do you remember any
 discussions about that?
- A. I didn't produce anything so and I haven't reviewed what was produced, that wasn't my -- I was

- 1 | in a different office when it was produced, I think.
- Q. Okay. So Thomas Popovich would have had
- 3 possession of the file?
- 4 A. Right.
- 5 Q. You did not have possession of any documents 6 from the underlying case, from the Dulberg versus
- 7 | Gagnon-McGuire case?
- 8 A. I didn't.
- 9 Q. Okay. So you would not have had access to
- 10 | that file since you were with Thomas Popovich in
- 11 | 2018?
- 12 A. Once I left the firm, I have not had the
- 13 | file.
- 0. Okay. In this case did you produce emails
- 15 | that you possessed or did you not have access to
- 16 | those either?
- 17 A. I would -- I don't know what was produced,
- 18 | again, by the Popovich firm. I don't know if they
- 19 | had my emails, but I have a new email address. I
- 20 | don't think it's the same as it was back then.
- 21 0. Okay.
- 22 A. So I didn't produce anything.
- 23 Q. So you didn't produce any emails or
- 24 | communications that -- in the -- from the underlying

1 | case?

- 2 A. Not that I'm aware of. Unless I produced it
- 3 | to Popovich and he produced it. I don't know how
- 4 | that worked.
- 5 Q. Okay. When were you retained by Paul
- 6 | Dulberg?
- 7 A. I don't recall. I'm assuming there's
- 8 paperwork that shows that.
- 9 Q. Yes. Let me upload a file here. Just give
- 10 | me a second.
- 11 A. I don't think he retained me. I think he
- 12 | retained Tom Popovich's office.
- Q. Okay. I just uploaded a file that's titled
- 14 Dulberg Mast Dep Exhibit 1, if you can -- And,
- 15 George, you should have that as well -- and it should
- 16 | be the retainer contract.
- 17 A. Yeah, I see it.
- 18 Q. Okay. So it's a contract for legal services
- 19 and it's marked POP, P O P, 000586 on the bottom,
- 20 | just for reference, so this will be the first exhibit
- 21 | in this deposition. Do you recognize this document?
- 22 A. I recognize what it looks like, yeah.
- Q. Yeah, and it's the contract for legal
- 24 | services and it's undated, it looks like.

- A. That's what it looks like.
- Q. Okay. I'm going to upload another exhibit.
- 3 | So I'm uploading Exhibit 2, it's titled Dulberg Mast
- 4 Dep Exhibit 2, and this should be the original
- 5 | complaint filed in the case of Dulberg versus Gagnon,
- 6 et al., 12 LA 178, filed in McHenry County. Do you
- 7 | see that document?

- 8 A. Yeah. What I'm going off are an email I got
- 9 | with all the exhibits attached, so I'm not -- that's
- 10 | what I'm looking at.
- 11 Q. Okay.
- 12 A. It's a complaint and it says Exhibit 2.
- Q. Right, okay. So our numbers may be a little
- 14 off, but the description should be correct. In that
- 15 | complaint shows file stamp May 15, 2012?
- 16 A. Yeah, that's what it says.
- 17 | O. Okay, and so Mr. Dulberg would have hired
- 18 | you sometime -- hired the Popovich firm sometime
- 19 | prior to that, correct?
- 20 A. I'm assuming. I --
- Q. Okay. Do you have any idea?
- 22 A. I'm sorry.
- 23 Q. I'm sorry, I didn't mean to interrupt you.
- 24 Go ahead.

A. Go ahead.

1

- Q. Do you have any idea about -- Do you have any idea about what timeframe he would have hired -- retained you?
- A. I really, again, I don't have an independent recollection of it. I think there's probably a memo out there of me meeting with him, too.
- Q. Okay. Actually, I think there is. Okay, I just uploaded Dulberg Mast Dep Exhibit No. 3 and the top says -- it's titled, "Intake Memo." At the top it says, "Memorandum," it's Popovich, it says

 POP00961 and 000962. Do you recognize this document?
 - A. I -- It looks familiar.
- Q. And it indicates that it's from you, so you would have drafted this document, correct?
- 16 A. I would have dictated it, yeah.
- Q. Okay, and it looks like you had a new client meeting with Paul on December 1st of 2011?
- 19 A. That's what it says.
- Q. Okay. Does that seem like that timeframe would have been roughly correct?
- A. I have no reason not to believe that's accurate.
- Q. Okay. So Paul retained you probably

- sometime in December of 2011 and then you filed a complaint around May 15, 2012?
- 3 A. That's what it appears.

2.

- Q. Okay. So can you just tell me what the case against Mr. -- I'm sorry. Can you describe the case between Paul Dulberg and David Gagnon, Caroline and William McGuire?
- 8 A. What do you mean describe it? What it's 9 about?
- 10 Q. Yeah, basically what was it about?
- 11 A. An injury, a chain saw injury.
- 12 Q. Okay. Was there anything about the case 13 that was unique to you?
- 14 A. Other than it was a chain saw injury.
- Q. Okay. What was your theory of that case?

 What was your theory of liability in the case?
- 17 A. I think the -- Paul had claimed Dave struck
 18 him with the chain saw.
- 19 Q. So was it just a negligence theory or was it 20 a strict liability or --
- 21 A. I believe it was negligence, if I recall 22 correct.
- Q. Negligence against Gagnon, David Gagnon?
- 24 A. Yeah, and I think the McGuires actually were

1 | named as well.

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- Q. And what was the theory as to the McGuires?
- A. I think Paul had said that they were the ones that owned and looked over the work that was being done.
- Q. Okay. So if they owned the chain saw and were overseeing the work, what's the legal theory for liability on that? Why would they be liable?
- 9 A. Under case law potentially there's liable -10 liability for people that oversee and direct the
 11 work.
- Q. Okay, and is that a strict liability or is it some other form of liability?
 - A. It would be negligence.
- 15 Q. So negligent oversight?
- 16 A. Potentially.
- Q. Okay. Were there any other theories that you were going to pursue or could be pursued?
- 19 A. Not that I recall.
 - Q. Okay. So a negligence claim against Gagnon for negligently utilizing the chain saw and then a negligence claim against McGuires for not -- for not controlling his use of the chain saw, is that accurate?

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- A. I don't recall the exact allegations, but I think in a general theme that was what we were going to try to prove.
- Q. Okay. In the intake memo, do you want to go back to that? There are some notes on this exhibit that state -- it looks to me like it says, "Hans BC the accident occurred on their premises, their HO med pay will cover the bills," and then it's signed. Do you recognize that handwriting?
 - A. Yeah, that would be Tom.
- Q. Okay, and what does that note mean?
- 12 A. Medical coverage, medical payments coverage.
- Q. So there -- So the McGuires -- When he says their, is he referring to Caroline and Bill McGuire?
- 15 A. Well, I don't know what he's referring to.
- I think what he's -- Well, he circled their names, so that probably indicates what he's referring to.
 - Q. Okay. Would their -- Would their insurance cover medical bills in an instance like this?
 - A. Possibly.

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- Q. Okay. Did you reach out to their insurance company about covering any medical bills?
- A. I don't recall if that was applicable or I don't know -- I don't recall that issue.

1	Q.	Okay.
2	Α.	Oh, uh, I think It just kicked me off.
3		MR. FLYNN: I got disconnected, too. It's
4	the Wi-F	'i.
5	BY MS. W	ILLIAMS:
6	Q.	Okay, we'll just wait a minute here.
7	Α.	I can hear you. I just can't see you.
8	Q.	We'll wait a minute until you can get your
9	video ba	ck on.
10		MR. FLYNN: Julia, we think the Wi-Fi may
11	have dro	pped here in the office.
12		MS. WILLIAMS: Okay. Well, let's just give
13	it a min	ute and see.
14		MR. FLYNN: Okay.
15		(Whereupon, a break was taken,
16		after which the following
17		proceedings were had:)
18		MS. WILLIAMS: Okay. I think we're back on
19	the reco	rd. Barb, are you doing all right?
20		THE REPORTER: Yes.
21	BY MS. W	ILLIAMS:
22	Q.	Okay. So we just went through the memo that
23	Tom made	a note about insurance and your testimony
24	 was that	you don't recall whether you made any

requests to the McGuires' insurance to pay Paul's medical bills; is that correct?

- A. I don't remember, right.
- Q. Okay. Back to the actual claims made. Do you remember -- Do you recall what the defense was for first Gagnon and then Bill -- William and Caroline McGuire?
- A. What do you mean by defense?
- Q. What was their theory of defense in the case, do you recall? As you understood it.
- A. I mean, that's a big question. I mean,
 they, like every case, they were denying what we were
 alleging.
 - Q. Were they denying the facts? Did they dispute the facts of the case?
 - A. Definitely.

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- Q. Okay. Do you recall what they were alleging as far as the facts that were different from what you were alleging?
 - A. I mean, I can probably answer that for -with an hour -- an hour answer. There's a lot that
 they were denying. There was a lot that, you know, I
 mean, I'd have to -- I could look at their answer. I
 could look at their deposition testimony, but, I

1 | mean, that brings up a lot of issues.

- Q. Okay. Let's -- Let me narrow it down a little bit and try to get more to a point that will be useful for our discussion. At some point, you had recommended that Paul settle the case as to the McGuires; is that correct?
 - A. Yeah.

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- Q. And what was the reasoning for settling the case as to William and Bill McGuire?
- 10 A. Just risk, like you always discuss with any 11 settlement.
- Q. Can you be a little more specific about what type of risk?
 - A. Again, that's a long question but, I mean, it's like any settlement, you're taking a risk if you don't settle the case when you have issues that could be problematic.
 - Q. Okay. When you say issues that can be problematic, and I know it could be a very long answer, but as much as you can, can you summarize what you think those risks were?
 - A. Understanding it's a summary that, I mean, I could probably answer that in a couple hours, but the chance of recovery was in my view very slim if at all

1 because of lots of reasons, one, because of Paul's 2. testimony, Gagnon's testimony, the McGuires' 3 testimony. The evidence didn't seem to be something 4 that was going to allow us to prove the case against 5 the McGuires. Okay. What -- And, again, I understand this 6 Ο. 7 is -- these are very long questions, but just in summary, what were you going to need to prove the 8 9 case against the McGuires? 10 Now, again, understanding I would have to 11 put myself in my place where I was back at the time that I fully evaluated this with Paul, but if I'm 12 13 just trying to come up with some thoughts now years later the case law, I think, was against us. 14 15 defense was going to file a motion for summary judgment if we didn't work out some sort of 16 17 settlement that I felt they were going to win and the 18 testimony from all parties was not helpful to us. Okay. I'm going to move forward and then we 19 Ο. 20 may come back to this a little bit. Do you recall 21 when the first time was that you talked to Paul about 22 settling the claims with the McGuires? 23 Α. No, whenever -- You know, the defense 24 attorney would have reached out to me to ask for some

1 | sort of demand, I assume.

2.

- Q. Did you make a demand at some point?
- A. I think -- I think some of your paperwork showed that I did.
- 5 Q. Okay. I just uploaded Dulberg Mast
- 6 Exhibit 4 and it says letter -- it's "Letter Re
- 7 | Settlement, " and that should be -- still be Exhibit 4
- 8 | that was emailed around to Counsel so that you would
- 9 have it. And it is labeled POP192 and POP193. Do
- 10 | you recognize those documents?
- 11 A. Wait. I think the Internet, maybe because
- 12 | we were having problems, is the Internet went down,
- 13 so now my exhibits aren't pulling up. Can you try it
- 14 | again? Do you have that, George?
- MR. FLYNN: Yeah, here's the hard copy.
- 16 THE WITNESS: I'll look at the hard copy, so
- 17 | what are you asking?
- 18 BY MS. WILLIAMS:
- 19 O. Great. So it should be the document it has
- 20 letterhead on the top, Popovich letterhead on the
- 21 | top, and at the bottom it's POP000192 and
- 22 | POP000193.
- A. Right.
- 24 O. Do you recognize those documents?

1 Α. I mean, they look familiar. Documents from 2. the Popovich firm, if that's what you're asking. 3 Ο. Is that your signature? 4 Α. Yes. 5 So you would have drafted or caused this Ο. 6 letter to be drafted and sent? 7 Α. It appears that way, yeah. And this is a demand letter where you make a 8 0. 9 demand of \$7,500; is that correct? 10 Α. Yes. 11 Q. Do you recall making that demand? 12 Α. No. 13 Do you recall if you talked to Paul prior to Ο. 14 making the demand? 15 Α. I'm sure I would have. 16 Okay. Do you recall -- Do you have any Ο. 17 memos or notes regarding that conversation with Paul? 18 I don't personally. Α. 19 Ο. Okay. If there were memos and notes, would 20 they be in Thomas Popovich's file? 21 Α. It should. 22 Okay. Do you recall any emails about the Ο. 23 demand -- the 7,500 demand?

I know there were lots of emails.

I don't

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Α.

- 1 know if this number is identified in those emails,
- 2 | but, again, it would have been something I would have
- 3 | talked to him about before making it.
- 4 Q. Okay. But at this time you don't know if
- 5 | there are any memos, notes or emails memorializing
- 6 any conversation with Paul prior to sending the
- 7 | October 22, 2013 demand?
- 8 A. Not that I recall.
- 9 O. Okay, and if they did exist, they would be
- 10 | in the possession of Thomas Popovich, correct?
- 11 A. I would think so.
- 12 Q. Okay, and if you had those in your
- 13 possession, you would produce them in discovery,
- 14 | correct?
- 15 A. If I had them.
- 16 Q. Okay. Just uploaded Exhibit 5, and this is
- 17 | email dated October 30, 2013, and it's marked at the
- 18 | bottom POP000195.
- 19 A. Okay.
- 20 Okay, and here in this email it looks like
- 21 | you started this email chain to Paul on
- 22 October 25, 2013. Do you see that?
- 23 A. It looks like there's a couple emails here.
- 24 There's several pages. You just mean the first page?

- Q. I think -- It should only be, I believe it's only one page and it looks like --
 - A. Oh, these aren't part of it? Just one page?
 - Q. The document that I have is just one page.
- 5 | Are we looking at the same thing?
- 6 A. Okay.

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- 7 Q. It's POP00195 on the bottom.
- A. Yeah, he had a couple other pages on it, but okay.
- Q. Okay. I just want to make sure that I didn't -- Okay. And on the bottom there of the first sheet, if you have several, I've only published one sheet for the purposes of this deposition, it states,
- 14 "Friday, October 25, 2013," do you see that?
- 15 A. Where does it say that?
- 16 Q. So about halfway down the page it looks like 17 it says, "Original message from Paul"?
- 18 A. Yeah.
- Q. Okay. So that looks like Paul reached out to you about medical deposition and then on the top it appears to be your reply of October 30, 2013.
- 22 Does that seem like that's accurate?
- 23 A. That's what it shows.
- Q. Okay. Okay. And here you first -- Am I

correct in summarizing this is an email where you 1 2. talk to Paul about liability for Mr. Gagnon? 3 Α. Look likes I did cover that issue. 4 Q. Okay, and do you recall at the time what 5 your purpose was behind this email? I mean, every purpose is just to have open 6 Α. 7 That's all the purpose -communication. Okay. Would you have been trying to explain 8 Ο. to Paul the liability issues in his case that you 9 10 described earlier? 11 Yeah, I definitely was discussing several Α. issues for him so he knows what's going on. 12 13 Okay, and this email response is dated Ο. 14 October 30th, so that was after you sent that initial

- Q. Okay, and this email response is dated
 October 30th, so that was after you sent that initial
 letter. Do you recall whether there would have been
 anything prior to this?
 - A. Whether what was prior to this?

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- Q. Would there have been any communications about liability either to Gagnon or the McGuires prior to the October 30, 2013 email?
- A. Every time we talked, there were issues about liability, I mean, for whatever I first -- he first came to the office I recall he was lots of questions and I gave him lots of answers as is

1 reflected in my emails. 2. Okay. Did you meet with Paul after you sent 3 that October 22nd demand letter? 4 Α. Did I meet with him? 5 O. Yes. In person. I'm sure I did. 6 Α. 7 Okay. Do you recall -- Do you recall Q. meeting -- the dates of those meetings? 8 9 No, I don't recall the dates. Α. 10 Ο. Okay. So I'm going to upload another file 11 here. 12 Yeah, our Internet is down. That's why I Α. 13 can't bring these up. 14 O. Okay. 15 MR. FLYNN: Julia, just so you know, I've 16 got hard copies of the majority of the exhibits you 17 sent with the exception of the larger files, like the 18 insurance policy and the dep transcripts. 19 MS. WILLIAMS: Okay. Okay, great. 20 MR. FLYNN: I've got some of the deposition 21 transcripts, but I didn't want to waste a lot of 22 paper and ink at home. 23 MS. WILLIAMS: Okay. I think we'll be --24 For the most part, I think we'll be fine and we'll

1 deal with it if and when we get to that point. 2. Okay. So the document that I'm looking at 3 now is another email on the -- it's now titled 4 Exhibit 6. I don't think it was entitled Exhibit 6 5 in what I sent to George, but it's an email that the first date on the email is November 4, 2013, and the 6 7 last date on the email is November 5, 2013 email chain and it's -- at the bottom it's stamped 8 9 Dulberg001531. 10 Α. What exhibit is it? 11 Ο. I think it might have been 5-A to George. 12 It's now Exhibit 6 for the purposes of this 13 deposition. 14 Yeah, that wasn't part of the download then. Α. 15 Do you have --16 MR. FLYNN: Yeah, I don't think that was 17 included. 18 THE WITNESS: What's the Bates stamp or 19 what's the stamp? 20 MS. WILLIAMS: The Bates stamp is 21 Dulberg001531. 22 THE WITNESS: Yeah, I don't recall --

the download. I think it just went straight from

MR. FLYNN: I don't recall seeing a 5-A on

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     5 to 6.
 2.
              MS. WILLIAMS: Okay, let me see if I can do
 3
     something else. I'm going to try to share my screen.
 4
     I don't know if I'm going to be able to do it.
 5
    bear with me. Okay. I can't -- I can't share the
     screen. Can I email -- George, can you pull up an
 6
 7
     email if I email it to you?
              MR. FLYNN: I should be able to eventually.
 8
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              MS. WILLIAMS: Okay, let me see if that
10
     will --
11
              THE WITNESS: Let me run to the washroom
     real quick while you guys do --
12
13
              MS. WILLIAMS: We'll take a quick break,
14
     that's fine, we'll try to work this out. If anybody
15
     else needs a break, obviously take a break now.
16
                        (Whereupon, a break was taken,
17
                         after which the following
18
                         proceedings were had:)
19
     BY MS. WILLIAMS:
20
              Okay, back on the record.
                                         This is the
     Exhibit 6 for the deposition and it's marked at the
21
22
     bottom Dulberg001531 and it's an email chain between
23
     Paul Dulberg and Hans Mast dated November 4th through
24
     about November 5th, is that accurate, Hans?
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- 1 A. That's what it appears.
- Q. Okay, and it appears at the bottom that Paul
- 3 | is asking you if he should bring anything to a
- 4 meeting.
- 5 A. Okay.
- 6 Q. And that meeting appears to be at 3:00 p.m.
- 7 on November 4th of 2013.
- 8 A. Okay.
- 9 Q. Is that an accurate description? Okay? Do
- 10 | you recall having --
- 11 A. Go ahead, I'm sorry.
- 12 Q. Do you recall having a meeting on
- 13 November 4th of 2013 with Paul Dulberg?
- 14 A. I don't have an independent recollection.
- 15 Q. Okay. Okay.
- MR. FLYNN: Julia, now I recall, this is a
- 17 | separate exhibit you sent a little bit later than the
- 18 original download, so I did have this.
- 19 MS. WILLIAMS: Okay. Okay. We got it
- 20 | worked out.
- MR. FLYNN: Yeah, okay.
- 22 BY MS. WILLIAMS:
- Q. Okay. So you don't recall calling a meeting
- 24 for November 4th?

- A. We had lots of meetings so --
- 2 Q. Okay.

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- A. -- I don't have an independent recollection of that one particular date.
- Q. Okay. Okay, I'm going to stop screen
 sharing. Okay. I'm going to upload another file.
 This is Deposition Exhibit 7. George, you probably
 had it as Exhibit 6, but for the purposes of this
 deposition right now it's going to be 7 and it's an
 - A. I have these on the computer. You don't need to, unless you want to, but I'm just saying I have these on the computer.
 - Q. Okay, but Barb needs them, so that's why I keep uploading them, otherwise she doesn't have them. Okay. So Exhibit 7, and it's POP00181 and POP00182, and it's two pages of an email chain, November 15th, looks like on the second page it starts November 15th and ends November 19th, is that accurate?
 - A. Yes.

email chain dated --

Q. Okay, great. So here it looks like Paul started this email chain, but then on November 18th you note that, "The McGuires' attorney has offered us, you, \$5,000 in full settlement of the claim

36 June 25, 2020 1 against the McGuires only," do you see that? 2. Α. Yes. 3 0. Okay. Do you recall that offer being made? 4 Α. I do have some recollection of having a 5 conversation with them. Okay. So I'm going to upload another 6 Ο. 7 document and then we can keep going here. And then 8 this is Exhibit 8 and for -- it is a letter from Ronald Barch to you, Hans, and it's POP000667. Do 9 10 you have that? 11 Α. What's it dated? I'm sorry, dated November 18, 2013. 12 0. Yeah, I have that. 13 Α. Okay. And that's a settlement letter from 14 Ο. 15 Barch offering the settlement of \$5,000, correct? 16 Α. Right. 17 Do you recall receiving this letter? Ο. 18 I mean, I don't today recall getting the Α. 19 letter, but I'm familiar with the transaction, yes. 20 Okay. So you would have received the Ο. Okay. 21

- \$5,000 offer from Barch and you communicated it to Paul via the email on November 18th?
- As well as when we talked, yes. Α.

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Okay. Okay. And when did you talk? 0.

- A. Again, I don't know the dates. I just know generally how this all transpired.
- Q. Would you have talked to Paul on the 18th when the letter came in?
- A. It's dated the 18th. I doubt I got it on the 18th. Whenever I got it, I would have told Paul.
- Q. Okay. And it looks like the email you sent, which is Exhibit 7, communicated that offer?
- 9 A. Okay.

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- Q. Would you have talked to the McGuires'
 attorney prior to receiving the letter about the
 offer?
- 13 A. I don't recall. It might have -- that might 14 have happened.
- Q. Okay. Do you recall whether you met with Paul sometime after -- on or after November 18 to discuss the settlement offer?
 - A. I'm sure we did. I know we had several conversations and meetings about that.
- Q. Okay. In this email chain that's

 Exhibit 7 about halfway down the page it says on

 November 18, 2013, at 7:40 p.m., Paul responds to
- 23 your email. Can you see that?
- A. Are we going back to the email now?

- 1 Q. Yep, it's POP00181.
- 2 A. What exhibit?
- Q. It's Exhibit 7.
- 4 A. 7, that's the letter.
- 5 Q. If may be 6 for you. It may be 6 for you.
- 6 A. Let's take a look. What page is the email?
- Q. The date at the top of the email chain is
- 8 Tuesday, November 19, 2013.
- 9 A. Yeah, I have that.
- 10 Q. Okay. And then about maybe halfway down the
- 11 | page it's dated on November 18, 2013, at 7:40 p.m.,
- 12 | do you see that?
- 13 A. Yep.
- 14 Q. And there it says, "Only five? That's not
- 15 | much at all, " do you see that?
- 16 A. That's his response, yes.
- 17 Q. Right. Right. Do you recall talking to
- 18 | Paul about the \$5,000 and that not being much?
- 19 A. Like I said, yes, we've had plenty of
- 20 | conversations and meetings on that.
- 21 Q. Okay. When you originally offered the
- 22 | 7,500, did you talk about what the possible outcomes
- 23 as far as counteroffers, what they may demand,
- 24 | something like that, did you talk about that prior to

1 making that \$7,500 offer? 2. I mean, I think I generally understand what 3 you're asking. Did we just have general 4 conversations of numbers? Yes. 5 Ο. Okav. In this email and this is -- I understand this is speculation, but in this email it 6 7 appears that Paul is surprised that it's \$5,000 was the offer, correct? Would that be fair to 8 9 characterize it that way? 10 Is he surprised at it or is he surprised at the amount? It looks like he didn't think it was 11 12 much. 13 Right. So if you originally offered 7,500 Ο. and they came back at 5,000, in your experience, does 14 that seem like much of a difference when it comes to 15 counteroffers? 16 17 I'll object to the form. MR. FLYNN: 18 THE WITNESS: Yeah, I'm not real sure what 19 you mean by that.

20 BY MS. WILLIAMS:

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Q. I guess let me rephrase because I don't think I'm getting to the point. Prior to making the \$7,500 offer, did you discuss with Paul that the McGuires may come back with an offer that was lower

than the 7,500?

- 2 A. Again, I'm -- I understand the question.
- 3 | I'm just not trying to play games, but you're asking
- 4 | me do I recall specific words that are used or
- 5 | topics. All I can tell you about this is we talked
- 6 about the whole gamut of options, that I didn't feel
- 7 | it was a strong case, that they were reaching out to
- 8 us for \$5,000, and that balancing everything, the
- 9 | risks, costs, even though it wasn't much, it was
- 10 | something that would have been desirable for him if
- 11 | he wants to end up with money versus the McGuires.
- 12 Q. I'm going to add another exhibit here.
- 13 Okay, for the purposes of this deposition it's
- 14 Deposition Exhibit 9. This is a memorandum. At the
- 15 | top it will say, "Memorandum," and the date is
- 16 November 20, 2013, and at the bottom it's identified
- 17 | as POP and then 3 -- there's 000003, I believe. Do
- 18 | you have that?
- 19 A. What exhibit is it?
- 20 Q. I think you're probably going to have it as
- 21 | Exhibit 8, but for the purposes of this deposition
- 22 | it's actually going to be Exhibit 9.
- 23 A. Okay.
- Q. And it's Dulberg Mast Memo,

1 | 2013 November 20.

- A. Okay, yeah.
- Q. Okay. It looks from this memo that you had a meeting with Paul and his friend on November 20th,
- 5 | is that accurately reflected what's stated in the
- 6 memo?

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- 7 A. Yes.
- Q. Do you remember this document? Do you recall this?
- A. As I said before, I understand what you're asking, but we've had lots of meetings. Do I remember that particular date, no, but I remember the
- 13 meetings.
- Q. Do you recognize this memorandum?
- 15 A. I recognize the discussion that's referenced 16 in the memo. I haven't seen the memo for 7 years.
- Q. Okay. Do you recall the advice that you gave in that meeting of November 20th?
- 19 A. Yeah, like I said, it's summarized a little 20 bit in there. Yeah.
- Q. Okay. And what was the -- Why don't -- What was the advice that you gave?
- A. Do you want me to read the memo or you want
 me to just tell you generally what the topics were or

1 | what?

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- O. Generally to the best that you can recall.
- A. Looks like on that day he brought his friend in because before he wanted to consider the offer, he wanted to have his friend come with him to talk about these issues with me. So we went over --
- Q. So --
 - A. Go ahead.
- 9 Q. No, I'll let you finish. Go ahead. I'm
 10 sorry.
- 11 A. Well, we went over all the issues, all the 12 risks, all the money issues, all of the issues.
 - Q. Do you recall who the friend was?
 - A. Not as I sit here today.
 - Q. From this memo it says, "Paul maintains the McGuires controlled everything that they were doing and you told him that wasn't what the evidence seemed to show." So can you expound on what -- This is really going to be a complicated question, but to the best of your ability, can you explain what the theory of your case was against the McGuires and what the evidence was that was going to -- what evidence was your reason for believing that you couldn't prove your theory?

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- Α. We already talked a little bit about that earlier, but every time we met, we talked about this because this was a subject at the time with the McGuires and given the testimony of the McGuires, given Paul's testimony, given the lack of any evidence that they were controlling any work or even knew what Paul was doing, I felt it was a big, high risk of moving forward on that claim. So I'm going to try to summarize this. Ο. Maybe in parts. So in order for the McGuires to be liable for Gagnon's work, Paul would have to prove in his case that the McGuires controlled Gagnon's work, is that accurate? Are you asking me if that's an accurate statement of the law? Q. Yes. I think that's partially right. There's a lot more to it. It's different branches and elements that you have to prove, control was a factual matter, and he would have to be able to establish there was some oversight. It goes down into some factual
 - Q. Okay. So can you -- To the best of your ability, can you kind of walk me through for the

issues that you have to be able to show.

- negligence claim against the McGuires what the legal elements were that you would have to show?
- A. I haven't brushed up recently on that area,
 but I can tell you that under the case law they have
 to have some oversight and control over what was
 going on and some involvement in the work and some
 knowledge higher and above what Paul was doing, and
 if you look at their testimony, they were not out
 there, they were not looking at it, they didn't even
 - Q. And what about David? Did they have to control what David was doing as well?
 - A. I meant David, I'm sorry.

really know what Paul was doing frankly.

- Q. Okay. So the McGuires would have to have oversight and control over David Gagnon?
- 16 A. Over the work.

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- Q. Okay. Over the work. Okay. So William and Caroline did buy the chain saw, correct?
 - A. I believe that is true.
- Q. Okay. But then David Gagnon was the one operating the chain saw?
- 22 A. Right.
- Q. And you would have to show in Paul's case that Bill and Caroline, one or the other, had control

1 over David's operation of the chain saw? 2. Control could mean a lot of things. 3 would have to be in a position to instruct him, tell 4 him what to do, be aware of the work that was being 5 done and have some control over what he was doing. Okay. So in your -- Your opinion of the 6 7 case was that it was insufficient for them to have simply purchased the chain saw and provided it to 8 9 Gagnon? 10 Α. Yeah. 11 And what about if they were paying him? Ο. 12 Would that make any difference? 13 Α. No. 14 I'm sorry, I don't know or no? Ο. 15 Α. No. Just bear with me for a second here. And 16 Ο. 17 you informed Paul -- I'm sorry, let me back up. 18 exhibit -- Deposition Exhibit 7, so it's probably 19 6 for you, the email chain between you and Paul, 20 roughly November 18th through the 19th, Popovich 21 000181, on the bottom of that first page, November 18, 2013, at 1:28 p.m. there's an email from 22

A. Yes.

you. Do you see that?

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1 Ο. "In addition, the McGuires' attorney," so 2. it's ATTY, "has offered us, you, 5,000 in full 3 settlement of the claim against the McGuires only. 4 As we discussed, they have no liability in the case 5 for what Dave did as property owners so they likely will get out of the case on a motion." Did I read 6 7 that correctly? 8 Α. Yes. 9 So this is where you told Paul that you Ο. 10 didn't believe the McGuires had any liabilities for 11 the reasons -- in part for the reasons we just 12 discussed? 13 Right. Α. Ultimately Paul accepted that \$5,000 offer, 14 Ο. 15 correct? 16 Α. Yes. 17 And you communicated that to the other side 0. 18 later in 2013, does that sound correct to you? 19 Α. Yes. 20 I'm uploading Exhibit 10, and it should be 21 Exhibit 10 for you as well, and it's a memorandum 22 dated December 20, 2013, and at the bottom it's 23 POP000884, do you see that? 24 Α. Yes.

- Q. And that's a memorandum that you wrote to the legal file; is that correct?
 - A. It looks like that.
- Q. I think I already said this, it's dated
 December 20, 2013?
- 6 A. Yes.

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- Q. Okay. And the substance of it, it appears that you had a conversation on December 18th with Paul and that he was authorizing you to accept the \$5,000 settlement?
- 11 A. Yes.
- 12 Q. Okay. Do you recall that conversation of 13 December 18?
- A. I recall having lots of conversations, this
 is one of them, and generally I do recall the
 conversations in a general sense, not the exact
 dates.
- Q. Okay. So you don't remember anything
 specific to this December 18th call what you would
 have discussed?
- 21 A. Not other than what I've already said we 22 discussed over the time.
- 23 Q. Okay.
- 24 A. Paul was weighing his options. He knew the

- risk and he had -- he wanted some time to think about it and consider it.
- Q. Okay. All right, just bear with me here.
- 4 Okay, I just uploaded Deposition Exhibit 11, it's a
- 5 | settlement acceptance letter, letterhead from Thomas
- 6 Popovich's office dated December 26, 2013. Hans
- 7 | your signature appears on there and it's POP00670.
- 8 Do you recognize this document?
- 9 A. That appears to be a letter from Popovich's 10 office to defense counsel.
- 11 Q. Do you recognize your signature on here?
- 12 A. Yes.
- Q. And this is the letter where you accepted the offer on behalf of Paul, is that accurate?
- 15 A. It appears, yeah.
- 16 Q. Okay. So the Defendants made the original
 17 offer around November 18 and Paul --
- 18 November 18, 2013, and Paul accepted it around
- 19 December 20, 2013. Is that statement accurate?
- 20 A. I don't have, like I said, independent
- 21 | recollection of the dates. I would just have to go
- 22 off the documents.
- 23 | Q. Okay. Was there -- If that timeframe is
- 24 roughly correct, was there anything that occurred

- 1 during that timeframe that indicated to you, you
- 2 | know, why Paul changed his mind from originally
- 3 | thinking it was too little to now accepting it. Was
- 4 | there anything that stuck out in your mind about
- 5 | that?

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- 6 A. Yeah.
- 7 Q. Can you expound on that?
- A. Well, he had his friend with him during our meeting and he reviewed the depositions.
- 10 Q. Okay. Did he not have the depositions prior 11 to that?
- 12 A. I remember he asked for copies of them, so I
 13 provided them to him.
- Q. Okay, and when you say the depositions, do
 you mean just the party depositions, the McGuires and
 the Gagnon?
- A. I don't remember if I gave him the doctors.

 I don't remember which ones I gave him, but I know

 specifically it was Gagnon and the McGuires.
 - Q. Okay, I'm uploading Dulberg Mast Dep

 Exhibit 12. This is titled, "Legal Research." And

 this is hard because there's -- it's 27 pages. Some

 of them have Bates numbers, but some of them are

 black on the bottom, so I think the Bates numbers

- 1 | didn't -- didn't take, but it's roughly -- looks like
- 2 roughly 204, maybe 205, Dulberg204, 205 through
- 3 | roughly Dulberg00304 -- Actually, I'm sorry, these
- 4 | aren't going to be continuous. But do you have that
- 5 | packet of legal research in front of you? It appears
- 6 | to be copies out of a -- copies of case law out of
- 7 | the Northeastern Digest.
- 8 A. I just have the one case here.
- 9 O. Just one case? Which -- What's the case
- 10 | title?
- 11 A. The first one, it's L A J A T O.
- 12 Q. Okay. Do you -- Did you copy this case law?
- 13 A. I don't know.
- 14 O. Do you recall providing any case law to
- 15 | Paul?
- 16 A. I don't know if I did or didn't. I don't
- 17 | know if he asked.
- 18 Q. Okay. Do you recall doing case law
- 19 research?
- 20 A. I'm sure I did, yeah.
- 21 Q. Would have there been a memo or something
- 22 | regarding that research?
- 23 A. Not necessarily. I was familiar with the
- 24 law.

1 Ο. Okay. Okay. Was there any -- Was there any 2. case law that stuck out to you, any particular cases 3 that stuck out to you? 4 MR. FLYNN: Object to the form. 5 THE WITNESS: You mean stuck out to me with regard to Paul and his case? 6 7 BY MS. WILLIAMS: Were there any applicable cases that 8 0. No. stuck out to you one way or the other as to whether 9 the McGuires would be liable? Was there any specific 10 11 cases that made you think that the McGuires may not 12 be liable given the facts in Paul's case? 13 I mean, you deal with this issue a lot and I 14 can't think of one particular name of a case, but 15 these cases all go along the same line, so there were 16 lots of cases on this one particular issue. Ιt 17 wasn't a complicated issue. 18 So particularly the issue of control of 0. 19 Gagnon. 20 Α. Of a premises owner's liability for an 21 independent contractor. 22 Okay. So can you explain generally what an 23 independent contractor is? 24 I'll give you have an answer if you want, Α.

- 1 | but just, I mean, we're talking now, what is it,
- 2 | 7 years later? I haven't been asked to do any
- 3 research before today's deposition, but so, I mean,
- 4 | if you're asking me for what the case law says, I'd
- 5 | have to look at the case law, if that's what you're
- 6 asking.
- 7 Q. I'm asking based on your -- on your
- 8 | experience and knowledge as a personal injury
- 9 attorney and not necessarily related to Dulberg's
- 10 | case specifically.
- 11 A. Okay.
- 12 Q. But based on your knowledge and experience
- 13 | in premises liability cases, what is an independent
- 14 | contractor?
- 15 A. Someone that works on their own.
- 16 Q. And can you explain what you mean by on
- 17 | their own?
- 18 A. Somebody that's hired, like, somebody that's
- 19 | hired to paint the house.
- Q. Okay. So somebody that's hired by a
- 21 | homeowner or maybe a business?
- 22 A. Yes.
- 23 Q. But someone that's hired by a homeowner but
- 24 | the homeowner doesn't -- doesn't tell them how to do

1 | their job?

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- 2 A. Right.
- Q. Did you ever obtain a copy of the McGuires' insurance policy, do you recall?
 - A. I don't have an independent recollection.
- Q. Did you ever advise Paul as to the limits of the McGuires' policy?
- 8 A. I'm sure we talked about it.
- 9 Q. Okay. I just uploaded Dulberg Mast

 10 Deposition Exhibit 13 McGuire Interrogatory Answers

 11 and they're Bates stamped Dulberg000162 is the first

 12 page and there's roughly 14 pages. Do you see that
- 14 A. Yes.

document?

- MR. FLYNN: This is 14?
- MS. WILLIAMS: It should be Exhibit 13 -
 17 13 or 14. I think I have it as 13. Yes, okay. And

 18 this -- I'm looking at paragraph 15 or at least I'm
- 19 trying to look at paragraph 15.
- Q. Okay. In paragraph 15 it looks like there
 was a question about the homeowner's insurance and
 the McGuires respond with their personal liability
 and their medical liability, do you see that?
- 24 A. Yes.

- 1 O. Okay. Now that you see that, do you recall 2. whether you ever got a copy of that policy? 3 Α. I don't -- You mean the dec pages or the 4 whole policy? 5 O. Either. Did you get a copy of the dec 6 pages? 7 I have no idea. Α. And you have no idea whether you got a copy 8 0. 9 of the whole policy? 10 Α. Yeah, don't know. 11 But they are representing what their Ο. 12 insurance was and the liability there, correct, or 13 their liability coverage there? 14 That's what it appears. Α. 15 Okay. And these -- This was -- looks like Q. this was responded to based on the McGuires' 16 17 signature on roughly the 12th page of the document. 18 It looks like it was August 6th of 2012. 19 Α. That's what it appears. 20 Ο. Yeah. So prior to when they would have made 21 the settlement offers, correct? 22
 - That's what it appears. Α.
- 23 Okay. Did you ever talk to Paul about Ο.
- 24 those -- the limits of the insurance policy and how

- 1 | that may be important in his case?
- 2 A. I suspect we talked about the policy, yeah.
- Q. Okay. Prior to any settlement discussions?
- 4 A. Yeah.
- Q. Okay. But you've already testified you didn't -- You don't know if you -- You don't know if you obtained a copy. What about Gagnon's insurance policy, did you ever obtain a copy of that?
- 9 A. I don't know. I don't know.
- 10 Q. Okay. Did you issue interrogatories to
- 11 | Mr. Gagnon?
- 12 A. I'm sure I did.
- Q. Let me upload this. Would they have been in Popovich's file if you --
- 15 A. Yes.
- Q. Okay. So I can tell you, I don't recall seeing any documents issued by you. I'm going to upload a document that appears to be interrogatories issued by McGuires' counsel in the case. I'm going to upload it right now. It's Exhibit 14 and Answers to Co-Defendant Interrogatories and it is stamped
- 23 A. Yes.

Q. It appears that these were issued by

Dulberg00178. Do you see that document?

- Co-Defendants, in other words, the McGuires, does that seem accurate to you?
 - A. Yes.

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- Q. So would you have issued interrogatories in addition to what the McGuires' counsel issued?
 - A. It's probable.
- Q. Okay. Do you recall one way or the other today as we sit here?
 - A. Not other than it's probable I did.
 - Q. I have not seen those in discovery, so if they exist, we'd ask that they be produced. Do you ever recall talking to Paul about the policy limits of the Gagnon insurance policy?
- A. It's a topic that frequently comes up. I don't have an independent recollection.
- 16 Q. Would you have any memos or notes on that?
- 17 A. I could. I may. I don't have an independent recollection of that.
- Q. Okay. And, again, that would have been in the file that -- in Thomas Popovich's file?
- 21 A. Correct.
- Q. In your knowledge and experience not related to the Dulberg case but just in your general
- 24 knowledge and experience, are there any situations

1 where a homeowner may be strictly liable for someone 2. doing work on their property? 3 MR. FLYNN: I'm just going to object to the 4 hypothetical being inaccurate and incomplete, also 5 calls for an expert opinion. While this witness is a lawyer, I won't necessarily -- I don't expect to call 6 7 him as an F-2 or F-3 witness in the case. THE WITNESS: So you're asking if a 8 9 homeowner can be strictly liable for an injury? 10 BY MS. WILLIAMS: 11 Right. Q. In general terms, not with regard to this 12 Α. 13 case? 14 No, in general terms. I'm just asking in 15 general terms in your -- based on your experience and knowledge of injury cases. 16 17 I mean, I think -- Not in Paul's case, but I 18 think I could probably think of something that maybe 19 could be -- as products strict liability, there's 20 hazardous materials strict liability, there's 21 different issues that potentially factually if 22 they're applicable could apply, but not in Paul's 23 case. 24 Okay. Just in general, what kind of Ο.

hazardous -- When you say hazardous, are you talking about hazardous chemical-type cases?

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- A. There's a string of cases when you're dealing with hazardous chemicals and hazardous materials, like a bomb or something like that, things like that.
- Q. Okay. Okay. Are there any, like, hazardous actions? Could something be considered, like, some type of action be considered hazardous?
 - A. What do you mean by action? Activity?
 - Q. Yeah, like, I'm trying to give you an example because I'm just trying to understand it more than anything else. Yeah, is there an activity that you could be doing on your property, I don't know, like, what about tearing down your home, would that be considered -- would that be something that could be hazardous?
 - A. There would have to be statutory authority for that and there isn't.
 - Q. Okay. Okay. So generally for strict liability there has to be some type of statutory authority for that?
- A. Or common law. Yeah. They have a particular fact pattern.

1	Q. Okay. But this case particularly is simply
2	a negligence case. Paul's case against the McGuires
3	was a simple negligent failure to control case in
4	your opinion?
5	A. That's what was pled.
6	Q. Okay. Did you ever make any ever
7	consider pleading any other allegations?
8	MR. FLYNN: Object to the form.
9	THE WITNESS: I don't No. Not that I
10	recall.
11	MS. WILLIAMS: Okay. Can we take about a
12	4-minute break?
13	MR. FLYNN: Sure.
14	MS. WILLIAMS: Let's just take I just
15	want to take a quick break and review my notes and I
16	want to give everybody an opportunity to kind of
17	stretch for a second. I'm going to go on mute.
18	MR. FLYNN: Okay.
19	(Whereupon, a break was taken,
20	after which the following
21	proceedings were had:)
22	MS. WILLIAMS: Let's go back on the record.
23	Okay, thank you everyone. Okay, just a little bit
24	more here.

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- Q. On -- When you were talking to Paul about settlement in the general timeframe of

 November-December 2013, did you ever suggest at that time that he seek alternative counsel or any recommendation related to that?

 A. I think that did come up.

 Q. Do you recall what your advice to him was or what the discussion was?

 A. I think, you know, we always talk about the
 - risks of not settling and further down the road what, you know, having to try the case and having to try prove the case or getting a motion for summary judgment, having the costs exceed the benefits and all that, and I think my position with Paul, since he didn't give a relatively very good deposition, my thought was we were going to have a tough time, an uphill battle, and he can always seek other counsel if he doesn't agree with me.
 - Q. And you just stated that you thought Paul didn't give a very good deposition, that may not have been your exact language, but roughly that the deposition wasn't great. Can you explain what -- as you recall it, what about the deposition was problematic?

- A. I mean, he even agreed with me, but he just doesn't do a very good job.
- Q. You mean -- Can you expand on that a little bit?
- A. As a witness, as I recall, again, it's been quite some time, as I recall he was -- his testimony wasn't given -- wasn't strong, it wasn't definite, it didn't have credible points and some points were incredible when compared to other -- other testimony.

 I mean, there's just a lot -- there was a lot of problems with his testimony.
 - Q. Okay. Do you recall the circumstances that Paul described as to why he came to the McGuires'?
 - A. I think he was either going to pick up something or drop something off.
- 16 Q. Okay.

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- 17 A. I don't really recall. I'm just thinking 18 back now.
- Q. Okay. Do you recall whether he was asked to come over to help with the tree, to help take down the tree? Was that the purpose of his visit?
 - A. I don't recall that.
- Q. Would it matter as for liability whether it was or wasn't?

1 Α. As by who? As to whose liability? 2. Ο. I'm sorry, his and McGuires' liability. 3 Α. As to how he got there? 4 Q. Whether he was -- Whether he was invited for 5 the purpose of assisting with the removal of the 6 tree. 7 MR. FLYNN: Object to the form. Just invited by whom? 8 9 THE WITNESS: Yeah, that's a complicated 10 question, but I don't think --11 BY MS. WILLIAMS: 12 Let me clarify if I can. Okay. Ο. So my question was does it matter if the McGuires invited 13 14 Paul to their residence to remove the tree on that --15 on the June -- roughly June, I believe, 2011 date? 16 MR. FLYNN: Object to the hypothetical. 17 THE WITNESS: I don't think it matters. BY MS. WILLIAMS: 18 19 Ο. Okay. Would it matter if they were paying 20 Paul? 21 Α. That's not the issue. The issue is Dave. 22 Okay. So the relationship between the Ο. 23 McGuires and Paul is somewhat irrelevant?

I'm just saying the issue really that --

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Α.

about liability is Dave's relationship with them.

- Q. Because Dave is the one that controlled the chain saw that injured Paul, is that accurate?
- A. He was the one hired to do the work or asked to do the work, however, whatever that background was.
 - Q. And Caroline and William McGuire both testified that they had never used a chain saw; is that correct?
- 10 A. I think that's accurate. I'd have to 11 refresh my memory, but that sounds right.
- 12 Q. Okay. Do you remember discussing bankruptcy
 13 with Paul?
- 14 A. I don't remember that.

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- 15 Q. Do you remember that Paul filed for 16 bankruptcy? Do you recall that?
- 17 A. I saw a -- Maybe I didn't see one. I

 18 remember there was some sort of bankruptcy matter. I

 19 don't know the dates or when it came up.
- Q. Okay. Do you recall if you advised Paul to file for bankruptcy?
- A. I don't advise people to file for bankruptcy.
- Q. All right. So you would not have advised

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     Paul to file for bankruptcy?
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         Α.
              Would not.
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         Ο.
              Okay. And then sometime after the McGuire
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     settlement but before the -- but while the Gagnon --
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     the claims against David Gagnon were still pending
     you withdrew from the case; is that correct?
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 7
              The law firm did. I -- Again, he hired the
         Α.
     law firm.
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9
         Ο.
                            I'm sorry. The Popovich firm
              Sure. Sure.
10
     withdrew?
11
         Α.
              Right.
              And I -- Let's see -- I think we're on
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         0.
13
     Exhibit 14.
14
              THE REPORTER:
                             15.
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              MS. WILLIAMS: 15, okay.
16
         Q.
              I have, I think, one more and then -- Okay,
17
     I am uploading Exhibit 15, Dulberg Mast Dep
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     Exhibit 15. It's a motion to withdraw and it's four
19
     pages and on the first page it has a Dulberg versus
20
     Gagnon case caption and file stamped March 13, 2015.
21
     Do you have that document?
22
         Α.
              Yeah.
23
              And this is the Popovich's firm motion to
24
     withdraw as counsel for Paul Dulberg in the Dulberg
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- 1 | versus Gagnon-McGuire case, correct?
- 2 A. Yes.
- Q. And you drafted or caused this motion to be drafted and filed?
- 5 A. Yes.
- Q. And was it granted that same day it was
- 7 | filed?
- 8 A. I'm sure it had to be noticed up.
- 9 Q. Okay. On the notice of motion it looks like 10 it was noticed for March 13, filed on March 13, but
- 11 sent to the service list on March 5th, does that seem
- 12 | accurate?
- 13 A. That's what it says.
- Q. But at any rate, you withdrew sometime in roughly March of 2015?
- 16 A. It appears that way. Again, I don't have an independent recollection of the date.
- Q. Okay. Okay. That's fine. And I didn't see
- 19 it -- an order actually showing the exact date of
- 20 when you withdrew. Can you explain why you withdrew
- 21 | from the case?
- 22 A. The short version is just we had a
- 23 difference of opinion.
- Q. Can you give me the long version or slightly

longer?

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- A. Well, we have difference of opinion but Paul was a bit difficult, so I just had to -- there were a couple times that I told him I was going to withdraw and then he begged me not to and so I didn't, but then ultimately he -- it got pretty -- it got pretty tough. He was saying some unfavorable, unflattering things and I just decided we're not going to get anywhere, I'm going to move on.
- 10 Q. Okay, so you -- the client relationship 11 broke down and you withdrew?
- 12 A. Yes.
- Q. Okay. Was there anything about Gagnon's liability or your thoughts on his liability that would have caused you to withdraw?
 - A. That was another aspect of it. Paul was looking for the stars and the moon and I didn't see it.
- Q. And when you say Paul was looking for the stars and the moon, you mean -- Well, what do you mean by that?
 - A. He was looking for a lot of money.
 - Q. Okay, and what was your opinion as to David Gagnon's liability in the case?

1 Α. I didn't think much of the liability issue. 2. I thought it was going to be a long, tough haul given 3 that --4 Q. And --5 -- Paul was going to be our only witness on our side pretty much. 6 7 Ο. Okay, and there were no other witnesses other than Paul and David; is that correct? 8 9 Α. Correct. 10 And what about -- Anything related to, like, Ο. 11 the actual injury, the doctors' depositions or 12 anything like that? 13 That all -- It was the whole ball of wax. 14 The doctors weren't supporting his claim. Dave was 15 saying he's a liar, he tried to bribe him. There was just a lot of -- a lot of bad stuff, not enough good 16 17 stuff. 18 Okay, and then at that point you and Paul 0. 19 disagreed and Paul retained alternative counsel? 20 Α. Right. Okay. Was there anything else about the 21 Ο. 22 case that you can recall right now that gave you 23 pause as to the liability either to the McGuires or 24 David Gagnon?

- A. Anything other than what? Pretty much everything was not good.
- Q. Okay. I mean, anything that we haven't really discussed here today. We've talked about Paul's testimony, Gagnon's testimony a little bit,
- 6 the McGuires, the premises liability. We talked -7 You mentioned the doctors' depositions. Is that sort
- 8 of the general gamut of it?
- 9 A. That's the whole case.
- 10 Q. Okay. Have you ever had any other chain saw
 11 liability cases other than this particular case?
- A. I'm sure I have. I don't -- If you're going
 to ask me to name a date, I don't know. I mean, it's
 not a common issue, but it comes up from time to
 time.
- Q. Okay. Did you state -- Did you seek out a liability expert, a chain saw liability expert, during the time you were representing Paul?
- 19 A. No.

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- O. Is there a reason for that?
- A. That's always a possibility. It's always a consideration, but I had to consider even more whether we could even get to prove a credible case and that was my first object, my first -- my first

tier. It doesn't do any good to hire an expert if you don't have a good case.

- Q. Okay. Okay. If you were going to take the case to trial, at that point would you have hired an expert, chain saw expert?
- A. For this case, I don't know. I'd have to look at it again and see what we need to prove, what they're arguing. There's -- As I recall, they weren't arguing the chain saw -- They weren't arguing. He didn't get hit with the chain saw. So I'm not real sure. I'd have to think whether we need to prove -- what we need to prove, anything more than that.
- 14 0. Okay.

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- 15 A. It was more what happened, who caused it to 16 happen, not that it happened.
 - Q. Okay. Is there a difference between an independent contractor and an employee?
 - A. In terms of what? In terms of duty or what?
- Q. Right. In terms of the supervisor's duty.
- 21 So if the Gagnons -- If Gagnon was, and this is a
- 22 hypothetical, if Gagnon was an employee of his
- 23 parents as opposed to an independent contractor,
- 24 | would there be a liability difference?

1 MR. FLYNN: Object to the hypothetical. 2. It's inaccurate and incomplete. 3 THE WITNESS: That's a very complicated 4 question, even though it doesn't sound like one. Ιt 5 depends on lots of things. BY MS. WILLIAMS: 6 7 Ο. Okay. We've already talked about an independent contractor. So just in your experience 8 9 and knowledge, what is a supervisor's duty as to an 10 employee? That's actually a really terrible 11 question. Let's strike that question. 12 Is there a difference -- Is there a 13 difference between the control aspect of -- Would 14 an -- Let me start again. This is a complicated question, more complicated than I'm anticipating 15 16 right now. Okay. 17 We've generally established that in order 18 for an -- someone who hires an independent contractor 19 to be liable for the actions of that independent 20 contractor, they would have to control the work. In 21 a situation, an employer-employee situation, is that 22 control element also present when considering 23 liability? Does the employer have to control the 24 work of the employee in the same way?

1 Α. I think there are --2. MR. FLYNN: I just want to raise an 3 objection for the record. I object to the form. 4 think that the premise of the question indicated that 5 we already established some legal precedent. I don't think that's the case. I don't think that he's 6 7 testified to that, so, again, I'll just object to the form. But if you can --8 9 THE WITNESS: You're asking me to compare 10 two different theories without a fact pattern, but there's a lot to each issue and it's hard to just 11 say, well, if you have this, then you have that. 12 13 There's a lot of different facts that apply, but now 14 I'm forgetting what you asked initially about the 15 employer-employee question. BY MS. WILLIAMS: 16 17 So I guess my question to the point of is an Ο. 18 employer liable for their employees in a different 19 way than a homeowner would be liable for an 20 independent contractor? 21 Α. I think --22 Based on -- You go ahead. Ο. 23 I think under the law there are different Α. 24 elements to those actions.

- O. And there are --
- 2 A. Go ahead.

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- Q. So there would be different elements if something was an employer-employee situation, that would be different law, different case law?
 - A. Yeah, there's a different cause of action.
- 7 Q. Okay.
 - A. Different elements potentially have to be pled and proved.
 - Q. Okay. But in this case you were trying to prove -- In Dulberg's case against the McGuires and Gagnon you were trying to show that -- The theory of the case was that Gagnon was not an employee, but an independent contractor, and the McGuires had to control him in order to be liable?
 - A. Well, that's ultimately what it appeared. You followed the evidence, you follow the facts, so if it turned out it was employee-employee-employee relationship, that's a different evaluation.
 - Q. Okay. So but, for the most part, you were -- your evaluations of the liability were based on an independent contractor analysis?
 - A. Well, that's where it went because of the evidence.

1 MS. WILLIAMS: Okay. I'm going to go on 2. mute for just a second so you guys don't hear me 3 shuffling papers, but I think I'm almost finished 4 here or may be finished. 5 MR. FLYNN: Okay. 6 BY MS. WILLIAMS: 7 Okay. Just a couple more questions and then Ο. we'll wrap things up here. When did you first advise 8 9 Paul that you didn't think the claims against Gagnon 10 were going to be very strong? 11 Probably day one. Α. Before the settlement with the McGuires? 12 Ο. Yeah. 13 Α. And did you discuss that several times prior 14 Ο. 15 to that McGuire settlement? Like I said, we discussed those issues every 16 Α. 17 time we'd meet, liability issues, damages issues. 18 Do you recall any particular instances, like 19 maybe after Paul's deposition, after David's 20 deposition, did that stick out in your mind at all? 21 Α. Discussing what, the issues of liability 22 against Gagnon? 23 0. Yes. 24 Those are probably something we talked about Α.

every visit.

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- Q. Okay. So we discussed this a little bit before, but I believe the testimony was that the McGuires testified that they purchased the chain saw and I believe you said yes, that was your recollection as well; is that correct?
 - A. That sounds right. I just don't have an independent recollection at this point.
 - that -- Just for the purposes of this, let's assume that the McGuires did -- it was their chain saw, they purchased it and let Gagnon use it on their property. Would they have any duties to share the manual of that chain saw with Gagnon or provide any other education as to the use of the chain saw to Gagnon?

If the McGuires -- Let's assume

- A. All right, so you're asking me to make a judicial decision whether they had a duty or not?
- Q. No, I'm asking you in your experience with these types of cases is there any duty there for them.
 - A. All right, so a legal duty?
 - Q. Right. Right. And -- Go ahead, George.
- MR. FLYNN: Yeah, I'll just object. I mean,
- 24 | there isn't any evidence that Gagnon asked for a

1 manual, for one, but as far as him providing legal 2. opinions not based on the facts of this case, I'm 3 just going to caution him not to provide what could 4 be considered an expert opinion. 5 THE WITNESS: You don't want me to answer? It's up to you. I don't know if 6 MR. FLYNN: 7 you can. I don't remember the question. 8 THE WITNESS: You're asking me should the McGuires have given 9 10 Gagnon the manual to the chain saw? 11 BY MS. WILLIAMS: 12 0. Yes. 13 Sure, if he asked for it or if they wanted Α. 14 to give it to him. 15 Are there any other warnings that they 0. 16 should have provided? 17 See, I mean, you're asking me to -- I get 18 the question, but I'm saying you're asking me to 19 evaluate the conduct of both parties and interpret 20 something and I don't know that that's my position as 21 a witness, but should they have warned him? 22 know, sure, go ahead and warn him, but obviously when 23 you take on a piece of equipment that you're skilled 24 and experienced in operating, you should be able to

1 operate it effectively yourself safely. 2. Ο. Sure. Okay. And --3 Α. So I mean --4 Q. Okay. But today you're not giving an 5 opinion one way or the other whether they had a duty to provide warnings, whether they had a duty to 6 7 provide the manual, fair enough? Yeah, legal wise, no, I'm not giving you a 8 Α. 9 legal opinion on that. 10 MS. WILLIAMS: Okay. Okay, I don't think I 11 have anything further. 12 MR. FLYNN: I actually have just a few 13 follow-ups to that. 14 MS. WILLIAMS: Sure. 15 EXAMINATION 16 BY MR. FLYNN: 17 Hans, is your understanding based on the Ο. 18 evidence that there were only two eyewitnesses to 19 Mr. Dulberg's accident, correct? 20 Α. Correct. That was Mr. Dulberg himself and David 21 Ο. 22 Gagnon? 23 Α. Correct. 24 And did you have an understanding as to how Ο.

- the evidence and testimony shook out as to each gentleman's version of the accident and how it occurred?
 - A. Well, as I said before, I thought Paul's case was going to be very difficult to prove based on the testimony of everybody, credibility issues, and the lack of evidence to support and prove.
 - Q. David Gagnon's testimony regarding the facts surrounding the accident differed from Paul Dulberg's version of the facts, correct?
 - A. Correct.

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- 12 Q. You took that into account in your 13 evaluation and analysis of the case?
 - A. Definitely.
 - Q. Did you also take into account your professional analysis of Paul Dulberg's performance as a witness at his discovery deposition?
 - A. Definitely.
 - Q. You didn't think he made a very good witness for himself, did he?
 - A. He even admits he didn't and I don't think

 he -- I think -- that was one of the worst -- that

 was one of my worst fears with this case. I had lots

 of cases and on a scale of weak witnesses, he's

- probably up at the top, and I'm not putting him down,
 that's just a reality and I think he even
 acknowledged that reality.
 - Q. Okay. Not everyone is a professional witness?
 - A. Right.

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- Q. Okay. Generally speaking, your evaluation of the case hinged in part on whether the McGuires controlled the manner and method of the use of the chain saw, correct?
 - A. Right.
- Q. Do you have any recollection as to what the McGuires were doing while the work was being done?
- A. They were inside the house, just another day to them. They weren't even -- I don't think even paying attention to what was going on outside.
- Q. Did Mr. McGuire testify that he was watching television inside the house while David was working on the tree?
- 20 A. They were both inside as I recall.
 - Q. Your recommendation or suggestion that Mr. Dulberg settle the case for \$5,000 was based on your analysis of the entire case, including the risks and benefits of going forward and potentially losing

1 the case at trial, correct? 2. Α. Yes. 3 0. Did you have any way to predict whether the 4 case would result in a verdict on behalf of the 5 plaintiff in the case against the McGuires? 6 Α. I'm sorry? 7 0. Did you have any -- Did you have any certainty as to whether Mr. Dulberg could prevail at 8 9 trial on liability against the McGuires? 10 I would have staked a lot that we would not 11 have recovered in the case and just something that 12 didn't come up with the direct is they didn't offer 13 the arbitrator to me. That was something that was 14 later decided. I talked to them about that. 15 did not offer that to me, so that was not an option 16 to me. So you were -- Based on your professional 17 0. 18 judgment, you suggested that you attempt to settle 19 the matter as opposed to taking it to trial versus 20 the McGuires, correct? 21 Α. Right. 22 MR. FLYNN: Okay. That's all I have. 23 I have no follow-up. MS. WILLIAMS: 24 THE REPORTER: Signature?

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THE WITNESS: I'll waive signature.
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              MS. WILLIAMS: We'll order the original,
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     E-tran.
              MR. FLYNN: I'll take a regular and a mini
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     copy.
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1	DECLARATION UNDER PENALTY OF PERJURY
2	
3	I, HANS MAST, do hereby certify under
4	penalty of perjury that I have read the foregoing
5	transcript of my deposition taken on June 25, 2020;
6	that I have made such corrections as appear noted
7	herein in ink, initialed by me; that my testimony as
8	contained herein, as corrected, is true and correct.
9	Dated this,
10	20, at, Illinois.
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15	HANS MAST
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     STATE OF ILLINOIS
                           SS:
                        )
     COUNTY OF C O O K
                        )
 3
 4
              I, Barbara G. Smith, Certified Shorthand
 5
     Reporter and Notary Public in and for the County of
 6
     Cook, State of Illinois, do hereby certify that on
 7
     the 25th of June, A.D., 2020, the deposition of the
 8
     witness, HANS MAST, called by the Defendants, was
9
     taken remotely before me, reported stenographically
10
     and was thereafter reduced to typewriting through
11
     computer-aided transcription.
12
              The said witness, HANS MAST, was first duly
     sworn to tell the truth, the whole truth, and nothing
13
14
     but the truth, and was then examined upon oral
15
     interrogatories.
16
              I further certify that the foregoing is a
17
     true, accurate and complete record of the questions
18
     asked of and answers made by the said witness, at the
19
     time and place hereinabove referred to.
20
              The signature of the witness was waived by
21
     agreement.
22
              The undersigned is not interested in the
     within case, nor of kin or counsel to any of the
23
24
    parties.
```

1	Witness my official signature and seal as
2	Notary Public, in and for Cook County, Illinois on
3	this 7th day of July, A.D., 2020.
4	
5	
6	Bubaca & Smith
7	
8	Barbara G. Smith, CSR, RPR
9	Notary Public 200 West Jackson Boulevard, Suite 600
10	Chicago, Illinois 60606
11	License No. 084-002753
12	License No. 064-002/55
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STATE OF ILLINOIS) SS:

COUNTY OF MCHENRY) SS:

IN THE CIRCUIT COURT OF THE 22ND JUDICIAL CIRCUIT MCHENRY COUNTY, ILLINOIS

PAUL DULBERG,) Plaintiff,) No. 17 LA 377

THE LAW OFFICES OF THOMAS) POPOVICH and HANS MAST,) Defendants.)

The remote videoconference deposition of
HANS MAST, appearing remotely from McHenry County,
Illinois, called by the Plaintiff for examination,
pursuant to subpoena and pursuant to the Code of
Civil Procedure of the State of Illinois, and the
Rules of the Supreme Court thereof, pertaining to the
taking of depositions, for the purpose of discovery,
taken before Barbara G. Smith, appearing remotely
from Will County, Illinois, Certified Shorthand
Reporter and Notary Public within and for the County
of Cook and State of Illinois, commencing at the hour
of 10:00 a.m. on the 25th day of June, A.D., 2020.

Hans Mast June 25, 2020

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1
     STATE OF ILLINOIS
                              SS:
     COUNTY OF MCHENRY
 3
       IN THE CIRCUIT COURT OF THE 22ND JUDICIAL CIRCUIT
 4
                    MCHENRY COUNTY, ILLINOIS
 5
     PAUL DULBERG,
                                    )
 6
              Plaintiff,
 7
         -vs-
                                      No. 17 LA 377
 8
     THE LAW OFFICES OF THOMAS
                                    )
 9
     POPOVICH and HANS MAST,
                                    )
                                   )
10
              Defendants.
                                   )
                                   )
11
12
              The remote videoconference deposition of
13
     HANS MAST, appearing remotely from McHenry County,
14
     Illinois, called by the Plaintiff for examination,
15
    pursuant to subpoena and pursuant to the Code of
16
     Civil Procedure of the State of Illinois, and the
17
     Rules of the Supreme Court thereof, pertaining to the
     taking of depositions, for the purpose of discovery,
18
19
     taken before Barbara G. Smith, appearing remotely
20
     from Will County, Illinois, Certified Shorthand
21
     Reporter and Notary Public within and for the County
22
     of Cook and State of Illinois, commencing at the hour
     of 10:00 a.m. on the 25th day of June, A.D., 2020.
23
24
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1
     REMOTE APPEARANCES:
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              On behalf of the Defendants.
12
13
     ALSO PRESENT: Mr. Paul Dulberg
14
15
16
17
18
19
20
21
22
23
24
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1	I N D E X
2	
3	WITNESS EXAMINATION
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21	No. 15 64
22	(All exhibits provided electronically to the reporter.)
23	
24	

1	THE REPORTER: The attorneys participating				
2	in this deposition acknowledge that I am not				
3	physically present in the deposition room and that I				
4	will be reporting this deposition remotely. They				
5	further acknowledge that, in lieu of an oath				
6	administered in person, the witness will verbally				
7	declare his testimony in this matter is under penalty				
8	of perjury. The parties and their counsel consent to				
9	this arrangement and waive any objections to this				
10	manner of reporting. Please indicate your agreement				
11	by stating your name and your agreement on the				
12	record.				
13	MS. WILLIAMS: Julia Williams. I agree.				
14	MR. FLYNN: George Flynn. I agree.				
15	THE REPORTER: Will the witness kindly				
16	present his government-issued identification by				
17	holding it up to the camera for verification?				
18	(Witness presents				
19	government-issued identification				
20	and identity is verified.)				
21	THE REPORTER: Thank you.				
22	HANS MAST,				
23	called as a witness herein, having been first duly				
24	sworn, was examined and testified as follows:				

1	EXAMINATION
2	BY MS. WILLIAMS:
3	MS. WILLIAMS: Okay, so this is the
4	discovery deposition of Hans Mast taken pursuant to
5	all applicable rules and notice in the case of
6	Dulberg versus The Law Offices of Thomas Popovich,
7	et al. This deposition is being taken for the
8	purposes of discovery.
9	Q. Hans, can you state your name for the
10	record, please?
11	A. Hans Mast.
12	Q. Have you had your deposition taken before?
13	A. Yes.
14	Q. And how many times?
15	A. I think two.
16	Q. And for what purpose?
17	A. Long, long time ago I think there was a
18	malpractice case I was a witness on and a legal a
19	medical malpractice case that turned into a legal
20	malpractice case, not against me but against the
21	office I was with.
22	Q. Okay. So you weren't named in the
23	lawsuit as a defendant?
24	A. I might have been named. I might have been

- 1 named, but I was somebody that appeared on a motion.
 2 I think I got out eventually.
 3 Q. Okay, and then -- And then -- Sorry. And
 4 then you said you think twice, so do you know
- then you said you think twice, so do you know

 approximately what year that medical malpractice case

 that turned into a legal malpractice case, do you

 know roughly what year that was?
- 8 A. '94 or something.
- 9 Q. Okay, and then the second time, what would 10 have that been?
- 11 A. It's not coming to me. It was another legal 12 case. I don't remember the details.
 - Q. Okay --
- 14 A. There -- Go ahead.
- Q. More than 10 years? I'm sorry, I didn't mean to interrupt you.
- 17 A. Yes.

- Q. We can go over the -- I'm going to try not to to interrupt you, you're going to try not to interrupt me. You've taken depositions before, I'm sure we can get into that and appreciate you answering orally, all of those typical things that apply, and I'll try not to interrupt you too much.
- 24 Have you ever -- Other than the one time you just

- identified, have you ever been sued other than this suit for legal malpractice?
- A. No.

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- Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal malpractice suit? Do you know what the basis of the suit was?
- A. It was a medical malpractice case that I think lost on a summary judgment motion and they were -- the client was suing the office and I think I got involved in it because I was on a motion.
- Q. Were you the one that drafted the summary judgment motion?
- 14 A. I don't think so. I don't really remember 15 clearly back then, but I don't think I did.
- 16 Q. Okay.
- 17 A. I think I argued -- I might have argued it.
- 18 | I don't remember.
- 19 Q. Okay. Have you -- Have you taken 20 depositions before?
- 21 A. Yes.
- Q. Roughly how many depositions do you think you've taken in your legal career?
- 24 A. Lots. Lots.

- Q. Hundreds?
- 2 A. Probably.

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- Q. Oh, I'm sorry, I forgot to do this, but I think we saw your room. It's just you and George Flynn in the room with you, correct?
 - A. Yes.
 - Q. And there's no one else in the room and if there were, you would identify them, correct?
- 9 A. Yes.
 - Q. And you don't have any devices or anything with you? You're not communicating with anyone during this deposition other than the attorney in the room with you, correct?
 - A. And you and who else is on this meeting.
 - Q. Okay. I'm sorry, let me rephrase. Is there anyone that I don't know that you are communicating with that I wouldn't know?
 - A. Not that I'm aware of.
 - Q. Okay. If you take any notes or otherwise communicate with people during the deposition, we just ask that those notes be produced. Okay. Did you do anything to prepare for the deposition today?
- A. Well, I just saw some exhibits you sent
 George. I didn't really prepare them. I looked them

1	over briefly.		
2	Q.	Did you review any of the other files that	
3	have been produced in this case?		
4	Α.	No.	
5	Q.	Did you review any notes?	
6	Α.	No.	
7	Q.	Any other documents?	
8	Α.	No.	
9	Q.	Did you meet with anyone	
10	Α.	Other than George?	
11	Q.	to prepare? Other than George.	
12	Α.	No.	
13	Q.	And you did meet with George, I'm	
14	assuming	. I don't want to know the contents of that	
15	meeting,	but you met with George to prepare?	
16	Α.	Not very long.	
17	Q.	Okay. Did you talk to anyone else about	
18	today's (deposition prior to the deposition today?	
19	Α.	No.	
20	Q.	Where did you go to law school?	
21	Α.	Kent.	
22	Q.	And what year did you graduate?	
23	Α.	'91.	
24	Q.	And were you admitted to practice in	

```
1
     Illinois that same year?
 2.
         Α.
              Yes.
              And have you -- Are you admitted to practice
 3
         0.
 4
     anywhere else?
 5
         Α.
              No.
              Have you ever been reprimanded or
 6
         Ο.
 7
     disciplined by any courts?
 8
         Α.
              No.
 9
              Have you ever been publicly reprimanded or
         Ο.
10
     disciplined by any oversight body, such as the ARDC?
11
         Α.
              No.
              When did you start practicing?
12
         0.
13
               '91.
         Α.
14
              And where did you start?
         Ο.
15
         Α.
              In Rockford.
16
              With a firm?
         Q.
17
              Yeah, Cacciatore.
         Α.
18
              And how long were you there?
         Ο.
19
              About a year and a half.
         Α.
20
         Ο.
              And what kind of work did you do there?
              Personal injury, plaintiff.
21
         Α.
22
              Have you done personal injury your entire
         Ο.
23
     career?
24
              No, I did some defense work.
         Α.
```

1	Q.	Okay. So you were at Cacciatore for a year		
2	and a hal	f and you were doing plaintiff's personal		
3	injury wo	ork. What did you do after that?		
4	Α.	I went to the Loggans firm in Chicago for		
5	about 6 months.			
6	Q.	And what did you do there?		
7	Α.	Plaintiff's.		
8	Q.	PI again, personal injury?		
9	Α.	Yeah.		
10	Q.	And after that?		
11	Α.	Judge and James in Park Ridge.		
12	Q.	And how long were you there?		
13	Α.	7 years, I think.		
14	Q.	Did you do plaintiff's personal injury there		
15	as well?			
16	Α.	No, that was defense.		
17	Q.	What kind of defense work?		
18	Α.	Lots All kinds, municipal, tort.		
19	Q.	Did you defend personal injury cases while		
20	you were	there as well?		
21	Α.	Yes.		
22	Q.	And then after that, where did you go?		
23	Α.	Kemper, I think.		
24	Q.	And how long were you with Kemper?		

1 Α. I think a couple years. Maybe a little more 2. than that. 3 Q. And what kind of work did you do at Kemper? 4 Α. Defense. 5 Q. Defense of what type of cases? 6 Lots of different kinds, auto accidents, Α. premises. 7 8 Mostly torts though, negligence-type cases? Q. 9 Yes. Α. 10 Ο. And then after Kemper? 11 I think Popovich was next. Α. 12 And how long were you with the Popovich Q. 13 firm? 14 About 18 years, I think. Α. 15 And do you know what year you -- roughly Q. 16 what year you joined Popovich? 17 2001 maybe. Α. 18 And you were there for roughly 18 years you Ο. think? 19 20 Α. Yes. 21 Ο. So you left maybe just last year? 22 In '18. Α. 23 0. 2018? 24 Yeah. Α.

- 1 Q. And why did you leave Popovich?
- 2 A. To start on my own.
- 3 Q. And where are you now?
- 4 A. With Compton Law Group.
- 5 Q. I'll give you just a second to come back.
- 6 A. Yeah.
- Q. And what types of -- I'm sorry, I'm going to go back to the Popovich firm. What kind of cases did you handle at Popovich's firm?
- 10 A. Plaintiff's personal injury, all kinds.
- Q. And then at Compton, what kind of work do
- 12 | you do?
- 13 A. Same thing, same kind of cases, plaintiff's 14 personal injury.
- Q. So is it fair to say you've been doing
- 16 plaintiff's personal injury cases steadily throughout
- 17 your career?
- 18 A. Yeah, except for the time I was with the
- 19 defense offices.
- 20 Q. Okay. But you were still doing personal
- 21 | injury, just on the defense side, not on the
- 22 | plaintiff side?
- A. Right.
- Q. Okay. Did you answer discovery in this

- case, in the malpractice case that we're -- the Dulberg versus Thomas Popovich case?
 - A. I think I did.
- Q. Do you remember -- Did you review discovery in this case, do you recall?
- A. Like I said, I think I answered some and signed off on some, I just don't remember. I haven't seen them recently.
- 9 Q. Okay. Okay. If you recall, do you remember 10 reviewing the documents that were produced in this 11 case?
- 12 A. I don't know what was produced.
- 13 Q. Okay.

- 14 A. I assume the file.
- Q. Right. Okay, if I represented that the file was produced, would that make sense to you? Can we kind of agree that the file was produced?
 - A. Well, if you told me that.
- Q. Okay. So when the file was produced, I
 don't know if you recall, there were black -- some
 black pages between the file. Do you remember any
 discussions about that?
- A. I didn't produce anything so and I haven't reviewed what was produced, that wasn't my -- I was

- 1 | in a different office when it was produced, I think.
- Q. Okay. So Thomas Popovich would have had
- 3 | possession of the file?
- 4 A. Right.
- 5 Q. You did not have possession of any documents 6 from the underlying case, from the Dulberg versus
- 7 | Gagnon-McGuire case?
- 8 A. I didn't.
- 9 Q. Okay. So you would not have had access to
- 10 | that file since you were with Thomas Popovich in
- 11 | 2018?
- 12 A. Once I left the firm, I have not had the
- 13 | file.
- 0. Okay. In this case did you produce emails
- 15 | that you possessed or did you not have access to
- 16 | those either?
- 17 A. I would -- I don't know what was produced,
- 18 | again, by the Popovich firm. I don't know if they
- 19 | had my emails, but I have a new email address. I
- 20 | don't think it's the same as it was back then.
- 21 0. Okay.
- 22 A. So I didn't produce anything.
- 23 Q. So you didn't produce any emails or
- 24 | communications that -- in the -- from the underlying

1 | case?

- 2 A. Not that I'm aware of. Unless I produced it
- 3 | to Popovich and he produced it. I don't know how
- 4 | that worked.
- 5 Q. Okay. When were you retained by Paul
- 6 Dulberg?
- 7 A. I don't recall. I'm assuming there's
- 8 paperwork that shows that.
- 9 Q. Yes. Let me upload a file here. Just give
- 10 | me a second.
- 11 A. I don't think he retained me. I think he
- 12 | retained Tom Popovich's office.
- Q. Okay. I just uploaded a file that's titled
- 14 Dulberg Mast Dep Exhibit 1, if you can -- And,
- 15 George, you should have that as well -- and it should
- 16 | be the retainer contract.
- 17 A. Yeah, I see it.
- 18 Q. Okay. So it's a contract for legal services
- 19 and it's marked POP, P O P, 000586 on the bottom,
- 20 | just for reference, so this will be the first exhibit
- 21 | in this deposition. Do you recognize this document?
- 22 A. I recognize what it looks like, yeah.
- Q. Yeah, and it's the contract for legal
- 24 | services and it's undated, it looks like.

- A. That's what it looks like.
- Q. Okay. I'm going to upload another exhibit.
- 3 | So I'm uploading Exhibit 2, it's titled Dulberg Mast
- 4 Dep Exhibit 2, and this should be the original
- 5 | complaint filed in the case of Dulberg versus Gagnon,
- 6 et al., 12 LA 178, filed in McHenry County. Do you
- 7 | see that document?

- 8 A. Yeah. What I'm going off are an email I got
- 9 | with all the exhibits attached, so I'm not -- that's
- 10 | what I'm looking at.
- 11 Q. Okay.
- 12 A. It's a complaint and it says Exhibit 2.
- Q. Right, okay. So our numbers may be a little
- 14 off, but the description should be correct. In that
- 15 | complaint shows file stamp May 15, 2012?
- 16 A. Yeah, that's what it says.
- 17 | O. Okay, and so Mr. Dulberg would have hired
- 18 | you sometime -- hired the Popovich firm sometime
- 19 | prior to that, correct?
- 20 A. I'm assuming. I --
- Q. Okay. Do you have any idea?
- 22 A. I'm sorry.
- 23 Q. I'm sorry, I didn't mean to interrupt you.
- 24 Go ahead.

A. Go ahead.

1

- Q. Do you have any idea about -- Do you have any idea about what timeframe he would have hired -- retained you?
- A. I really, again, I don't have an independent recollection of it. I think there's probably a memo out there of me meeting with him, too.
- Q. Okay. Actually, I think there is. Okay, I just uploaded Dulberg Mast Dep Exhibit No. 3 and the top says -- it's titled, "Intake Memo." At the top it says, "Memorandum," it's Popovich, it says

 POP00961 and 000962. Do you recognize this document?
 - A. I -- It looks familiar.
- Q. And it indicates that it's from you, so you would have drafted this document, correct?
- 16 A. I would have dictated it, yeah.
- Q. Okay, and it looks like you had a new client meeting with Paul on December 1st of 2011?
- 19 A. That's what it says.
- Q. Okay. Does that seem like that timeframe would have been roughly correct?
- 22 A. I have no reason not to believe that's accurate.
- Q. Okay. So Paul retained you probably

- sometime in December of 2011 and then you filed a complaint around May 15, 2012?
- 3 A. That's what it appears.

2.

- Q. Okay. So can you just tell me what the case against Mr. -- I'm sorry. Can you describe the case between Paul Dulberg and David Gagnon, Caroline and William McGuire?
- 8 A. What do you mean describe it? What it's 9 about?
- 10 Q. Yeah, basically what was it about?
- 11 A. An injury, a chain saw injury.
- 12 Q. Okay. Was there anything about the case 13 that was unique to you?
- 14 A. Other than it was a chain saw injury.
- Q. Okay. What was your theory of that case?

 What was your theory of liability in the case?
- 17 A. I think the -- Paul had claimed Dave struck
 18 him with the chain saw.
- 19 Q. So was it just a negligence theory or was it 20 a strict liability or --
- 21 A. I believe it was negligence, if I recall 22 correct.
- Q. Negligence against Gagnon, David Gagnon?
- 24 A. Yeah, and I think the McGuires actually were

1 | named as well.

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- Q. And what was the theory as to the McGuires?
- A. I think Paul had said that they were the ones that owned and looked over the work that was being done.
- Q. Okay. So if they owned the chain saw and were overseeing the work, what's the legal theory for liability on that? Why would they be liable?
- 9 A. Under case law potentially there's liable -10 liability for people that oversee and direct the
 11 work.
- Q. Okay, and is that a strict liability or is it some other form of liability?
 - A. It would be negligence.
- Q. So negligent oversight?
- 16 A. Potentially.
- Q. Okay. Were there any other theories that you were going to pursue or could be pursued?
- 19 A. Not that I recall.
 - Q. Okay. So a negligence claim against Gagnon for negligently utilizing the chain saw and then a negligence claim against McGuires for not -- for not controlling his use of the chain saw, is that accurate?

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- A. I don't recall the exact allegations, but I think in a general theme that was what we were going to try to prove.
- Q. Okay. In the intake memo, do you want to go back to that? There are some notes on this exhibit that state -- it looks to me like it says, "Hans BC the accident occurred on their premises, their HO med pay will cover the bills," and then it's signed. Do you recognize that handwriting?
 - A. Yeah, that would be Tom.
- Q. Okay, and what does that note mean?
- 12 A. Medical coverage, medical payments coverage.
- Q. So there -- So the McGuires -- When he says their, is he referring to Caroline and Bill McGuire?
- 15 A. Well, I don't know what he's referring to.
- I think what he's -- Well, he circled their names, so that probably indicates what he's referring to.
 - Q. Okay. Would their -- Would their insurance cover medical bills in an instance like this?
 - A. Possibly.

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- Q. Okay. Did you reach out to their insurance company about covering any medical bills?
- A. I don't recall if that was applicable or I don't know -- I don't recall that issue.

1	Q.	Okay.		
2	Α.	Oh, uh, I think It just kicked me off.		
3		MR. FLYNN: I got disconnected, too. It's		
4	the Wi-F	'i.		
5	BY MS. W	ILLIAMS:		
6	Q.	Okay, we'll just wait a minute here.		
7	A.	I can hear you. I just can't see you.		
8	Q.	We'll wait a minute until you can get your		
9	video back on.			
10		MR. FLYNN: Julia, we think the Wi-Fi may		
11	have dropped here in the office.			
12		MS. WILLIAMS: Okay. Well, let's just give		
13	it a minute and see.			
14		MR. FLYNN: Okay.		
15		(Whereupon, a break was taken,		
16		after which the following		
17		proceedings were had:)		
18		MS. WILLIAMS: Okay. I think we're back on		
19	the reco	rd. Barb, are you doing all right?		
20		THE REPORTER: Yes.		
21	BY MS. W	ILLIAMS:		
22	Q.	Okay. So we just went through the memo that		
23	Tom made	a note about insurance and your testimony		
24	 was that	you don't recall whether you made any		

requests to the McGuires' insurance to pay Paul's medical bills; is that correct?

- A. I don't remember, right.
- Q. Okay. Back to the actual claims made. Do you remember -- Do you recall what the defense was for first Gagnon and then Bill -- William and Caroline McGuire?
- A. What do you mean by defense?
- Q. What was their theory of defense in the case, do you recall? As you understood it.
- A. I mean, that's a big question. I mean,
 they, like every case, they were denying what we were
 alleging.
 - Q. Were they denying the facts? Did they dispute the facts of the case?
 - A. Definitely.

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- Q. Okay. Do you recall what they were alleging as far as the facts that were different from what you were alleging?
 - A. I mean, I can probably answer that for -with an hour -- an hour answer. There's a lot that
 they were denying. There was a lot that, you know, I
 mean, I'd have to -- I could look at their answer. I
 could look at their deposition testimony, but, I

1 | mean, that brings up a lot of issues.

- Q. Okay. Let's -- Let me narrow it down a little bit and try to get more to a point that will be useful for our discussion. At some point, you had recommended that Paul settle the case as to the McGuires; is that correct?
 - A. Yeah.

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- Q. And what was the reasoning for settling the case as to William and Bill McGuire?
- 10 A. Just risk, like you always discuss with any 11 settlement.
- Q. Can you be a little more specific about what type of risk?
 - A. Again, that's a long question but, I mean, it's like any settlement, you're taking a risk if you don't settle the case when you have issues that could be problematic.
 - Q. Okay. When you say issues that can be problematic, and I know it could be a very long answer, but as much as you can, can you summarize what you think those risks were?
 - A. Understanding it's a summary that, I mean, I could probably answer that in a couple hours, but the chance of recovery was in my view very slim if at all

1 because of lots of reasons, one, because of Paul's 2. testimony, Gagnon's testimony, the McGuires' 3 testimony. The evidence didn't seem to be something 4 that was going to allow us to prove the case against 5 the McGuires. Okay. What -- And, again, I understand this 6 Ο. 7 is -- these are very long questions, but just in summary, what were you going to need to prove the 8 9 case against the McGuires? 10 Now, again, understanding I would have to 11 put myself in my place where I was back at the time that I fully evaluated this with Paul, but if I'm 12 13 just trying to come up with some thoughts now years later the case law, I think, was against us. 14 15 defense was going to file a motion for summary judgment if we didn't work out some sort of 16 17 settlement that I felt they were going to win and the 18 testimony from all parties was not helpful to us. Okay. I'm going to move forward and then we 19 Ο. 20 may come back to this a little bit. Do you recall 21 when the first time was that you talked to Paul about 22 settling the claims with the McGuires? 23 Α. No, whenever -- You know, the defense 24 attorney would have reached out to me to ask for some

1 | sort of demand, I assume.

2.

- Q. Did you make a demand at some point?
- A. I think -- I think some of your paperwork showed that I did.
- 5 Q. Okay. I just uploaded Dulberg Mast
- 6 Exhibit 4 and it says letter -- it's "Letter Re
- 7 | Settlement, " and that should be -- still be Exhibit 4
- 8 | that was emailed around to Counsel so that you would
- 9 have it. And it is labeled POP192 and POP193. Do
- 10 | you recognize those documents?
- 11 A. Wait. I think the Internet, maybe because
- 12 | we were having problems, is the Internet went down,
- 13 so now my exhibits aren't pulling up. Can you try it
- 14 | again? Do you have that, George?
- MR. FLYNN: Yeah, here's the hard copy.
- 16 THE WITNESS: I'll look at the hard copy, so
- 17 | what are you asking?
- 18 BY MS. WILLIAMS:
- 19 O. Great. So it should be the document it has
- 20 letterhead on the top, Popovich letterhead on the
- 21 | top, and at the bottom it's POP000192 and
- 22 | POP000193.
- A. Right.
- 24 O. Do you recognize those documents?

1 Α. I mean, they look familiar. Documents from 2. the Popovich firm, if that's what you're asking. 3 Ο. Is that your signature? 4 Α. Yes. 5 So you would have drafted or caused this Ο. 6 letter to be drafted and sent? 7 Α. It appears that way, yeah. And this is a demand letter where you make a 8 0. 9 demand of \$7,500; is that correct? 10 Α. Yes. 11 Q. Do you recall making that demand? 12 Α. No. 13 Do you recall if you talked to Paul prior to Ο. 14 making the demand? 15 Α. I'm sure I would have. 16 Okay. Do you recall -- Do you have any Ο. 17 memos or notes regarding that conversation with Paul? 18 I don't personally. Α. 19 Ο. Okay. If there were memos and notes, would 20 they be in Thomas Popovich's file? 21 Α. It should. 22 Okay. Do you recall any emails about the Ο. 23 demand -- the 7,500 demand?

I know there were lots of emails.

I don't

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Α.

- 1 know if this number is identified in those emails,
- 2 | but, again, it would have been something I would have
- 3 | talked to him about before making it.
- 4 Q. Okay. But at this time you don't know if
- 5 | there are any memos, notes or emails memorializing
- 6 any conversation with Paul prior to sending the
- 7 | October 22, 2013 demand?
- 8 A. Not that I recall.
- 9 O. Okay, and if they did exist, they would be
- 10 | in the possession of Thomas Popovich, correct?
- 11 A. I would think so.
- 12 Q. Okay, and if you had those in your
- 13 possession, you would produce them in discovery,
- 14 | correct?
- 15 A. If I had them.
- 16 Q. Okay. Just uploaded Exhibit 5, and this is
- 17 | email dated October 30, 2013, and it's marked at the
- 18 | bottom POP000195.
- 19 A. Okay.
- 20 Okay, and here in this email it looks like
- 21 | you started this email chain to Paul on
- 22 October 25, 2013. Do you see that?
- 23 A. It looks like there's a couple emails here.
- 24 There's several pages. You just mean the first page?

- Q. I think -- It should only be, I believe it's only one page and it looks like --
 - A. Oh, these aren't part of it? Just one page?
 - Q. The document that I have is just one page.
- 5 | Are we looking at the same thing?
- 6 A. Okay.

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- 7 Q. It's POP00195 on the bottom.
- A. Yeah, he had a couple other pages on it, but okay.
- Q. Okay. I just want to make sure that I didn't -- Okay. And on the bottom there of the first sheet, if you have several, I've only published one sheet for the purposes of this deposition, it states,
- 14 "Friday, October 25, 2013," do you see that?
- 15 A. Where does it say that?
- 16 Q. So about halfway down the page it looks like 17 it says, "Original message from Paul"?
- 18 A. Yeah.
- Q. Okay. So that looks like Paul reached out to you about medical deposition and then on the top it appears to be your reply of October 30, 2013.
- 22 Does that seem like that's accurate?
- 23 A. That's what it shows.
- Q. Okay. Okay. And here you first -- Am I

correct in summarizing this is an email where you 1 2. talk to Paul about liability for Mr. Gagnon? 3 Α. Look likes I did cover that issue. 4 Q. Okay, and do you recall at the time what 5 your purpose was behind this email? I mean, every purpose is just to have open 6 Α. 7 That's all the purpose -communication. Okay. Would you have been trying to explain 8 Ο. to Paul the liability issues in his case that you 9 10 described earlier? 11 Yeah, I definitely was discussing several Α. issues for him so he knows what's going on. 12 13 Okay, and this email response is dated Ο. 14 October 30th, so that was after you sent that initial

- Q. Okay, and this email response is dated
 October 30th, so that was after you sent that initial
 letter. Do you recall whether there would have been
 anything prior to this?
 - A. Whether what was prior to this?

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- Q. Would there have been any communications about liability either to Gagnon or the McGuires prior to the October 30, 2013 email?
- A. Every time we talked, there were issues about liability, I mean, for whatever I first -- he first came to the office I recall he was lots of questions and I gave him lots of answers as is

1 reflected in my emails. 2. Okay. Did you meet with Paul after you sent 3 that October 22nd demand letter? 4 Α. Did I meet with him? 5 O. Yes. In person. I'm sure I did. 6 Α. 7 Okay. Do you recall -- Do you recall Q. meeting -- the dates of those meetings? 8 9 No, I don't recall the dates. Α. 10 Ο. Okay. So I'm going to upload another file 11 here. 12 Yeah, our Internet is down. That's why I Α. 13 can't bring these up. 14 O. Okay. 15 MR. FLYNN: Julia, just so you know, I've 16 got hard copies of the majority of the exhibits you 17 sent with the exception of the larger files, like the 18 insurance policy and the dep transcripts. 19 MS. WILLIAMS: Okay. Okay, great. 20 MR. FLYNN: I've got some of the deposition 21 transcripts, but I didn't want to waste a lot of 22 paper and ink at home. 23 MS. WILLIAMS: Okay. I think we'll be --24 For the most part, I think we'll be fine and we'll

1 deal with it if and when we get to that point. 2. Okay. So the document that I'm looking at 3 now is another email on the -- it's now titled 4 Exhibit 6. I don't think it was entitled Exhibit 6 5 in what I sent to George, but it's an email that the first date on the email is November 4, 2013, and the 6 7 last date on the email is November 5, 2013 email chain and it's -- at the bottom it's stamped 8 9 Dulberg001531. 10 Α. What exhibit is it? 11 Ο. I think it might have been 5-A to George. 12 It's now Exhibit 6 for the purposes of this 13 deposition. 14 Yeah, that wasn't part of the download then. Α. 15 Do you have --16 MR. FLYNN: Yeah, I don't think that was 17 included. 18 THE WITNESS: What's the Bates stamp or 19 what's the stamp? 20 MS. WILLIAMS: The Bates stamp is 21 Dulberg001531. 22 THE WITNESS: Yeah, I don't recall --

the download. I think it just went straight from

MR. FLYNN: I don't recall seeing a 5-A on

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     5 to 6.
 2.
              MS. WILLIAMS: Okay, let me see if I can do
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     something else. I'm going to try to share my screen.
 4
     I don't know if I'm going to be able to do it.
 5
    bear with me. Okay. I can't -- I can't share the
     screen. Can I email -- George, can you pull up an
 6
 7
     email if I email it to you?
              MR. FLYNN: I should be able to eventually.
 8
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              MS. WILLIAMS: Okay, let me see if that
10
     will --
11
              THE WITNESS: Let me run to the washroom
     real quick while you guys do --
12
13
              MS. WILLIAMS: We'll take a quick break,
14
     that's fine, we'll try to work this out. If anybody
15
     else needs a break, obviously take a break now.
16
                        (Whereupon, a break was taken,
17
                         after which the following
18
                         proceedings were had:)
19
     BY MS. WILLIAMS:
20
              Okay, back on the record.
                                         This is the
     Exhibit 6 for the deposition and it's marked at the
21
22
     bottom Dulberg001531 and it's an email chain between
23
     Paul Dulberg and Hans Mast dated November 4th through
24
     about November 5th, is that accurate, Hans?
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- 1 A. That's what it appears.
- Q. Okay, and it appears at the bottom that Paul
- 3 | is asking you if he should bring anything to a
- 4 meeting.
- 5 A. Okay.
- 6 Q. And that meeting appears to be at 3:00 p.m.
- 7 on November 4th of 2013.
- 8 A. Okay.
- 9 Q. Is that an accurate description? Okay? Do
- 10 | you recall having --
- 11 A. Go ahead, I'm sorry.
- 12 Q. Do you recall having a meeting on
- 13 November 4th of 2013 with Paul Dulberg?
- 14 A. I don't have an independent recollection.
- 15 Q. Okay. Okay.
- MR. FLYNN: Julia, now I recall, this is a
- 17 | separate exhibit you sent a little bit later than the
- 18 original download, so I did have this.
- 19 MS. WILLIAMS: Okay. Okay. We got it
- 20 | worked out.
- MR. FLYNN: Yeah, okay.
- 22 BY MS. WILLIAMS:
- Q. Okay. So you don't recall calling a meeting
- 24 for November 4th?

- A. We had lots of meetings so --
- 2 Q. Okay.

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- A. -- I don't have an independent recollection of that one particular date.
- Q. Okay. Okay, I'm going to stop screen
 sharing. Okay. I'm going to upload another file.
 This is Deposition Exhibit 7. George, you probably
 had it as Exhibit 6, but for the purposes of this
 deposition right now it's going to be 7 and it's an
 - A. I have these on the computer. You don't need to, unless you want to, but I'm just saying I have these on the computer.
 - Q. Okay, but Barb needs them, so that's why I keep uploading them, otherwise she doesn't have them. Okay. So Exhibit 7, and it's POP00181 and POP00182, and it's two pages of an email chain, November 15th, looks like on the second page it starts November 15th and ends November 19th, is that accurate?
 - A. Yes.

email chain dated --

Q. Okay, great. So here it looks like Paul started this email chain, but then on November 18th you note that, "The McGuires' attorney has offered us, you, \$5,000 in full settlement of the claim

36 June 25, 2020 1 against the McGuires only, " do you see that? 2. Α. Yes. 3 0. Okay. Do you recall that offer being made? 4 Α. I do have some recollection of having a 5 conversation with them. Okay. So I'm going to upload another 6 Ο. 7 document and then we can keep going here. And then 8 this is Exhibit 8 and for -- it is a letter from Ronald Barch to you, Hans, and it's POP000667. Do 9 10 you have that? 11 Α. What's it dated? I'm sorry, dated November 18, 2013. 12 0. Yeah, I have that. 13 Α. Okay. And that's a settlement letter from 14 Ο. 15 Barch offering the settlement of \$5,000, correct? 16 Α. Right. 17 Do you recall receiving this letter? Ο. 18 I mean, I don't today recall getting the Α. 19 letter, but I'm familiar with the transaction, yes. 20 Okay. So you would have received the Ο. Okay. 21

- \$5,000 offer from Barch and you communicated it to Paul via the email on November 18th?
- As well as when we talked, yes. Α.

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Okay. Okay. And when did you talk? 0.

- A. Again, I don't know the dates. I just know generally how this all transpired.
- Q. Would you have talked to Paul on the 18th when the letter came in?
- A. It's dated the 18th. I doubt I got it on the 18th. Whenever I got it, I would have told Paul.
- Q. Okay. And it looks like the email you sent, which is Exhibit 7, communicated that offer?
- 9 A. Okay.

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- Q. Would you have talked to the McGuires'
 attorney prior to receiving the letter about the
 offer?
- 13 A. I don't recall. It might have -- that might 14 have happened.
- Q. Okay. Do you recall whether you met with Paul sometime after -- on or after November 18 to discuss the settlement offer?
 - A. I'm sure we did. I know we had several conversations and meetings about that.
- Q. Okay. In this email chain that's

 Exhibit 7 about halfway down the page it says on

 November 18, 2013, at 7:40 p.m., Paul responds to
- 23 your email. Can you see that?
- A. Are we going back to the email now?

- 1 Q. Yep, it's POP00181.
- 2 A. What exhibit?
- Q. It's Exhibit 7.
- 4 A. 7, that's the letter.
- 5 Q. If may be 6 for you. It may be 6 for you.
- 6 A. Let's take a look. What page is the email?
- Q. The date at the top of the email chain is
- 8 Tuesday, November 19, 2013.
- 9 A. Yeah, I have that.
- 10 Q. Okay. And then about maybe halfway down the
- 11 | page it's dated on November 18, 2013, at 7:40 p.m.,
- 12 | do you see that?
- 13 A. Yep.
- 14 Q. And there it says, "Only five? That's not
- 15 | much at all, " do you see that?
- 16 A. That's his response, yes.
- 17 Q. Right. Right. Do you recall talking to
- 18 | Paul about the \$5,000 and that not being much?
- 19 A. Like I said, yes, we've had plenty of
- 20 | conversations and meetings on that.
- 21 Q. Okay. When you originally offered the
- 22 | 7,500, did you talk about what the possible outcomes
- 23 as far as counteroffers, what they may demand,
- 24 | something like that, did you talk about that prior to

1 making that \$7,500 offer? 2. I mean, I think I generally understand what 3 you're asking. Did we just have general 4 conversations of numbers? Yes. 5 Ο. Okav. In this email and this is -- I understand this is speculation, but in this email it 6 7 appears that Paul is surprised that it's \$5,000 was the offer, correct? Would that be fair to 8 9 characterize it that way? 10 Is he surprised at it or is he surprised at the amount? It looks like he didn't think it was 11 12 much. 13 Right. So if you originally offered 7,500 Ο. and they came back at 5,000, in your experience, does 14 that seem like much of a difference when it comes to 15 counteroffers? 16 17 I'll object to the form. MR. FLYNN: 18 THE WITNESS: Yeah, I'm not real sure what 19 you mean by that.

20 BY MS. WILLIAMS:

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Q. I guess let me rephrase because I don't think I'm getting to the point. Prior to making the \$7,500 offer, did you discuss with Paul that the McGuires may come back with an offer that was lower

than the 7,500?

- 2 A. Again, I'm -- I understand the question.
- 3 | I'm just not trying to play games, but you're asking
- 4 | me do I recall specific words that are used or
- 5 | topics. All I can tell you about this is we talked
- 6 | about the whole gamut of options, that I didn't feel
- 7 | it was a strong case, that they were reaching out to
- 8 us for \$5,000, and that balancing everything, the
- 9 | risks, costs, even though it wasn't much, it was
- 10 | something that would have been desirable for him if
- 11 | he wants to end up with money versus the McGuires.
- 12 Q. I'm going to add another exhibit here.
- 13 Okay, for the purposes of this deposition it's
- 14 Deposition Exhibit 9. This is a memorandum. At the
- 15 | top it will say, "Memorandum," and the date is
- 16 November 20, 2013, and at the bottom it's identified
- 17 | as POP and then 3 -- there's 000003, I believe. Do
- 18 | you have that?
- 19 A. What exhibit is it?
- 20 Q. I think you're probably going to have it as
- 21 | Exhibit 8, but for the purposes of this deposition
- 22 | it's actually going to be Exhibit 9.
- 23 A. Okay.
- Q. And it's Dulberg Mast Memo,

1 | 2013 November 20.

- A. Okay, yeah.
- Q. Okay. It looks from this memo that you had a meeting with Paul and his friend on November 20th,
- 5 | is that accurately reflected what's stated in the
- 6 memo?

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- 7 A. Yes.
- Q. Do you remember this document? Do you recall this?
- A. As I said before, I understand what you're asking, but we've had lots of meetings. Do I remember that particular date, no, but I remember the
- 13 meetings.
- Q. Do you recognize this memorandum?
- 15 A. I recognize the discussion that's referenced 16 in the memo. I haven't seen the memo for 7 years.
- Q. Okay. Do you recall the advice that you gave in that meeting of November 20th?
- 19 A. Yeah, like I said, it's summarized a little 20 bit in there. Yeah.
- Q. Okay. And what was the -- Why don't -- What was the advice that you gave?
- A. Do you want me to read the memo or you want
 me to just tell you generally what the topics were or

1 | what?

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- O. Generally to the best that you can recall.
- A. Looks like on that day he brought his friend in because before he wanted to consider the offer, he wanted to have his friend come with him to talk about these issues with me. So we went over --
- Q. So --
 - A. Go ahead.
- 9 Q. No, I'll let you finish. Go ahead. I'm
 10 sorry.
- 11 A. Well, we went over all the issues, all the 12 risks, all the money issues, all of the issues.
 - Q. Do you recall who the friend was?
 - A. Not as I sit here today.
 - Q. From this memo it says, "Paul maintains the McGuires controlled everything that they were doing and you told him that wasn't what the evidence seemed to show." So can you expound on what -- This is really going to be a complicated question, but to the best of your ability, can you explain what the theory of your case was against the McGuires and what the evidence was that was going to -- what evidence was your reason for believing that you couldn't prove your theory?

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- Α. We already talked a little bit about that earlier, but every time we met, we talked about this because this was a subject at the time with the McGuires and given the testimony of the McGuires, given Paul's testimony, given the lack of any evidence that they were controlling any work or even knew what Paul was doing, I felt it was a big, high risk of moving forward on that claim. So I'm going to try to summarize this. Ο. Maybe in parts. So in order for the McGuires to be liable for Gagnon's work, Paul would have to prove in his case that the McGuires controlled Gagnon's work, is that accurate? Are you asking me if that's an accurate statement of the law? Q. Yes. I think that's partially right. There's a lot more to it. It's different branches and elements that you have to prove, control was a factual matter, and he would have to be able to establish there was some oversight. It goes down into some factual
 - Q. Okay. So can you -- To the best of your ability, can you kind of walk me through for the

issues that you have to be able to show.

- negligence claim against the McGuires what the legal elements were that you would have to show?
- A. I haven't brushed up recently on that area,
 but I can tell you that under the case law they have
 to have some oversight and control over what was
 going on and some involvement in the work and some
 knowledge higher and above what Paul was doing, and
 if you look at their testimony, they were not out
 there, they were not looking at it, they didn't even
 - Q. And what about David? Did they have to control what David was doing as well?
 - A. I meant David, I'm sorry.

really know what Paul was doing frankly.

- Q. Okay. So the McGuires would have to have oversight and control over David Gagnon?
- 16 A. Over the work.

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- Q. Okay. Over the work. Okay. So William and Caroline did buy the chain saw, correct?
 - A. I believe that is true.
- Q. Okay. But then David Gagnon was the one operating the chain saw?
- 22 A. Right.
- Q. And you would have to show in Paul's case that Bill and Caroline, one or the other, had control

1 over David's operation of the chain saw? 2. Control could mean a lot of things. 3 would have to be in a position to instruct him, tell 4 him what to do, be aware of the work that was being 5 done and have some control over what he was doing. Okay. So in your -- Your opinion of the 6 7 case was that it was insufficient for them to have simply purchased the chain saw and provided it to 8 9 Gagnon? 10 Α. Yeah. 11 And what about if they were paying him? Ο. 12 Would that make any difference? 13 Α. No. 14 I'm sorry, I don't know or no? Ο. 15 Α. No. Just bear with me for a second here. And 16 Ο. 17 you informed Paul -- I'm sorry, let me back up. 18 exhibit -- Deposition Exhibit 7, so it's probably 19 6 for you, the email chain between you and Paul, 20 roughly November 18th through the 19th, Popovich 21 000181, on the bottom of that first page, November 18, 2013, at 1:28 p.m. there's an email from 22

A. Yes.

you. Do you see that?

23

1 Ο. "In addition, the McGuires' attorney," so 2. it's ATTY, "has offered us, you, 5,000 in full 3 settlement of the claim against the McGuires only. 4 As we discussed, they have no liability in the case 5 for what Dave did as property owners so they likely will get out of the case on a motion." Did I read 6 7 that correctly? 8 Α. Yes. 9 So this is where you told Paul that you Ο. 10 didn't believe the McGuires had any liabilities for 11 the reasons -- in part for the reasons we just 12 discussed? 13 Right. Α. Ultimately Paul accepted that \$5,000 offer, 14 Ο. 15 correct? 16 Α. Yes. 17 And you communicated that to the other side 0. 18 later in 2013, does that sound correct to you? 19 Α. Yes. 20 I'm uploading Exhibit 10, and it should be 21 Exhibit 10 for you as well, and it's a memorandum 22 dated December 20, 2013, and at the bottom it's 23 POP000884, do you see that? 24 Α. Yes.

- Q. And that's a memorandum that you wrote to the legal file; is that correct?
 - A. It looks like that.
- Q. I think I already said this, it's dated
 December 20, 2013?
- 6 A. Yes.

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- Q. Okay. And the substance of it, it appears that you had a conversation on December 18th with Paul and that he was authorizing you to accept the \$5,000 settlement?
- 11 A. Yes.
- 12 Q. Okay. Do you recall that conversation of 13 December 18?
- A. I recall having lots of conversations, this
 is one of them, and generally I do recall the
 conversations in a general sense, not the exact
 dates.
- Q. Okay. So you don't remember anything
 specific to this December 18th call what you would
 have discussed?
- 21 A. Not other than what I've already said we 22 discussed over the time.
- 23 Q. Okay.
- 24 A. Paul was weighing his options. He knew the

- risk and he had -- he wanted some time to think about it and consider it.
- Q. Okay. All right, just bear with me here.
- 4 Okay, I just uploaded Deposition Exhibit 11, it's a
- 5 | settlement acceptance letter, letterhead from Thomas
- 6 Popovich's office dated December 26, 2013. Hans
- 7 | your signature appears on there and it's POP00670.
- 8 Do you recognize this document?
- 9 A. That appears to be a letter from Popovich's 10 office to defense counsel.
- 11 Q. Do you recognize your signature on here?
- 12 A. Yes.
- Q. And this is the letter where you accepted the offer on behalf of Paul, is that accurate?
- 15 A. It appears, yeah.
- 16 Q. Okay. So the Defendants made the original
 17 offer around November 18 and Paul --
- 18 November 18, 2013, and Paul accepted it around
- 19 December 20, 2013. Is that statement accurate?
- 20 A. I don't have, like I said, independent
- 21 | recollection of the dates. I would just have to go
- 22 off the documents.
- 23 | Q. Okay. Was there -- If that timeframe is
- 24 roughly correct, was there anything that occurred

- 1 during that timeframe that indicated to you, you
- 2 | know, why Paul changed his mind from originally
- 3 | thinking it was too little to now accepting it. Was
- 4 | there anything that stuck out in your mind about
- 5 | that?

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- 6 A. Yeah.
- 7 Q. Can you expound on that?
- A. Well, he had his friend with him during our meeting and he reviewed the depositions.
- 10 Q. Okay. Did he not have the depositions prior 11 to that?
- 12 A. I remember he asked for copies of them, so I
 13 provided them to him.
- Q. Okay, and when you say the depositions, do
 you mean just the party depositions, the McGuires and
 the Gagnon?
- A. I don't remember if I gave him the doctors.

 I don't remember which ones I gave him, but I know

 specifically it was Gagnon and the McGuires.
 - Q. Okay, I'm uploading Dulberg Mast Dep

 Exhibit 12. This is titled, "Legal Research." And

 this is hard because there's -- it's 27 pages. Some

 of them have Bates numbers, but some of them are

 black on the bottom, so I think the Bates numbers

- 1 | didn't -- didn't take, but it's roughly -- looks like
- 2 roughly 204, maybe 205, Dulberg204, 205 through
- 3 | roughly Dulberg00304 -- Actually, I'm sorry, these
- 4 | aren't going to be continuous. But do you have that
- 5 | packet of legal research in front of you? It appears
- 6 | to be copies out of a -- copies of case law out of
- 7 | the Northeastern Digest.
- 8 A. I just have the one case here.
- 9 O. Just one case? Which -- What's the case
- 10 | title?
- 11 A. The first one, it's L A J A T O.
- 12 Q. Okay. Do you -- Did you copy this case law?
- 13 A. I don't know.
- 14 O. Do you recall providing any case law to
- 15 | Paul?
- 16 A. I don't know if I did or didn't. I don't
- 17 | know if he asked.
- 18 Q. Okay. Do you recall doing case law
- 19 research?
- 20 A. I'm sure I did, yeah.
- 21 Q. Would have there been a memo or something
- 22 | regarding that research?
- 23 A. Not necessarily. I was familiar with the
- 24 law.

1 Ο. Okay. Okay. Was there any -- Was there any 2. case law that stuck out to you, any particular cases 3 that stuck out to you? 4 MR. FLYNN: Object to the form. 5 THE WITNESS: You mean stuck out to me with regard to Paul and his case? 6 7 BY MS. WILLIAMS: Were there any applicable cases that 8 0. No. stuck out to you one way or the other as to whether 9 the McGuires would be liable? Was there any specific 10 11 cases that made you think that the McGuires may not 12 be liable given the facts in Paul's case? 13 I mean, you deal with this issue a lot and I 14 can't think of one particular name of a case, but 15 these cases all go along the same line, so there were 16 lots of cases on this one particular issue. Ιt 17 wasn't a complicated issue. 18 So particularly the issue of control of 0. 19 Gagnon. 20 Α. Of a premises owner's liability for an 21 independent contractor. 22 Okay. So can you explain generally what an 23 independent contractor is? 24 I'll give you have an answer if you want, Α.

- 1 | but just, I mean, we're talking now, what is it,
- 2 | 7 years later? I haven't been asked to do any
- 3 research before today's deposition, but so, I mean,
- 4 | if you're asking me for what the case law says, I'd
- 5 | have to look at the case law, if that's what you're
- 6 asking.
- 7 Q. I'm asking based on your -- on your
- 8 | experience and knowledge as a personal injury
- 9 attorney and not necessarily related to Dulberg's
- 10 | case specifically.
- 11 A. Okay.
- 12 Q. But based on your knowledge and experience
- 13 | in premises liability cases, what is an independent
- 14 | contractor?
- 15 A. Someone that works on their own.
- 16 Q. And can you explain what you mean by on
- 17 | their own?
- 18 A. Somebody that's hired, like, somebody that's
- 19 | hired to paint the house.
- Q. Okay. So somebody that's hired by a
- 21 | homeowner or maybe a business?
- 22 A. Yes.
- 23 Q. But someone that's hired by a homeowner but
- 24 | the homeowner doesn't -- doesn't tell them how to do

1 | their job?

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- 2 A. Right.
- Q. Did you ever obtain a copy of the McGuires' insurance policy, do you recall?
 - A. I don't have an independent recollection.
- Q. Did you ever advise Paul as to the limits of the McGuires' policy?
- 8 A. I'm sure we talked about it.
- 9 Q. Okay. I just uploaded Dulberg Mast

 10 Deposition Exhibit 13 McGuire Interrogatory Answers

 11 and they're Bates stamped Dulberg000162 is the first

 12 page and there's roughly 14 pages. Do you see that
- 14 A. Yes.

document?

- MR. FLYNN: This is 14?
- MS. WILLIAMS: It should be Exhibit 13 -
 17 13 or 14. I think I have it as 13. Yes, okay. And

 18 this -- I'm looking at paragraph 15 or at least I'm
- 19 trying to look at paragraph 15.
- Q. Okay. In paragraph 15 it looks like there
 was a question about the homeowner's insurance and
 the McGuires respond with their personal liability
 and their medical liability, do you see that?
- 24 A. Yes.

- 1 O. Okay. Now that you see that, do you recall 2. whether you ever got a copy of that policy? 3 Α. I don't -- You mean the dec pages or the 4 whole policy? 5 O. Either. Did you get a copy of the dec 6 pages? 7 I have no idea. Α. And you have no idea whether you got a copy 8 0. 9 of the whole policy? 10 Α. Yeah, don't know. 11 But they are representing what their Ο. 12 insurance was and the liability there, correct, or 13 their liability coverage there? 14 That's what it appears. Α. 15 Okay. And these -- This was -- looks like Q. this was responded to based on the McGuires' 16 17 signature on roughly the 12th page of the document. 18 It looks like it was August 6th of 2012. 19 Α. That's what it appears. 20 Ο. Yeah. So prior to when they would have made 21 the settlement offers, correct? 22
 - That's what it appears. Α.
- 23 Okay. Did you ever talk to Paul about Ο.
- 24 those -- the limits of the insurance policy and how

- 1 | that may be important in his case?
- 2 A. I suspect we talked about the policy, yeah.
- Q. Okay. Prior to any settlement discussions?
- 4 A. Yeah.
- Q. Okay. But you've already testified you didn't -- You don't know if you -- You don't know if you obtained a copy. What about Gagnon's insurance policy, did you ever obtain a copy of that?
- 9 A. I don't know. I don't know.
- 10 Q. Okay. Did you issue interrogatories to
- 11 | Mr. Gagnon?
- 12 A. I'm sure I did.
- Q. Let me upload this. Would they have been in Popovich's file if you --
- 15 A. Yes.
- Q. Okay. So I can tell you, I don't recall seeing any documents issued by you. I'm going to upload a document that appears to be interrogatories issued by McGuires' counsel in the case. I'm going to upload it right now. It's Exhibit 14 and Answers to Co-Defendant Interrogatories and it is stamped
- 23 A. Yes.

Q. It appears that these were issued by

Dulberg00178. Do you see that document?

- Co-Defendants, in other words, the McGuires, does that seem accurate to you?
 - A. Yes.

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- Q. So would you have issued interrogatories in addition to what the McGuires' counsel issued?
 - A. It's probable.
- Q. Okay. Do you recall one way or the other today as we sit here?
 - A. Not other than it's probable I did.
 - Q. I have not seen those in discovery, so if they exist, we'd ask that they be produced. Do you ever recall talking to Paul about the policy limits of the Gagnon insurance policy?
- A. It's a topic that frequently comes up. I don't have an independent recollection.
- 16 Q. Would you have any memos or notes on that?
- 17 A. I could. I may. I don't have an independent recollection of that.
- Q. Okay. And, again, that would have been in the file that -- in Thomas Popovich's file?
- 21 A. Correct.
- Q. In your knowledge and experience not related to the Dulberg case but just in your general
- 24 knowledge and experience, are there any situations

1 where a homeowner may be strictly liable for someone 2. doing work on their property? 3 MR. FLYNN: I'm just going to object to the 4 hypothetical being inaccurate and incomplete, also 5 calls for an expert opinion. While this witness is a lawyer, I won't necessarily -- I don't expect to call 6 7 him as an F-2 or F-3 witness in the case. THE WITNESS: So you're asking if a 8 9 homeowner can be strictly liable for an injury? 10 BY MS. WILLIAMS: 11 Right. Q. In general terms, not with regard to this 12 Α. 13 case? 14 No, in general terms. I'm just asking in 15 general terms in your -- based on your experience and knowledge of injury cases. 16 17 I mean, I think -- Not in Paul's case, but I 18 think I could probably think of something that maybe 19 could be -- as products strict liability, there's 20 hazardous materials strict liability, there's 21 different issues that potentially factually if 22 they're applicable could apply, but not in Paul's 23 case. 24 Okay. Just in general, what kind of Ο.

hazardous -- When you say hazardous, are you talking about hazardous chemical-type cases?

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- A. There's a string of cases when you're dealing with hazardous chemicals and hazardous materials, like a bomb or something like that, things like that.
- Q. Okay. Okay. Are there any, like, hazardous actions? Could something be considered, like, some type of action be considered hazardous?
 - A. What do you mean by action? Activity?
 - Q. Yeah, like, I'm trying to give you an example because I'm just trying to understand it more than anything else. Yeah, is there an activity that you could be doing on your property, I don't know, like, what about tearing down your home, would that be considered -- would that be something that could be hazardous?
 - A. There would have to be statutory authority for that and there isn't.
 - Q. Okay. Okay. So generally for strict liability there has to be some type of statutory authority for that?
- A. Or common law. Yeah. They have a particular fact pattern.

1	Q. Okay. But this case particularly is simply
2	a negligence case. Paul's case against the McGuires
3	was a simple negligent failure to control case in
4	your opinion?
5	A. That's what was pled.
6	Q. Okay. Did you ever make any ever
7	consider pleading any other allegations?
8	MR. FLYNN: Object to the form.
9	THE WITNESS: I don't No. Not that I
10	recall.
11	MS. WILLIAMS: Okay. Can we take about a
12	4-minute break?
13	MR. FLYNN: Sure.
14	MS. WILLIAMS: Let's just take I just
15	want to take a quick break and review my notes and I
16	want to give everybody an opportunity to kind of
17	stretch for a second. I'm going to go on mute.
18	MR. FLYNN: Okay.
19	(Whereupon, a break was taken,
20	after which the following
21	proceedings were had:)
22	MS. WILLIAMS: Let's go back on the record.
23	Okay, thank you everyone. Okay, just a little bit
24	more here.

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- Q. On -- When you were talking to Paul about settlement in the general timeframe of

 November-December 2013, did you ever suggest at that time that he seek alternative counsel or any recommendation related to that?

 A. I think that did come up.

 Q. Do you recall what your advice to him was or what the discussion was?

 A. I think, you know, we always talk about the
 - risks of not settling and further down the road what, you know, having to try the case and having to try prove the case or getting a motion for summary judgment, having the costs exceed the benefits and all that, and I think my position with Paul, since he didn't give a relatively very good deposition, my thought was we were going to have a tough time, an uphill battle, and he can always seek other counsel if he doesn't agree with me.
 - Q. And you just stated that you thought Paul didn't give a very good deposition, that may not have been your exact language, but roughly that the deposition wasn't great. Can you explain what -- as you recall it, what about the deposition was problematic?

- A. I mean, he even agreed with me, but he just doesn't do a very good job.
- Q. You mean -- Can you expand on that a little bit?
- A. As a witness, as I recall, again, it's been quite some time, as I recall he was -- his testimony wasn't given -- wasn't strong, it wasn't definite, it didn't have credible points and some points were incredible when compared to other -- other testimony.

 I mean, there's just a lot -- there was a lot of problems with his testimony.
 - Q. Okay. Do you recall the circumstances that Paul described as to why he came to the McGuires'?
 - A. I think he was either going to pick up something or drop something off.
- 16 Q. Okay.

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- 17 A. I don't really recall. I'm just thinking lack now.
- Q. Okay. Do you recall whether he was asked to come over to help with the tree, to help take down the tree? Was that the purpose of his visit?
 - A. I don't recall that.
- Q. Would it matter as for liability whether it was or wasn't?

1 Α. As by who? As to whose liability? 2. Ο. I'm sorry, his and McGuires' liability. 3 Α. As to how he got there? 4 Q. Whether he was -- Whether he was invited for 5 the purpose of assisting with the removal of the 6 tree. 7 MR. FLYNN: Object to the form. Just invited by whom? 8 9 THE WITNESS: Yeah, that's a complicated 10 question, but I don't think --11 BY MS. WILLIAMS: 12 Let me clarify if I can. Okay. Ο. So my question was does it matter if the McGuires invited 13 14 Paul to their residence to remove the tree on that --15 on the June -- roughly June, I believe, 2011 date? 16 MR. FLYNN: Object to the hypothetical. 17 THE WITNESS: I don't think it matters. BY MS. WILLIAMS: 18 19 Ο. Okay. Would it matter if they were paying 20 Paul? 21 Α. That's not the issue. The issue is Dave. 22 Okay. So the relationship between the Ο. 23 McGuires and Paul is somewhat irrelevant?

I'm just saying the issue really that --

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Α.

about liability is Dave's relationship with them.

- Q. Because Dave is the one that controlled the chain saw that injured Paul, is that accurate?
- A. He was the one hired to do the work or asked to do the work, however, whatever that background was.
 - Q. And Caroline and William McGuire both testified that they had never used a chain saw; is that correct?
- 10 A. I think that's accurate. I'd have to 11 refresh my memory, but that sounds right.
- 12 Q. Okay. Do you remember discussing bankruptcy
 13 with Paul?
- 14 A. I don't remember that.

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- 15 Q. Do you remember that Paul filed for 16 bankruptcy? Do you recall that?
- 17 A. I saw a -- Maybe I didn't see one. I

 18 remember there was some sort of bankruptcy matter. I

 19 don't know the dates or when it came up.
- Q. Okay. Do you recall if you advised Paul to file for bankruptcy?
- A. I don't advise people to file for bankruptcy.
- Q. All right. So you would not have advised

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     Paul to file for bankruptcy?
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         Α.
              Would not.
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         Ο.
              Okay. And then sometime after the McGuire
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     settlement but before the -- but while the Gagnon --
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     the claims against David Gagnon were still pending
     you withdrew from the case; is that correct?
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 7
              The law firm did. I -- Again, he hired the
         Α.
     law firm.
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         Ο.
                            I'm sorry. The Popovich firm
              Sure. Sure.
10
     withdrew?
11
         Α.
              Right.
              And I -- Let's see -- I think we're on
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         0.
13
     Exhibit 14.
14
              THE REPORTER:
                             15.
15
              MS. WILLIAMS: 15, okay.
16
         Q.
              I have, I think, one more and then -- Okay,
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     I am uploading Exhibit 15, Dulberg Mast Dep
18
     Exhibit 15. It's a motion to withdraw and it's four
19
     pages and on the first page it has a Dulberg versus
20
     Gagnon case caption and file stamped March 13, 2015.
21
     Do you have that document?
22
         Α.
              Yeah.
23
              And this is the Popovich's firm motion to
24
     withdraw as counsel for Paul Dulberg in the Dulberg
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- 1 | versus Gagnon-McGuire case, correct?
- 2 A. Yes.
- Q. And you drafted or caused this motion to be drafted and filed?
- 5 A. Yes.
- Q. And was it granted that same day it was
- 7 | filed?
- 8 A. I'm sure it had to be noticed up.
- 9 Q. Okay. On the notice of motion it looks like 10 it was noticed for March 13, filed on March 13, but
- 11 sent to the service list on March 5th, does that seem
- 12 | accurate?
- 13 A. That's what it says.
- Q. But at any rate, you withdrew sometime in roughly March of 2015?
- 16 A. It appears that way. Again, I don't have an independent recollection of the date.
- Q. Okay. Okay. That's fine. And I didn't see
- 19 it -- an order actually showing the exact date of
- 20 when you withdrew. Can you explain why you withdrew
- 21 | from the case?
- 22 A. The short version is just we had a
- 23 difference of opinion.
- Q. Can you give me the long version or slightly

longer?

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- A. Well, we have difference of opinion but Paul was a bit difficult, so I just had to -- there were a couple times that I told him I was going to withdraw and then he begged me not to and so I didn't, but then ultimately he -- it got pretty -- it got pretty tough. He was saying some unfavorable, unflattering things and I just decided we're not going to get anywhere, I'm going to move on.
- 10 Q. Okay, so you -- the client relationship 11 broke down and you withdrew?
- 12 A. Yes.
- Q. Okay. Was there anything about Gagnon's liability or your thoughts on his liability that would have caused you to withdraw?
 - A. That was another aspect of it. Paul was looking for the stars and the moon and I didn't see it.
- Q. And when you say Paul was looking for the stars and the moon, you mean -- Well, what do you mean by that?
 - A. He was looking for a lot of money.
 - Q. Okay, and what was your opinion as to David Gagnon's liability in the case?

1 Α. I didn't think much of the liability issue. 2. I thought it was going to be a long, tough haul given 3 that --4 Q. And --5 -- Paul was going to be our only witness on our side pretty much. 6 7 Ο. Okay, and there were no other witnesses other than Paul and David; is that correct? 8 9 Α. Correct. 10 And what about -- Anything related to, like, Ο. 11 the actual injury, the doctors' depositions or 12 anything like that? 13 That all -- It was the whole ball of wax. 14 The doctors weren't supporting his claim. Dave was 15 saying he's a liar, he tried to bribe him. There was just a lot of -- a lot of bad stuff, not enough good 16 17 stuff. 18 Okay, and then at that point you and Paul 0. 19 disagreed and Paul retained alternative counsel? 20 Α. Right. Okay. Was there anything else about the 21 Ο. 22 case that you can recall right now that gave you 23 pause as to the liability either to the McGuires or 24 David Gagnon?

- A. Anything other than what? Pretty much everything was not good.
- Q. Okay. I mean, anything that we haven't really discussed here today. We've talked about Paul's testimony, Gagnon's testimony a little bit,
- 6 | the McGuires, the premises liability. We talked -7 | You mentioned the doctors' depositions. Is that sort
- 8 of the general gamut of it?
- 9 A. That's the whole case.
- 10 Q. Okay. Have you ever had any other chain saw
 11 liability cases other than this particular case?
- A. I'm sure I have. I don't -- If you're going
 to ask me to name a date, I don't know. I mean, it's
 not a common issue, but it comes up from time to
 time.
- Q. Okay. Did you state -- Did you seek out a liability expert, a chain saw liability expert, during the time you were representing Paul?
- 19 A. No.

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- O. Is there a reason for that?
- A. That's always a possibility. It's always a consideration, but I had to consider even more whether we could even get to prove a credible case and that was my first object, my first -- my first

tier. It doesn't do any good to hire an expert if you don't have a good case.

- Q. Okay. Okay. If you were going to take the case to trial, at that point would you have hired an expert, chain saw expert?
- A. For this case, I don't know. I'd have to look at it again and see what we need to prove, what they're arguing. There's -- As I recall, they weren't arguing the chain saw -- They weren't arguing. He didn't get hit with the chain saw. So I'm not real sure. I'd have to think whether we need to prove -- what we need to prove, anything more than that.
- 14 0. Okay.

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- 15 A. It was more what happened, who caused it to 16 happen, not that it happened.
 - Q. Okay. Is there a difference between an independent contractor and an employee?
 - A. In terms of what? In terms of duty or what?
- Q. Right. In terms of the supervisor's duty.
- 21 So if the Gagnons -- If Gagnon was, and this is a
- 22 hypothetical, if Gagnon was an employee of his
- 23 parents as opposed to an independent contractor,
- 24 | would there be a liability difference?

1 MR. FLYNN: Object to the hypothetical. 2. It's inaccurate and incomplete. 3 THE WITNESS: That's a very complicated 4 question, even though it doesn't sound like one. Ιt 5 depends on lots of things. BY MS. WILLIAMS: 6 7 Ο. Okay. We've already talked about an independent contractor. So just in your experience 8 9 and knowledge, what is a supervisor's duty as to an 10 employee? That's actually a really terrible 11 question. Let's strike that question. 12 Is there a difference -- Is there a 13 difference between the control aspect of -- Would 14 an -- Let me start again. This is a complicated question, more complicated than I'm anticipating 15 16 right now. Okay. 17 We've generally established that in order 18 for an -- someone who hires an independent contractor 19 to be liable for the actions of that independent 20 contractor, they would have to control the work. In 21 a situation, an employer-employee situation, is that 22 control element also present when considering 23 liability? Does the employer have to control the 24 work of the employee in the same way?

1 Α. I think there are --2. MR. FLYNN: I just want to raise an 3 objection for the record. I object to the form. 4 think that the premise of the question indicated that 5 we already established some legal precedent. I don't think that's the case. I don't think that he's 6 7 testified to that, so, again, I'll just object to the form. But if you can --8 9 THE WITNESS: You're asking me to compare 10 two different theories without a fact pattern, but there's a lot to each issue and it's hard to just 11 say, well, if you have this, then you have that. 12 13 There's a lot of different facts that apply, but now 14 I'm forgetting what you asked initially about the 15 employer-employee question. BY MS. WILLIAMS: 16 17 So I guess my question to the point of is an Ο. 18 employer liable for their employees in a different 19 way than a homeowner would be liable for an 20 independent contractor? 21 Α. I think --22 Based on -- You go ahead. Ο. 23 I think under the law there are different Α. 24 elements to those actions.

- O. And there are --
- 2 A. Go ahead.

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- Q. So there would be different elements if something was an employer-employee situation, that would be different law, different case law?
 - A. Yeah, there's a different cause of action.
- 7 Q. Okay.
 - A. Different elements potentially have to be pled and proved.
 - Q. Okay. But in this case you were trying to prove -- In Dulberg's case against the McGuires and Gagnon you were trying to show that -- The theory of the case was that Gagnon was not an employee, but an independent contractor, and the McGuires had to control him in order to be liable?
 - A. Well, that's ultimately what it appeared. You followed the evidence, you follow the facts, so if it turned out it was employee-employee-employee relationship, that's a different evaluation.
 - Q. Okay. So but, for the most part, you were -- your evaluations of the liability were based on an independent contractor analysis?
 - A. Well, that's where it went because of the evidence.

1 MS. WILLIAMS: Okay. I'm going to go on 2. mute for just a second so you guys don't hear me 3 shuffling papers, but I think I'm almost finished 4 here or may be finished. 5 MR. FLYNN: Okay. 6 BY MS. WILLIAMS: 7 Okay. Just a couple more questions and then Ο. we'll wrap things up here. When did you first advise 8 9 Paul that you didn't think the claims against Gagnon 10 were going to be very strong? 11 Probably day one. Α. Before the settlement with the McGuires? 12 Ο. Yeah. 13 Α. And did you discuss that several times prior 14 Ο. 15 to that McGuire settlement? Like I said, we discussed those issues every 16 Α. 17 time we'd meet, liability issues, damages issues. 18 Do you recall any particular instances, like 19 maybe after Paul's deposition, after David's 20 deposition, did that stick out in your mind at all? 21 Α. Discussing what, the issues of liability 22 against Gagnon? 23 0. Yes. 24 Those are probably something we talked about Α.

every visit.

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- Q. Okay. So we discussed this a little bit before, but I believe the testimony was that the McGuires testified that they purchased the chain saw and I believe you said yes, that was your recollection as well; is that correct?
 - A. That sounds right. I just don't have an independent recollection at this point.
 - that -- Just for the purposes of this, let's assume that the McGuires did -- it was their chain saw, they purchased it and let Gagnon use it on their property. Would they have any duties to share the manual of that chain saw with Gagnon or provide any other education as to the use of the chain saw to Gagnon?

If the McGuires -- Let's assume

- A. All right, so you're asking me to make a judicial decision whether they had a duty or not?
- Q. No, I'm asking you in your experience with these types of cases is there any duty there for them.
 - A. All right, so a legal duty?
 - Q. Right. Right. And -- Go ahead, George.
- MR. FLYNN: Yeah, I'll just object. I mean,
- 24 | there isn't any evidence that Gagnon asked for a

1 manual, for one, but as far as him providing legal 2. opinions not based on the facts of this case, I'm 3 just going to caution him not to provide what could 4 be considered an expert opinion. 5 THE WITNESS: You don't want me to answer? It's up to you. I don't know if 6 MR. FLYNN: 7 you can. I don't remember the question. 8 THE WITNESS: You're asking me should the McGuires have given 9 10 Gagnon the manual to the chain saw? 11 BY MS. WILLIAMS: 12 0. Yes. 13 Sure, if he asked for it or if they wanted Α. 14 to give it to him. 15 Are there any other warnings that they 0. 16 should have provided? 17 See, I mean, you're asking me to -- I get 18 the question, but I'm saying you're asking me to 19 evaluate the conduct of both parties and interpret 20 something and I don't know that that's my position as 21 a witness, but should they have warned him? 22 know, sure, go ahead and warn him, but obviously when 23 you take on a piece of equipment that you're skilled 24 and experienced in operating, you should be able to

1 operate it effectively yourself safely. 2. Ο. Sure. Okay. And --3 Α. So I mean --4 Q. Okay. But today you're not giving an 5 opinion one way or the other whether they had a duty to provide warnings, whether they had a duty to 6 7 provide the manual, fair enough? Yeah, legal wise, no, I'm not giving you a 8 Α. 9 legal opinion on that. 10 MS. WILLIAMS: Okay. Okay, I don't think I 11 have anything further. 12 MR. FLYNN: I actually have just a few 13 follow-ups to that. 14 MS. WILLIAMS: Sure. 15 EXAMINATION 16 BY MR. FLYNN: 17 Hans, is your understanding based on the Ο. 18 evidence that there were only two eyewitnesses to 19 Mr. Dulberg's accident, correct? 20 Α. Correct. That was Mr. Dulberg himself and David 21 Ο. 22 Gagnon? 23 Α. Correct. 24 And did you have an understanding as to how Ο.

- the evidence and testimony shook out as to each gentleman's version of the accident and how it occurred?
 - A. Well, as I said before, I thought Paul's case was going to be very difficult to prove based on the testimony of everybody, credibility issues, and the lack of evidence to support and prove.
 - Q. David Gagnon's testimony regarding the facts surrounding the accident differed from Paul Dulberg's version of the facts, correct?
 - A. Correct.

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- 12 Q. You took that into account in your 13 evaluation and analysis of the case?
 - A. Definitely.
 - Q. Did you also take into account your professional analysis of Paul Dulberg's performance as a witness at his discovery deposition?
 - A. Definitely.
 - Q. You didn't think he made a very good witness for himself, did he?
 - A. He even admits he didn't and I don't think

 he -- I think -- that was one of the worst -- that

 was one of my worst fears with this case. I had lots

 of cases and on a scale of weak witnesses, he's

- probably up at the top, and I'm not putting him down,
 that's just a reality and I think he even
 acknowledged that reality.
 - Q. Okay. Not everyone is a professional witness?
 - A. Right.

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- Q. Okay. Generally speaking, your evaluation of the case hinged in part on whether the McGuires controlled the manner and method of the use of the chain saw, correct?
 - A. Right.
- Q. Do you have any recollection as to what the McGuires were doing while the work was being done?
- A. They were inside the house, just another day to them. They weren't even -- I don't think even paying attention to what was going on outside.
- Q. Did Mr. McGuire testify that he was watching television inside the house while David was working on the tree?
- 20 A. They were both inside as I recall.
 - Q. Your recommendation or suggestion that
 Mr. Dulberg settle the case for \$5,000 was based on
 your analysis of the entire case, including the risks
 and benefits of going forward and potentially losing

1 the case at trial, correct? 2. Α. Yes. 3 0. Did you have any way to predict whether the 4 case would result in a verdict on behalf of the 5 plaintiff in the case against the McGuires? 6 Α. I'm sorry? 7 0. Did you have any -- Did you have any certainty as to whether Mr. Dulberg could prevail at 8 9 trial on liability against the McGuires? 10 I would have staked a lot that we would not 11 have recovered in the case and just something that 12 didn't come up with the direct is they didn't offer 13 the arbitrator to me. That was something that was 14 later decided. I talked to them about that. 15 did not offer that to me, so that was not an option 16 to me. So you were -- Based on your professional 17 0. 18 judgment, you suggested that you attempt to settle 19 the matter as opposed to taking it to trial versus 20 the McGuires, correct? 21 Α. Right. 22 MR. FLYNN: Okay. That's all I have. 23 I have no follow-up. MS. WILLIAMS: 24 THE REPORTER: Signature?

```
THE WITNESS: I'll waive signature.
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              MS. WILLIAMS: We'll order the original,
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     E-tran.
              MR. FLYNN: I'll take a regular and a mini
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     copy.
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1	DECLARATION UNDER PENALTY OF PERJURY
2	
3	I, HANS MAST, do hereby certify under
4	penalty of perjury that I have read the foregoing
5	transcript of my deposition taken on June 25, 2020;
6	that I have made such corrections as appear noted
7	herein in ink, initialed by me; that my testimony as
8	contained herein, as corrected, is true and correct.
9	Dated this,
10	20, at, Illinois.
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15	HANS MAST
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     STATE OF ILLINOIS
                           SS:
                        )
     COUNTY OF C O O K
                        )
 3
 4
              I, Barbara G. Smith, Certified Shorthand
 5
     Reporter and Notary Public in and for the County of
 6
     Cook, State of Illinois, do hereby certify that on
 7
     the 25th of June, A.D., 2020, the deposition of the
 8
     witness, HANS MAST, called by the Defendants, was
9
     taken remotely before me, reported stenographically
10
     and was thereafter reduced to typewriting through
11
     computer-aided transcription.
12
              The said witness, HANS MAST, was first duly
     sworn to tell the truth, the whole truth, and nothing
13
14
     but the truth, and was then examined upon oral
15
     interrogatories.
16
              I further certify that the foregoing is a
17
     true, accurate and complete record of the questions
18
     asked of and answers made by the said witness, at the
19
     time and place hereinabove referred to.
20
              The signature of the witness was waived by
21
     agreement.
22
              The undersigned is not interested in the
     within case, nor of kin or counsel to any of the
23
24
    parties.
```

1	Witness my official signature and seal as
2	Notary Public, in and for Cook County, Illinois on
3	this 7th day of July, A.D., 2020.
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5	
6	Bubaca & Smith
7	
8	Barbara G. Smith, CSR, RPR
9	Notary Public 200 West Jackson Boulevard, Suite 600
10	Chicago, Illinois 60606
11	License No. 084-002753
12	License No. 064-002/55
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1
     STATE OF ILLINOIS
                              SS:
 2.
     COUNTY OF MCHENRY
 3
       IN THE CIRCUIT COURT OF THE 22ND JUDICIAL CIRCUIT
                    MCHENRY COUNTY, ILLINOIS
 4
 5
     PAUL DULBERG,
                                    )
 6
              Plaintiff,
 7
                                      No. 17 LA 377
         -vs-
 8
     THE LAW OFFICES OF THOMAS
 9
     POPOVICH and HANS MAST,
10
              Defendants.
11
12
              The remote videoconference deposition of
13
     HANS MAST, appearing remotely from McHenry County,
14
     Illinois, called by the Plaintiff for examination,
15
    pursuant to subpoena and pursuant to the Code of
16
     Civil Procedure of the State of Illinois, and the
17
     Rules of the Supreme Court thereof, pertaining to the
18
     taking of depositions, for the purpose of discovery,
     taken before Barbara G. Smith, appearing remotely
19
20
     from Will County, Illinois, Certified Shorthand
21
     Reporter and Notary Public within and for the County
2.2
     of Cook and State of Illinois, commencing at the hour
23
     of 10:00 a.m. on the 25th day of June, A.D., 2020.
24
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		Page 2		Page 4
1 2	REMOTE APPEARANCES: THE CLINTON LAW FIRM, By		1	THE REPORTER: The attorneys participating
-	MS. JULIA C. WILLIAMS		2	in this deposition acknowledge that I am not
3	111 West Washington Street, Suite 1437		3	physically present in the deposition room and that I
	Chicago, Illinois 60602		4	will be reporting this deposition remotely. They
4	(312) 357-1515		5	further acknowledge that, in lieu of an oath
5	(312) 201-0737 (Facsimile) juliawilliams@clintonlaw.net		6	administered in person, the witness will verbally
6	On behalf of the Plaintiff;		7	declare his testimony in this matter is under penalty
7			8	of perjury. The parties and their counsel consent to
	KARBAL COHEN ECONOMOU SILK DUNNE, LLC, By		9	this arrangement and waive any objections to this
8	MR. GEORGE FLYNN 150 South Wacker Drive, Suite 1700		10	manner of reporting. Please indicate your agreement
9	Chicago, Illinois 60606		11	by stating your name and your agreement on the
	(312) 431-3622		12	record.
10	(312) 431-3670 (Facsimile)			
11	gflynn@karballaw.com		13	MS. WILLIAMS: Julia Williams. I agree.
1 11	On behalf of the Defendants.		14	MR. FLYNN: George Flynn. I agree.
12			15	THE REPORTER: Will the witness kindly
13	ALSO PRESENT: Mr. Paul Dulberg		16	present his government-issued identification by
14			17	holding it up to the camera for verification?
15 16			18	(Witness presents
17			19	government-issued identification
18			20	and identity is verified.)
19			21	THE REPORTER: Thank you.
20			22	HANS MAST,
22			23	called as a witness herein, having been first duly
23			24	
24			24	sworn, was examined and testified as follows:
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1	INDEX	Page 3	1	Page 5 EXAMINATION
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2 3	I N D E X WITNESS EXAMINATION	Page 3	2	EXAMINATION BY MS. WILLIAMS:
2		Page 3	2	EXAMINATION BY MS. WILLIAMS: MS. WILLIAMS: Okay, so this is the
2 3 4 5 6	WITNESS EXAMINATION	Page 3	2 3 4	EXAMINATION BY MS. WILLIAMS: MS. WILLIAMS: Okay, so this is the discovery deposition of Hans Mast taken pursuant to
2 3 4 5 6 7	WITNESS EXAMINATION HANS MAST	Page 3	2 3 4 5	EXAMINATION BY MS. WILLIAMS: MS. WILLIAMS: Okay, so this is the discovery deposition of Hans Mast taken pursuant to all applicable rules and notice in the case of
2 3 4 5 6 7 8	WITNESS EXAMINATION HANS MAST By Ms. Williams 5 By Mr. Flynn 76	Page 3	2 3 4 5 6	EXAMINATION BY MS. WILLIAMS: MS. WILLIAMS: Okay, so this is the discovery deposition of Hans Mast taken pursuant to all applicable rules and notice in the case of Dulberg versus The Law Offices of Thomas Popovich,
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	Page 6		Page 8
1	named, but I was somebody that appeared on a motion.	1	Q. Hundreds?
2	I think I got out eventually.	2	A. Probably.
3	Q. Okay, and then And then Sorry. And	3	Q. Oh, I'm sorry, I forgot to do this, but I
4	then you said you think twice, so do you know	4	think we saw your room. It's just you and George
5	approximately what year that medical malpractice case	5	Flynn in the room with you, correct?
6	that turned into a legal malpractice case, do you	6	A. Yes.
7	know roughly what year that was?	7	Q. And there's no one else in the room and if
8	A. '94 or something.	8	there were, you would identify them, correct?
9	Q. Okay, and then the second time, what would	9	A. Yes.
10	have that been?	10	Q. And you don't have any devices or anything
11	A. It's not coming to me. It was another legal	11	with you? You're not communicating with anyone
12	case. I don't remember the details.	12	during this deposition other than the attorney in the
13	Q. Okay	13	room with you, correct?
14	A. There Go ahead.	14	A. And you and who else is on this meeting.
15	Q. More than 10 years? I'm sorry, I didn't	15	Q. Okay. I'm sorry, let me rephrase. Is there
16	mean to interrupt you.	16	anyone that I don't know that you are communicating
17	A. Yes.	17	with that I wouldn't know?
18	Q. We can go over the I'm going to try not	18	A. Not that I'm aware of.
19	to interrupt you, you're going to try not to	19	Q. Okay. If you take any notes or otherwise
20	interrupt me. You've taken depositions before, I'm	20	communicate with people during the deposition, we
21	sure we can get into that and appreciate you	21	just ask that those notes be produced. Okay. Did
22	answering orally, all of those typical things that	22	you do anything to prepare for the deposition today?
23	apply, and I'll try not to interrupt you too much.	23	A. Well, I just saw some exhibits you sent
24	Have you ever Other than the one time you just	24	George. I didn't really prepare them. I looked them
	D 7		
1	Page 7	1	Page 9
1 2	identified, have you ever been sued other than this	1	over briefly.
2	identified, have you ever been sued other than this suit for legal malpractice?	2	over briefly. Q. Did you review any of the other files that
2 3	identified, have you ever been sued other than this suit for legal malpractice? A. No.	2 3	over briefly. Q. Did you review any of the other files that have been produced in this case?
2 3 4	<pre>identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that</pre>	2 3 4	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No.
2 3 4 5	<pre>identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal</pre>	2 3 4 5	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No. Q. Did you review any notes?
2 3 4 5 6	identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal malpractice suit? Do you know what the basis of the	2 3 4 5 6	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No. Q. Did you review any notes? A. No.
2 3 4 5 6 7	identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal malpractice suit? Do you know what the basis of the suit was?	2 3 4 5 6	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No. Q. Did you review any notes? A. No. Q. Any other documents?
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1	Tllinoio	Page 10 sthat same year?	1	Page 12 A. I think a couple years. Maybe a little more
1 2	A.	-	1 2	A. I think a couple years. Maybe a little more than that.
		Yes.	-	
3	Q.	And have you Are you admitted to practice	3	Q. And what kind of work did you do at Kemper?
4	anywhere		4	A. Defense.
5	Α.	No.	5	Q. Defense of what type of cases?
6	Q.	Have you ever been reprimanded or	6	A. Lots of different kinds, auto accidents,
7	-	ned by any courts?	7	premises.
8	Α.	No.	8	Q. Mostly torts though, negligence-type cases?
9	Q.	Have you ever been publicly reprimanded or	9	A. Yes.
10	_	ned by any oversight body, such as the ARDC?	10	Q. And then after Kemper?
11	Α.	No.	11	A. I think Popovich was next.
12	Q.	When did you start practicing?	12	Q. And how long were you with the Popovich
13	A.	'91.	13	firm?
14	Q.	And where did you start?	14	A. About 18 years, I think.
15	A.	In Rockford.	15	Q. And do you know what year you roughly
16	Q.	With a firm?	16	what year you joined Popovich?
17	A.	Yeah, Cacciatore.	17	A. 2001 maybe.
18	Q.	And how long were you there?	18	Q. And you were there for roughly 18 years you
19	A.	About a year and a half.	19	think?
20	Q.	And what kind of work did you do there?	20	A. Yes.
21	A.	Personal injury, plaintiff.	21	Q. So you left maybe just last year?
22	Q.	Have you done personal injury your entire	22	A. In '18.
23	career?		23	Q. 2018?
24	A.	No, I did some defense work.	24	A. Yeah.
		Page 11		Page 13
1	Q.	Okay. So you were at Cacciatore for a year	1	Q. And why did you leave Popovich?
2	and a ha	alf and you were doing plaintiff's personal	2	A. To start on my own.
3	injury w	ork. What did you do after that?	3	Q. And where are you now?
4	A.	I went to the Loggans firm in Chicago for	4	A. With Compton Law Group.
5	about 6	months.	5	Q. I'll give you just a second to come back.
6	Q.	And what did you do there?	6	A. Yeah.
7	A.	Plaintiff's.	7	Q. And what types of I'm sorry, I'm going to
8	Q.	PI again, personal injury?	8	go back to the Popovich firm. What kind of cases did
9	A.	Yeah.	9	you handle at Popovich's firm?
10	Q.	And after that?	10	A. Plaintiff's personal injury, all kinds.
11	A.	Judge and James in Park Ridge.	11	Q. And then at Compton, what kind of work do
12	Q.	And how long were you there?	12	you do?
13	A.	7 years, I think.	13	A. Same thing, same kind of cases, plaintiff's
14	Q.	Did you do plaintiff's personal injury there	14	personal injury.
15	as well?		15	Q. So is it fair to say you've been doing
16	A.	No, that was defense.	16	plaintiff's personal injury cases steadily throughout
17	Q.	What kind of defense work?	17	your career?
18	A.	Lots All kinds, municipal, tort.	18	A. Yeah, except for the time I was with the
19	Q.	Did you defend personal injury cases while	19	defense offices.
20	you were	there as well?	20	Q. Okay. But you were still doing personal
21	A.	Yes.	21	injury, just on the defense side, not on the
22	Q.	And then after that, where did you go?	22	plaintiff side?
23	A.	Kemper, I think.	23	A. Right.
24	Q.	And how long were you with Kemper?	24	Q. Okay. Did you answer discovery in this
1	-	- · ·		- · · · · · · · · · · · · · · · · · · ·

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Page 14 case, in the malpractice case that we're -- the Dulberg versus Thomas Popovich case? 3 A. I think I did. 4 Do you remember -- Did you review discovery 5

in this case, do you recall?

6 Like I said, I think I answered some and 7 signed off on some, I just don't remember. I haven't seen them recently.

9 Okay. Okay. If you recall, do you remember reviewing the documents that were produced in this 10 11

12 A. I don't know what was produced.

13 Okay.

14 A. I assume the file.

15 Right. Okay, if I represented that the file

was produced, would that make sense to you? Can we 16

17 kind of agree that the file was produced?

18 Well, if you told me that.

19 Q. Okay. So when the file was produced, I

20 don't know if you recall, there were black -- some

21 black pages between the file. Do you remember any

22 discussions about that?

23 A. I didn't produce anything so and I haven't reviewed what was produced, that wasn't my -- I was 1 case?

2

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22

A. Not that I'm aware of. Unless I produced it

to Popovich and he produced it. I don't know how

4 that worked.

Q. Okay. When were you retained by Paul

Dulberg?

A. I don't recall. I'm assuming there's

paperwork that shows that.

9 Q. Yes. Let me upload a file here. Just give

me a second. 10

11 Α. I don't think he retained me. I think he 12 retained Tom Popovich's office.

13 Q. Okay. I just uploaded a file that's titled

14 Dulberg Mast Dep Exhibit 1, if you can -- And,

15 George, you should have that as well -- and it should

be the retainer contract. 16

A. Yeah, I see it.

18 Okay. So it's a contract for legal services

19 and it's marked POP, P O P, 000586 on the bottom,

just for reference, so this will be the first exhibit

21 in this deposition. Do you recognize this document?

22 I recognize what it looks like, yeah.

Yeah, and it's the contract for legal

services and it's undated, it looks like.

Page 15

in a different office when it was produced, I think.

Q. Okay. So Thomas Popovich would have had 3 possession of the file?

4 Right.

5 You did not have possession of any documents

from the underlying case, from the Dulberg versus

7 Gagnon-McGuire case?

8 A. I didn't.

9 Okay. So you would not have had access to

10 that file since you were with Thomas Popovich in

11 2018?

12 Once I left the firm, I have not had the Α.

13 file.

14 Q. Okay. In this case did you produce emails

15 that you possessed or did you not have access to

16 those either?

17 A. I would -- I don't know what was produced,

again, by the Popovich firm. I don't know if they 18

19 had my emails, but I have a new email address. I

don't think it's the same as it was back then. 20

21 Q. Okay.

22 A. So I didn't produce anything.

23 So you didn't produce any emails or

24 communications that -- in the -- from the underlying

A. That's what it looks like.

Okay. I'm going to upload another exhibit.

So I'm uploading Exhibit 2, it's titled Dulberg Mast

Dep Exhibit 2, and this should be the original

complaint filed in the case of Dulberg versus Gagnon,

et al., 12 LA 178, filed in McHenry County. Do you

see that document?

8 A. Yeah. What I'm going off are an email I got

with all the exhibits attached, so I'm not -- that's

what I'm looking at. 10

> Q. Okay.

12 It's a complaint and it says Exhibit 2.

13 Right, okay. So our numbers may be a little

off, but the description should be correct. In that

15 complaint shows file stamp May 15, 2012?

Yeah, that's what it says.

17 Okay, and so Mr. Dulberg would have hired

you sometime -- hired the Popovich firm sometime

19 prior to that, correct?

A. I'm assuming. I --

21 Okay. Do you have any idea?

> A. I'm sorry.

I'm sorry, I didn't mean to interrupt you. 23 0.

24 Go ahead.

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Page 18

Go ahead. Do you have any idea about -- Do you have

any idea about what timeframe he would have hired --4 retained you?

1 2

5 A. I really, again, I don't have an independent 6 recollection of it. I think there's probably a memo 7 out there of me meeting with him, too.

Q. Okay. Actually, I think there is. Okay, I 9 just uploaded Dulberg Mast Dep Exhibit No. 3 and the top says -- it's titled, "Intake Memo." At the top 10 11 it says, "Memorandum," it's Popovich, it says

12 POP00961 and 000962. Do you recognize this document?

I -- It looks familiar. 13

14 And it indicates that it's from you, so you 15 would have drafted this document, correct?

16 I would have dictated it, yeah.

17 Okay, and it looks like you had a new client

meeting with Paul on December 1st of 2011?

19 That's what it says.

20 Okay. Does that seem like that timeframe

21 would have been roughly correct?

22 I have no reason not to believe that's

23 accurate.

24

5

11

14

Q. Okay. So Paul retained you probably

named as well.

Q. And what was the theory as to the McGuires?

I think Paul had said that they were the ones that owned and looked over the work that was

being done.

6 Q. Okay. So if they owned the chain saw and were overseeing the work, what's the legal theory for

liability on that? Why would they be liable? Under case law potentially there's liable --

liability for people that oversee and direct the 10 11

work.

14

15

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14

12 Q. Okay, and is that a strict liability or is it some other form of liability?

> A. It would be negligence.

Q. So negligent oversight?

16 Potentially. Α.

Okay. Were there any other theories that

you were going to pursue or could be pursued?

19 Not that I recall.

Okay. So a negligence claim against Gagnon

21 for negligently utilizing the chain saw and then a

22 negligence claim against McGuires for not -- for not

controlling his use of the chain saw, is that

accurate?

Page 19

sometime in December of 2011 and then you filed a

2 complaint around May 15, 2012?

3 That's what it appears.

4 Okay. So can you just tell me what the case

against Mr. -- I'm sorry. Can you describe the case

6 between Paul Dulberg and David Gagnon, Caroline and

7 William McGuire?

8 Α. What do you mean describe it? What it's 9 about?

10 0. Yeah, basically what was it about?

An injury, a chain saw injury.

Okay. Was there anything about the case 12

13 that was unique to you?

Other than it was a chain saw injury.

15 Okay. What was your theory of that case?

16 What was your theory of liability in the case?

17 I think the -- Paul had claimed Dave struck him with the chain saw. 18

19 So was it just a negligence theory or was it

20 a strict liability or --21 A. I believe it was negligence, if I recall

22 correct.

23 Q. Negligence against Gagnon, David Gagnon?

24 A. Yeah, and I think the McGuires actually were A. I don't recall the exact allegations, but I

think in a general theme that was what we were going to try to prove. 3

Q. Okay. In the intake memo, do you want to go

back to that? There are some notes on this exhibit that state -- it looks to me like it says, "Hans BC

the accident occurred on their premises, their HO med

pay will cover the bills," and then it's signed. Do

9 you recognize that handwriting?

10

Yeah, that would be Tom.

Okay, and what does that note mean?

Medical coverage, medical payments coverage.

So there -- So the McGuires -- When he says their, is he referring to Caroline and Bill McGuire?

15 Well, I don't know what he's referring to.

16 I think what he's -- Well, he circled their names, so 17 that probably indicates what he's referring to.

Okay. Would their -- Would their insurance 18 19 cover medical bills in an instance like this?

20 Α. Possibly.

21 Okay. Did you reach out to their insurance company about covering any medical bills?

23 I don't recall if that was applicable or I don't know -- I don't recall that issue. 24

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Hans Mast June 25, 2020

Page 22 mean, that brings up a lot of issues. 1 Q. Okav. 2 A. Oh, uh, I think -- It just kicked me off. Okay. Let's -- Let me narrow it down a MR. FLYNN: I got disconnected, too. It's little bit and try to get more to a point that will 4 the Wi-Fi. be useful for our discussion. At some point, you had 5 BY MS. WILLIAMS: recommended that Paul settle the case as to the McGuires; is that correct? 6 Okay, we'll just wait a minute here. I can hear you. I just can't see you. A. Yeah. 8 We'll wait a minute until you can get your And what was the reasoning for settling the 9 video back on. case as to William and Bill McGuire? MR. FLYNN: Julia, we think the Wi-Fi may 10 10 Just risk, like you always discuss with any Α. 11 have dropped here in the office. 11 settlement. 12 MS. WILLIAMS: Okay. Well, let's just give 12 Q. Can you be a little more specific about what it a minute and see. type of risk? 13 14 MR. FLYNN: Okay. Again, that's a long question but, I mean, Α. 15 (Whereupon, a break was taken, 15 it's like any settlement, you're taking a risk if you 16 after which the following 16 don't settle the case when you have issues that could 17 proceedings were had:) 17 be problematic. 18 MS. WILLIAMS: Okay. I think we're back on 18 Q. Okay. When you say issues that can be 19 the record. Barb, are you doing all right? problematic, and I know it could be a very long 20 THE REPORTER: Yes. 20 answer, but as much as you can, can you summarize 21 BY MS. WILLIAMS: 21 what you think those risks were? 22 22 A. Understanding it's a summary that, I mean, I Q. Okay. So we just went through the memo that Tom made a note about insurance and your testimony could probably answer that in a couple hours, but the was that you don't recall whether you made any chance of recovery was in my view very slim if at all Page 23 requests to the McGuires' insurance to pay Paul's because of lots of reasons, one, because of Paul's medical bills; is that correct? testimony, Gagnon's testimony, the McGuires 3 I don't remember, right. testimony. The evidence didn't seem to be something 4 Okay. Back to the actual claims made. Do that was going to allow us to prove the case against 5 you remember -- Do you recall what the defense was 5 the McGuires. 6 for first Gagnon and then Bill -- William and 6 Okay. What -- And, again, I understand this 7 Caroline McGuire? is -- these are very long questions, but just in 8 What do you mean by defense? 8 summary, what were you going to need to prove the 9 What was their theory of defense in the 9 case against the McGuires? 10 case, do you recall? As you understood it. 10 11 I mean, that's a big question. I mean, they, like every case, they were denying what we were

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alleging.

were alleging?

Q. Were they denying the facts? Did they

as far as the facts that were different from what you

with an hour -- an hour answer. There's a lot that

they were denying. There was a lot that, you know, I

mean, I'd have to -- I could look at their answer. I

could look at their deposition testimony, but, I

Okay. Do you recall what they were alleging

I mean, I can probably answer that for --

dispute the facts of the case?

Definitely.

A. Now, again, understanding I would have to put myself in my place where I was back at the time that I fully evaluated this with Paul, but if I'm just trying to come up with some thoughts now years later the case law, I think, was against us. The defense was going to file a motion for summary judgment if we didn't work out some sort of settlement that I felt they were going to win and the testimony from all parties was not helpful to us. Okay. I'm going to move forward and then we

may come back to this a little bit. Do you recall when the first time was that you talked to Paul about settling the claims with the McGuires?

A. No, whenever -- You know, the defense attorney would have reached out to me to ask for some

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Page 26 Page 28 know if this number is identified in those emails, sort of demand, I assume. 2 but, again, it would have been something I would have Q. Did you make a demand at some point? I think -- I think some of your paperwork talked to him about before making it. 4 showed that I did. 4 Q. Okay. But at this time you don't know if 5 Q. Okay. I just uploaded Dulberg Mast 5 there are any memos, notes or emails memorializing 6 Exhibit 4 and it says letter -- it's "Letter Re any conversation with Paul prior to sending the 7 Settlement," and that should be -- still be Exhibit 4 October 22, 2013 demand? that was emailed around to Counsel so that you would A. Not that I recall. 9 have it. And it is labeled POP192 and POP193. Do 9 Okay, and if they did exist, they would be you recognize those documents? in the possession of Thomas Popovich, correct? 10 10 11 A. Wait. I think the Internet, maybe because 11 Α. I would think so. we were having problems, is the Internet went down, 12 Okay, and if you had those in your 12 so now my exhibits aren't pulling up. Can you try it possession, you would produce them in discovery, 13 14 again? Do you have that, George? correct? 15 MR. FLYNN: Yeah, here's the hard copy. 15 A. If I had them. 16 THE WITNESS: I'll look at the hard copy, so Okay. Just uploaded Exhibit 5, and this is 16 17 what are you asking? email dated October 30, 2013, and it's marked at the 18 BY MS. WILLIAMS: bottom POP000195. 19 O. Great. So it should be the document it has 19 Α. Okay. 20 letterhead on the top, Popovich letterhead on the 20 Okay, and here in this email it looks like 0. you started this email chain to Paul on 21 top, and at the bottom it's POP000192 and 21 22 POP000193. October 25, 2013. Do you see that? 22 23 A. Right. 23 A. It looks like there's a couple emails here. Q. Do you recognize those documents? 24 There's several pages. You just mean the first page? Page 29 Page 27 I mean, they look familiar. Documents from Q. I think -- It should only be, I believe it's the Popovich firm, if that's what you're asking. only one page and it looks like --3 Is that your signature? 3 Oh, these aren't part of it? Just one page? 0. The document that I have is just one page. 4 Α. 5 So you would have drafted or caused this Are we looking at the same thing? 6 letter to be drafted and sent? 6 A. Okay. It's POP00195 on the bottom. 7 It appears that way, yeah. Q. 8 And this is a demand letter where you make a 8 Yeah, he had a couple other pages on it, but Α. 9 demand of \$7,500; is that correct? 9 okay. 10 10 Α. Yes. Q. Okay. I just want to make sure that I 11 Q. Do you recall making that demand? didn't -- Okay. And on the bottom there of the first 12 A. sheet, if you have several, I've only published one Do you recall if you talked to Paul prior to 13 13 sheet for the purposes of this deposition, it states, 0. 14 making the demand? 14 "Friday, October 25, 2013," do you see that? 15 I'm sure I would have. 15 Where does it say that? 16 Okay. Do you recall -- Do you have any 16 So about halfway down the page it looks like 17 memos or notes regarding that conversation with Paul? 17 it says, "Original message from Paul"? 18 I don't personally. 18 Α. Yeah. 19 Okay. If there were memos and notes, would 19 Okay. So that looks like Paul reached out 20 they be in Thomas Popovich's file? 20 to you about medical deposition and then on the top 21 It should. it appears to be your reply of October 30, 2013. 22 Okay. Do you recall any emails about the 22 Does that seem like that's accurate? 23 demand -- the 7,500 demand? 23 Α. That's what it shows.

24

Okay. Okay. And here you first -- Am I

A. I know there were lots of emails. I don't

Page 30 Page 32 1 correct in summarizing this is an email where you deal with it if and when we get to that point. talk to Paul about liability for Mr. Gagnon? Q. Okay. So the document that I'm looking at A. Look likes I did cover that issue. now is another email on the -- it's now titled 3 4 Q. Okay, and do you recall at the time what Exhibit 6. I don't think it was entitled Exhibit 6 5 your purpose was behind this email? in what I sent to George, but it's an email that the 6 I mean, every purpose is just to have open first date on the email is November 4, 2013, and the last date on the email is November 5, 2013 email communication. That's all the purpose --Okay. Would you have been trying to explain chain and it's -- at the bottom it's stamped 9 to Paul the liability issues in his case that you 9 Dulberg001531. 10 described earlier? 10 A. What exhibit is it? 11 A. Yeah, I definitely was discussing several 11 I think it might have been 5-A to George. issues for him so he knows what's going on. 12 It's now Exhibit 6 for the purposes of this 12 13 Okay, and this email response is dated deposition. 14 October 30th, so that was after you sent that initial 14 A. Yeah, that wasn't part of the download then. 15 letter. Do you recall whether there would have been 15 Do you have --16 16 anything prior to this? MR. FLYNN: Yeah, I don't think that was 17 Whether what was prior to this? 17 included. 18 Would there have been any communications 18 THE WITNESS: What's the Bates stamp or 19 about liability either to Gagnon or the McGuires 19 what's the stamp? 20 prior to the October 30, 2013 email? 20 MS. WILLIAMS: The Bates stamp is 21 Every time we talked, there were issues 21 Dulberg001531. 22 about liability, I mean, for whatever I first -- he 22 THE WITNESS: Yeah, I don't recall -first came to the office I recall he was lots of 23 MR. FLYNN: I don't recall seeing a 5-A on questions and I gave him lots of answers as is 24 the download. I think it just went straight from Page 33 Page 31 reflected in my emails. 1 5 to 6. 2 Okay. Did you meet with Paul after you sent MS. WILLIAMS: Okay, let me see if I can do 3 that October 22nd demand letter? something else. I'm going to try to share my screen. 4 Did I meet with him? I don't know if I'm going to be able to do it. So 5 Yes. In person. bear with me. Okay. I can't -- I can't share the 6 I'm sure I did. screen. Can I email -- George, can you pull up an 7 Okay. Do you recall -- Do you recall email if I email it to you? 8 8

- meeting -- the dates of those meetings?
- 9 No, I don't recall the dates.
- 10 Q. Okay. So I'm going to upload another file
- 11 here.
- 12 A. Yeah, our Internet is down. That's why I
- 13 can't bring these up.
- 14 Q. Okay.
- 15 MR. FLYNN: Julia, just so you know, I've
- 16 got hard copies of the majority of the exhibits you
- 17 sent with the exception of the larger files, like the
- insurance policy and the dep transcripts. 18
- 19 MS. WILLIAMS: Okay. Okay, great.
- 20 MR. FLYNN: I've got some of the deposition
- 21 transcripts, but I didn't want to waste a lot of
- paper and ink at home. 22
- 23 MS. WILLIAMS: Okay. I think we'll be --
- 24 For the most part, I think we'll be fine and we'll

- MR. FLYNN: I should be able to eventually.
- 9 MS. WILLIAMS: Okay, let me see if that
- will --10

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- THE WITNESS: Let me run to the washroom
- 12 real quick while you guys do --
- 13 MS. WILLIAMS: We'll take a quick break,
- 14 that's fine, we'll try to work this out. If anybody
- else needs a break, obviously take a break now. 15
 - (Whereupon, a break was taken,
 - after which the following
- 18 proceedings were had:)
 - BY MS. WILLIAMS:
- 20 Q. Okay, back on the record. This is the
- Exhibit 6 for the deposition and it's marked at the
 - bottom Dulberg001531 and it's an email chain between
- 23 Paul Dulberg and Hans Mast dated November 4th through
- about November 5th, is that accurate, Hans?

Hans Mast

June 25, 2020 Page 34 That's what it appears. 1 2 Okay, and it appears at the bottom that Paul is asking you if he should bring anything to a 4 meeting. 5 A. Okay. 6 And that meeting appears to be at 3:00 p.m. 6 on November 4th of 2013. 8 Okay. 9 Is that an accurate description? Okay? Do you recall having --10 10 11 Go ahead, I'm sorry. 11 Α. 12 Do you recall having a meeting on 12 November 4th of 2013 with Paul Dulberg? 13 13 14 I don't have an independent recollection. 14 15 Q. Okay. Okay. 16 MR. FLYNN: Julia, now I recall, this is a 16 Α. 17 separate exhibit you sent a little bit later than the 17 Q. original download, so I did have this. 19 MS. WILLIAMS: Okay. Okay. We got it 19 20 worked out. 20 21 MR. FLYNN: Yeah, okay. 21 BY MS. WILLIAMS: 22 23 Q. Okay. So you don't recall calling a meeting for November 4th? Page 35 We had lots of meetings so --2 Okay. generally how this all transpired. 3 3

Page 36 against the McGuires only," do you see that? Okay. Do you recall that offer being made? I do have some recollection of having a conversation with them. Q. Okay. So I'm going to upload another document and then we can keep going here. And then this is Exhibit 8 and for -- it is a letter from Ronald Barch to you, Hans, and it's POP000667. Do you have that? What's it dated? I'm sorry, dated November 18, 2013. Yeah, I have that. Okay. And that's a settlement letter from Barch offering the settlement of \$5,000, correct? Right. Do you recall receiving this letter? I mean, I don't today recall getting the letter, but I'm familiar with the transaction, yes. Okay. Okay. So you would have received the \$5,000 offer from Barch and you communicated it to Paul via the email on November 18th? A. As well as when we talked, yes. Q. Okay. Okay. And when did you talk? Page 37 Again, I don't know the dates. I just know

-- I don't have an independent recollection

4 of that one particular date.

5 Okay. Okay, I'm going to stop screen

sharing. Okay. I'm going to upload another file.

This is Deposition Exhibit 7. George, you probably

8 had it as Exhibit 6, but for the purposes of this

9 deposition right now it's going to be 7 and it's an

10 email chain dated --

11

I have these on the computer. You don't

need to, unless you want to, but I'm just saying I 12

13 have these on the computer.

14 Q. Okay, but Barb needs them, so that's why I

keep uploading them, otherwise she doesn't have them. 15

16 Okay. So Exhibit 7, and it's POP00181 and POP00182,

17 and it's two pages of an email chain, November 15th,

18 looks like on the second page it starts November 15th

19 and ends November 19th, is that accurate?

20 Α. Yes.

21 Okay, great. So here it looks like Paul

started this email chain, but then on November 18th

23 you note that, "The McGuires' attorney has offered

us, you, \$5,000 in full settlement of the claim

Would you have talked to Paul on the 18th when the letter came in?

A. It's dated the 18th. I doubt I got it on

the 18th. Whenever I got it, I would have told Paul.

Q. Okay. And it looks like the email you sent,

8 which is Exhibit 7, communicated that offer?

9 A. Okay.

Would you have talked to the McGuires' 10

attorney prior to receiving the letter about the

12

17

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13 A. I don't recall. It might have -- that might 14 have happened.

15 Okay. Do you recall whether you met with 16 Paul sometime after -- on or after November 18 to

discuss the settlement offer?

A. I'm sure we did. I know we had several 18

19 conversations and meetings about that.

20 Q. Okay. In this email chain that's

21 Exhibit 7 about halfway down the page it says on

November 18, 2013, at 7:40 p.m., Paul responds to

23 your email. Can you see that?

24 A. Are we going back to the email now?

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Hans Mast June 25, 2020

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Page 38

Yep, it's POP00181. 1 0.

- 2 What exhibit? Α.
- 3 It's Exhibit 7.
- 4 7, that's the letter. Α.
- 5 If may be 6 for you. It may be 6 for you. Ο.
- 6 Let's take a look. What page is the email?
- 7 The date at the top of the email chain is
- 8 Tuesday, November 19, 2013.
- 9 Yeah, I have that. Α.
- 10 Okay. And then about maybe halfway down the
- 11 page it's dated on November 18, 2013, at 7:40 p.m.,
- 12 do you see that?
- 13 Α. Yep.
- 14 And there it says, "Only five? That's not
- 15 much at all," do you see that?
- 16 That's his response, yes.
- 17 Right. Right. Do you recall talking to
- Paul about the \$5,000 and that not being much?
- 19 Like I said, yes, we've had plenty of
- 20 conversations and meetings on that.
- 21 Okay. When you originally offered the
- 22 7,500, did you talk about what the possible outcomes
- as far as counteroffers, what they may demand,
- something like that, did you talk about that prior to

- 1 than the 7,500?
 - A. Again, I'm -- I understand the question.
- I'm just not trying to play games, but you're asking
- me do I recall specific words that are used or
- topics. All I can tell you about this is we talked
- about the whole gamut of options, that I didn't feel
- it was a strong case, that they were reaching out to
- us for \$5,000, and that balancing everything, the
- risks, costs, even though it wasn't much, it was
- something that would have been desirable for him if 10
- 11 he wants to end up with money versus the McGuires.
- 13 Okay, for the purposes of this deposition it's
- 14 Deposition Exhibit 9. This is a memorandum. At the

Q. I'm going to add another exhibit here.

- 15 top it will say, "Memorandum," and the date is
- 16 November 20, 2013, and at the bottom it's identified
- 17 as POP and then 3 -- there's 000003, I believe. Do
- you have that?
 - A. What exhibit is it?
 - I think you're probably going to have it as
- 21 Exhibit 8, but for the purposes of this deposition
- 22 it's actually going to be Exhibit 9.
 - A. Okay.
- Q. And it's Dulberg Mast Memo,

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- making that \$7,500 offer?
- A. I mean, I think I generally understand what 2
- 3 you're asking. Did we just have general
- 4 conversations of numbers? Yes.
- 5 Q. Okay. In this email and this is -- I
- understand this is speculation, but in this email it
- 7 appears that Paul is surprised that it's \$5,000 was
- 8 the offer, correct? Would that be fair to
- 9 characterize it that way?
- 10 A. Is he surprised at it or is he surprised at
- 11 the amount? It looks like he didn't think it was
- 12 much.
- 13 Q. Right. So if you originally offered 7,500
- 14 and they came back at 5,000, in your experience, does
- that seem like much of a difference when it comes to 15
- 16 counteroffers?
- 17 MR. FLYNN: I'll object to the form.
- THE WITNESS: Yeah, I'm not real sure what 18 19 you mean by that.
- BY MS. WILLIAMS: 20
- 21 Q. I guess let me rephrase because I don't
- think I'm getting to the point. Prior to making the
- 23 \$7,500 offer, did you discuss with Paul that the
- 24 McGuires may come back with an offer that was lower

- 2013 November 20.
- A. Okay, yeah.
- Okay. It looks from this memo that you had 3
- a meeting with Paul and his friend on November 20th,
- is that accurately reflected what's stated in the
- memo?
- A. Yes.
- 8 Q. Do you remember this document? Do you
- 9 recall this?
- 10 A. As I said before, I understand what you're
 - asking, but we've had lots of meetings. Do I
- remember that particular date, no, but I remember the
- 13 meetings.

- Q. Do you recognize this memorandum?
- 15 A. I recognize the discussion that's referenced
- 16 in the memo. I haven't seen the memo for 7 years. 17 Q. Okay. Do you recall the advice that you
- gave in that meeting of November 20th? 18
- 19 A. Yeah, like I said, it's summarized a little
- 20 bit in there. Yeah.
- 21 Q. Okay. And what was the -- Why don't -- What
- 22 was the advice that you gave?
- 23 A. Do you want me to read the memo or you want
 - me to just tell you generally what the topics were or

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Hans Mast June 25, 2020

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- what? 1
- 2 Q. Generally to the best that you can recall.
- Looks like on that day he brought his friend
- 4 in because before he wanted to consider the offer, he
- 5 wanted to have his friend come with him to talk about
- these issues with me. So we went over --6
- 7 So --
- 8 Go ahead. Α.
- 9 No, I'll let you finish. Go ahead. I'm Ο.
- 10 sorry.
- 11 A. Well, we went over all the issues, all the
- 12 risks, all the money issues, all of the issues.
- 13 Do you recall who the friend was?
- 14 A. Not as I sit here today.
- From this memo it says, "Paul maintains the 15
- 16 McGuires controlled everything that they were doing
- 17 and you told him that wasn't what the evidence seemed
- 18 to show." So can you expound on what -- This is
- 19 really going to be a complicated question, but to the
- 20 best of your ability, can you explain what the theory
- 21 of your case was against the McGuires and what the
- 22 evidence was that was going to -- what evidence was
- your reason for believing that you couldn't prove
- 24 your theory?

- negligence claim against the McGuires what the legal
 - elements were that you would have to show?
- A. I haven't brushed up recently on that area,
- but I can tell you that under the case law they have 4
- to have some oversight and control over what was
- going on and some involvement in the work and some
- knowledge higher and above what Paul was doing, and
- if you look at their testimony, they were not out
- there, they were not looking at it, they didn't even
- 10 really know what Paul was doing frankly.
 - And what about David? Did they have to
- 12 control what David was doing as well?
- 13 I meant David, I'm sorry.
 - Okay. So the McGuires would have to have
- 15 oversight and control over David Gagnon?
- 16 Over the work.
 - Q. Okay. Over the work. Okay. So William and
- Caroline did buy the chain saw, correct?
- 19 I believe that is true.
 - Okay. But then David Gagnon was the one 0.
- 21 operating the chain saw?
- 22 Right. A.
 - Ο. And you would have to show in Paul's case
- that Bill and Caroline, one or the other, had control

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- 1 We already talked a little bit about that
- 2 earlier, but every time we met, we talked about this
- 3 because this was a subject at the time with the
- 4 McGuires and given the testimony of the McGuires,
- 5 given Paul's testimony, given the lack of any
- 6 evidence that they were controlling any work or even
- knew what Paul was doing, I felt it was a big, high
- 8 risk of moving forward on that claim.
- 9 So I'm going to try to summarize this.
- Maybe in parts. So in order for the McGuires to be 10
- 11 liable for Gagnon's work, Paul would have to prove in
- his case that the McGuires controlled Gagnon's work, 12
- 13 is that accurate?
- 14 A. Are you asking me if that's an accurate
- statement of the law? 15
- 16 Yes.

17

- I think that's partially right. There's a
- lot more to it. It's different branches and elements 18
- 19 that you have to prove, control was a factual matter,
- 20 and he would have to be able to establish there was
- 21 some oversight. It goes down into some factual
- 22 issues that you have to be able to show.
- 23 Q. Okay. So can you -- To the best of your
- 24 ability, can you kind of walk me through for the

- over David's operation of the chain saw?
- A. Control could mean a lot of things. They
- would have to be in a position to instruct him, tell
- him what to do, be aware of the work that was being
- done and have some control over what he was doing.
- 6 Okay. So in your -- Your opinion of the
- case was that it was insufficient for them to have
- simply purchased the chain saw and provided it to
- 9 Gagnon?

10

13

- Α. Yeah.
- And what about if they were paying him?
- 12 Would that make any difference?
 - Α. No.
 - Q. I'm sorry, I don't know or no?
- 15 Α. No.
- 16 Just bear with me for a second here. And
 - you informed Paul -- I'm sorry, let me back up. In
- exhibit -- Deposition Exhibit 7, so it's probably 18
- 19 6 for you, the email chain between you and Paul,
- 20 roughly November 18th through the 19th, Popovich
- 21 000181, on the bottom of that first page,
- 22 November 18, 2013, at 1:28 p.m. there's an email from
- 23 you. Do you see that?
- A. Yes. 24

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1 Q. "In addition, the McGuires' attorney," so

- 2 it's ATTY, "has offered us, you, 5,000 in full
- 3 settlement of the claim against the McGuires only.
- 4 As we discussed, they have no liability in the case
- 5 for what Dave did as property owners so they likely
- 6 will get out of the case on a motion." Did I read
- 7 that correctly?
- 8 A. Yes.
- 9 Q. So this is where you told Paul that you
- 10 didn't believe the McGuires had any liabilities for
- 11 the reasons -- in part for the reasons we just
- 12 discussed?
- 13 A. Right.
- Q. Ultimately Paul accepted that \$5,000 offer,
- 15 correct?
- 16 A. Yes.
- 17 Q. And you communicated that to the other side
- 18 later in 2013, does that sound correct to you?
- 19 A. Yes
- 20 Q. I'm uploading Exhibit 10, and it should be
- 21 Exhibit 10 for you as well, and it's a memorandum
- 22 dated December 20, 2013, and at the bottom it's
- 23 POP000884, do you see that?
- 24 A. Yes.

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- 1 risk and he had -- he wanted some time to think about
- 2 it and consider it.
- Q. Okay. All right, just bear with me here.
- 4 Okay, I just uploaded Deposition Exhibit 11, it's a
- 5 settlement acceptance letter, letterhead from Thomas
- 6 Popovich's office dated December 26, 2013. Hans,
- 7 your signature appears on there and it's POP00670.
- 8 Do you recognize this document?
- 9 A. That appears to be a letter from Popovich's
- 10 office to defense counsel.
 - Q. Do you recognize your signature on here?
- 12 A. Yes.

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- 13 Q. And this is the letter where you accepted
 - the offer on behalf of Paul, is that accurate?
- 15 A. It appears, yeah.
- 16 Q. Okay. So the Defendants made the original
- 17 offer around November 18 and Paul --
- 18 November 18, 2013, and Paul accepted it around
- 19 December 20, 2013. Is that statement accurate?
- 20 A. I don't have, like I said, independent
- 21 recollection of the dates. I would just have to go
- 22 off the documents.
- 23 Q. Okay. Was there -- If that timeframe is
- 24 roughly correct, was there anything that occurred

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- 1 Q. And that's a memorandum that you wrote to
- 2 the legal file; is that correct?
- 3 A. It looks like that.
- 4 Q. I think I already said this, it's dated
- 5 December 20, 2013?
- 6 A. Yes.
- 7 Q. Okay. And the substance of it, it appears
- 8 that you had a conversation on December 18th with
- $9\,\,$ $\,$ Paul and that he was authorizing you to accept the
- 10 \$5,000 settlement?
- 11 A. Yes.
- 12 Q. Okay. Do you recall that conversation of
- 13 December 18?
- 14 A. I recall having lots of conversations, this
- 15 is one of them, and generally I do recall the
- 16 conversations in a general sense, not the exact
- 17 dates.
- 18 Q. Okay. So you don't remember anything
- 19 specific to this December 18th call what you would
- 20 have discussed?
- 21 A. Not other than what I've already said we
- 22 discussed over the time.
- 23 Q. Okay.
- 24 A. Paul was weighing his options. He knew the

- Page 49 during that timeframe that indicated to you, you
- 2 know, why Paul changed his mind from originally
- 3 thinking it was too little to now accepting it. Was
- 4 there anything that stuck out in your mind about
- 5 that?

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- 6 A. Yeah.
- 7 Q. Can you expound on that?
 - A. Well, he had his friend with him during our
- 9 meeting and he reviewed the depositions.
- 10 Q. Okay. Did he not have the depositions prior
 - to that?
- 12 A. I remember he asked for copies of them, so I
- 13 provided them to him.
- ${\tt Q.}\,{\tt Okay},$ and when you say the depositions, do
- 15 you mean just the party depositions, the McGuires and
 - the Gagnon?
- 17 A. I don't remember if I gave him the doctors.
- 18 I don't remember which ones I gave him, but I know
- 19 specifically it was Gagnon and the McGuires.
- 21 Exhibit 12. This is titled, "Legal Research." And

Okay, I'm uploading Dulberg Mast Dep

- 2 this is hard because there's -- it's 27 pages. Some
- 23 of them have Bates numbers, but some of them are
- 24 black on the bottom, so I think the Bates numbers

Page 50 Page 52 didn't -- didn't take, but it's roughly -- looks like but just, I mean, we're talking now, what is it, roughly 204, maybe 205, Dulberg204, 205 through 7 years later? I haven't been asked to do any roughly Dulberg00304 -- Actually, I'm sorry, these research before today's deposition, but so, I mean, 3 4 aren't going to be continuous. But do you have that if you're asking me for what the case law says, I'd have to look at the case law, if that's what you're 5 packet of legal research in front of you? It appears 6 to be copies out of a -- copies of case law out of 6 asking. 7 the Northeastern Digest. I'm asking based on your -- on your I just have the one case here. experience and knowledge as a personal injury 9 Just one case? Which -- What's the case 9 attorney and not necessarily related to Dulberg's 10 title? 10 case specifically. 11 The first one, it's L A J A T O. 11 A. Okay. Α. 12 Okay. Do you -- Did you copy this case law? 12 But based on your knowledge and experience in premises liability cases, what is an independent 13 I don't know. 14 Do you recall providing any case law to 14 contractor? 15 Paul? 15 A. Someone that works on their own. 16 I don't know if I did or didn't. I don't 16 And can you explain what you mean by on 0. 17 know if he asked. 17 their own? 18 Okay. Do you recall doing case law 18 Somebody that's hired, like, somebody that's Q. 19 research? 19 hired to paint the house. 20 Α. I'm sure I did, yeah. 20 Okay. So somebody that's hired by a 21 Would have there been a memo or something 21 homeowner or maybe a business? 22 regarding that research? 22 Α. Yes. 23 A. Not necessarily. I was familiar with the 23 But someone that's hired by a homeowner but 24 law. the homeowner doesn't -- doesn't tell them how to do Page 53 Page 51 Okay. Okay. Was there any -- Was there any their job? 2 case law that stuck out to you, any particular cases 2 A. Right. 3 that stuck out to you? 3 Did you ever obtain a copy of the McGuires' 4 MR. FLYNN: Object to the form. insurance policy, do you recall? 5 THE WITNESS: You mean stuck out to me with 5 I don't have an independent recollection. 6 regard to Paul and his case? 6 Did you ever advise Paul as to the limits of 7 BY MS. WILLIAMS: the McGuires' policy? 8 Q. No. Were there any applicable cases that 8 A. I'm sure we talked about it. 9 stuck out to you one way or the other as to whether 9 Okay. I just uploaded Dulberg Mast the McGuires would be liable? Was there any specific Deposition Exhibit 13 McGuire Interrogatory Answers 10 10 11 cases that made you think that the McGuires may not and they're Bates stamped Dulberg000162 is the first page and there's roughly 14 pages. Do you see that 12 be liable given the facts in Paul's case?

I mean, you deal with this issue a lot and I can't think of one particular name of a case, but

15 these cases all go along the same line, so there were

16 lots of cases on this one particular issue. It

17 wasn't a complicated issue.

independent contractor.

13

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21

18 Q. So particularly the issue of control of 19 Gagnon.

20 A. Of a premises owner's liability for an

22 Okay. So can you explain generally what an 23 independent contractor is?

24 A. I'll give you have an answer if you want, was a question about the homeowner's insurance and the McGuires respond with their personal liability

22

23 and their medical liability, do you see that?

MR. FLYNN: This is 14?

trying to look at paragraph 15.

MS. WILLIAMS: It should be Exhibit 13 --

13 or 14. I think I have it as 13. Yes, okay. And

this -- I'm looking at paragraph 15 or at least I'm

Q. Okay. In paragraph 15 it looks like there

24 A. Yes.

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document?

A. Yes.

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Page 54

- Q. Okay. Now that you see that, do you recall
- 2 whether you ever got a copy of that policy?
- 3 A. I don't -- You mean the dec pages or the
- 4 whole policy?
- 5 Q. Either. Did you get a copy of the dec
- 6 pages?
- 7 A. I have no idea.
- 8 Q. And you have no idea whether you got a copy
- 9 of the whole policy?
- 10 A. Yeah, don't know.
- 11 Q. But they are representing what their
- 12 insurance was and the liability there, correct, or
- 13 their liability coverage there?
- 14 A. That's what it appears.
- 15 Q. Okay. And these -- This was -- looks like
- 16 this was responded to based on the McGuires'
- 17 signature on roughly the 12th page of the document.
- 18 It looks like it was August 6th of 2012.
- 19 A. That's what it appears.
- 20 Q. Yeah. So prior to when they would have made
- 21 the settlement offers, correct?
- 22 A. That's what it appears.
- 23 Q. Okay. Did you ever talk to Paul about
- 24 those -- the limits of the insurance policy and how

- Page 56
- 1 Co-Defendants, in other words, the McGuires, does
- 2 that seem accurate to you?
 - A. Yes.
- ${\tt Q.}$ So would you have issued interrogatories in
 - addition to what the McGuires' counsel issued?
 - A. It's probable.
- 7 Q. Okay. Do you recall one way or the other
- today as we sit here?
 - A. Not other than it's probable I did.
- 10 Q. I have not seen those in discovery, so if
- 11 they exist, we'd ask that they be produced. Do you
- 12 ever recall talking to Paul about the policy limits
- of the Gagnon insurance policy?
 - A. It's a topic that frequently comes up. I
- 15 don't have an independent recollection.
- Q. Would you have any memos or notes on that?
 - A. I could. I may. I don't have an
- 18 independent recollection of that.
- 19 Q. Okay. And, again, that would have been in
 - the file that -- in Thomas Popovich's file?
- 21 A. Correct.
- 22 Q. In your knowledge and experience not related
 - to the Dulberg case but just in your general
 - 4 knowledge and experience, are there any situations

Page 55

- that may be important in his case?
- 2 A. I suspect we talked about the policy, yeah.
- Q. Okay. Prior to any settlement discussions?
- 4 A. Yeah.
- 5 Q. Okay. But you've already testified you
- 6 didn't -- You don't know if you -- You don't know if
- 7 you obtained a copy. What about Gagnon's insurance
- 8 policy, did you ever obtain a copy of that?
- 9 A. I don't know. I don't know.
- 10 Q. Okay. Did you issue interrogatories to
- 11 Mr. Gagnon?
- 12 A. I'm sure I did.
- 13 Q. Let me upload this. Would they have been in
- 14 Popovich's file if you --
- 15 A. Yes.
- 16 Q. Okay. So I can tell you, I don't recall
- 17 seeing any documents issued by you. I'm going to
- 18 upload a document that appears to be interrogatories
- 19 issued by McGuires' counsel in the case. I'm going
- 20 to upload it right now. It's Exhibit 14 and Answers
- 21 to Co-Defendant Interrogatories and it is stamped
- 22 Dulberg00178. Do you see that document?
- 23 A. Yes.
- Q. It appears that these were issued by

- $\begin{array}{ccc} & \text{Page 57} \\ 1 & \text{where a homeowner may be strictly liable for someone} \end{array}$
- 2 doing work on their property?
- 3 MR. FLYNN: I'm just going to object to the
- 4 hypothetical being inaccurate and incomplete, also
- 5 calls for an expert opinion. While this witness is a
- 6 lawyer, I won't necessarily -- I don't expect to call
- 7 him as an F-2 or F-3 witness in the case.
- 8 THE WITNESS: So you're asking if a
- 9 homeowner can be strictly liable for an injury?
- 10 BY MS. WILLIAMS:
 - Q. Right.
- 12 A. In general terms, not with regard to this
- 13 case?

- 14 Q. No, in general terms. I'm just asking in
- 15 general terms in your -- based on your experience and
- 16 knowledge of injury cases.
- 17 A. I mean, I think -- Not in Paul's case, but I
- 18 think I could probably think of something that maybe
- 19 could be -- as products strict liability, there's
- 20 hazardous materials strict liability, there's
- 21 different issues that potentially factually if
- 22 they're applicable could apply, but not in Paul's
- 23 case.
- Q. Okay. Just in general, what kind of

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Page 58 hazardous -- When you say hazardous, are you talking

about hazardous chemical-type cases?

3 There's a string of cases when you're 4 dealing with hazardous chemicals and hazardous

5 materials, like a bomb or something like that, things

like that. 6

1

7 Okay. Okay. Are there any, like, hazardous 8 actions? Could something be considered, like, some 9 type of action be considered hazardous?

10 What do you mean by action? Activity?

11 Yeah, like, I'm trying to give you an

12 example because I'm just trying to understand it more

13 than anything else. Yeah, is there an activity that

you could be doing on your property, I don't know, 14

15 like, what about tearing down your home, would that

be considered -- would that be something that could 16

17 be hazardous?

18 There would have to be statutory authority 19 for that and there isn't.

20 Okay. Okay. So generally for strict

21 liability there has to be some type of statutory

22 authority for that?

23 A. Or common law. Yeah. They have a particular fact pattern.

Page 59

1 Okay. But this case particularly is simply a negligence case. Paul's case against the McGuires 3 was a simple negligent failure to control case in your opinion?

Α. That's what was pled.

6 Okay. Did you ever make any -- ever

consider pleading any other allegations? 7

8 MR. FLYNN: Object to the form.

9 THE WITNESS: I don't -- No. Not that I

10 recall.

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11 MS. WILLIAMS: Okay. Can we take about a 12 4-minute break?

13 MR. FLYNN: Sure.

14 MS. WILLIAMS: Let's just take -- I just

want to take a quick break and review my notes and I 15 16 want to give everybody an opportunity to kind of

17 stretch for a second. I'm going to go on mute.

18 MR. FLYNN: Okay.

19 (Whereupon, a break was taken,

20 after which the following

21 proceedings were had:)

22 MS. WILLIAMS: Let's go back on the record.

23 Okay, thank you everyone. Okay, just a little bit

24 more here. Page 60

On -- When you were talking to Paul about

settlement in the general timeframe of

November-December 2013, did you ever suggest at that

time that he seek alternative counsel or any

recommendation related to that?

A. I think that did come up.

Do you recall what your advice to him was or

what the discussion was?

I think, you know, we always talk about the 10 risks of not settling and further down the road what,

you know, having to try the case and having to try

12 prove the case or getting a motion for summary

judgment, having the costs exceed the benefits and

all that, and I think my position with Paul, since he

15 didn't give a relatively very good deposition, my

16 thought was we were going to have a tough time, an

17 uphill battle, and he can always seek other counsel

18 if he doesn't agree with me.

19 Q. And you just stated that you thought Paul

20 didn't give a very good deposition, that may not have

21 been your exact language, but roughly that the

22 deposition wasn't great. Can you explain what -- as

you recall it, what about the deposition was

problematic?

Page 61

I mean, he even agreed with me, but he just

doesn't do a very good job.

You mean -- Can you expand on that a little 0.

bit?

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5 A. As a witness, as I recall, again, it's been

quite some time, as I recall he was -- his testimony

wasn't given -- wasn't strong, it wasn't definite, it

8 didn't have credible points and some points were

9 incredible when compared to other -- other testimony.

I mean, there's just a lot -- there was a lot of 10

problems with his testimony.

12 Okay. Do you recall the circumstances that 13 Paul described as to why he came to the McGuires'?

I think he was either going to pick up

something or drop something off. 15

> Q. Okay.

Α. I don't really recall. I'm just thinking

back now. 18

Okay. Do you recall whether he was asked to 19 0. 20 come over to help with the tree, to help take down

21 the tree? Was that the purpose of his visit?

I don't recall that.

Would it matter as for liability whether it

24 was or wasn't?

Page 65

Hans Mast June 25, 2020

Page 62 As by who? As to whose liability? 1 Paul to file for bankruptcy? 1 2 I'm sorry, his and McGuires' liability. A. Would not. As to how he got there? Okay. And then sometime after the McGuire 4 Whether he was -- Whether he was invited for settlement but before the -- but while the Gagnon --5 the purpose of assisting with the removal of the the claims against David Gagnon were still pending 6 tree. you withdrew from the case; is that correct? 7 A. The law firm did. I -- Again, he hired the MR. FLYNN: Object to the form. Just invited by whom? law firm. 9 THE WITNESS: Yeah, that's a complicated 9 Q. Sure. Sure. I'm sorry. The Popovich firm question, but I don't think -withdrew? 10 10 11 BY MS. WILLIAMS: 11 A. Right. 12 Q. Let me clarify if I can. Okay. So my 12 Q. And I -- Let's see -- I think we're on question was does it matter if the McGuires invited Exhibit 14. 14 Paul to their residence to remove the tree on that 14 THE REPORTER: 15. MS. WILLIAMS: 15, okay. 15 on the June -- roughly June, I believe, 2011 date? 15 16 MR. FLYNN: Object to the hypothetical. Q. I have, I think, one more and then -- Okay, 16 17 THE WITNESS: I don't think it matters. 17 I am uploading Exhibit 15, Dulberg Mast Dep 18 BY MS. WILLIAMS: Exhibit 15. It's a motion to withdraw and it's four 19 Okay. Would it matter if they were paying pages and on the first page it has a Dulberg versus 0. 19 20 Paul? Gagnon case caption and file stamped March 13, 2015. 21 That's not the issue. The issue is Dave. 21 Do you have that document? 22 Q. Okay. So the relationship between the 22 A. Yeah. McGuires and Paul is somewhat irrelevant? 23 And this is the Popovich's firm motion to 24 I'm just saying the issue really that -withdraw as counsel for Paul Dulberg in the Dulberg Page 63 about liability is Dave's relationship with them. versus Gagnon-McGuire case, correct? 2 Because Dave is the one that controlled the 2 A. Yes. 3 chain saw that injured Paul, is that accurate? And you drafted or caused this motion to be 3 4 A. He was the one hired to do the work or asked drafted and filed? 5 to do the work, however, whatever that background 5 Α. Yes. 6 was. 6 And was it granted that same day it was 7 Q. And Caroline and William McGuire both filed? 8 testified that they had never used a chain saw; is 8 A. I'm sure it had to be noticed up. 9 that correct? Okay. On the notice of motion it looks like 10 A. I think that's accurate. I'd have to it was noticed for March 13, filed on March 13, but 10 refresh my memory, but that sounds right. 11 sent to the service list on March 5th, does that seem 12 Q. Okay. Do you remember discussing bankruptcy 12 accurate? 13 with Paul? 13 A. That's what it says. A. I don't remember that. 14 14 Q. But at any rate, you withdrew sometime in Q. Do you remember that Paul filed for 15 15 roughly March of 2015? 16 bankruptcy? Do you recall that? 16 It appears that way. Again, I don't have an 17 I saw a -- Maybe I didn't see one. I independent recollection of the date. 18 remember there was some sort of bankruptcy matter. I 18

Q. Okay. Okay. That's fine. And I didn't see it -- an order actually showing the exact date of when you withdrew. Can you explain why you withdrew from the case? A. The short version is just we had a difference of opinion.

Q. Can you give me the long version or slightly 24

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don't know the dates or when it came up.

A. I don't advise people to file for

file for bankruptcy?

Q. Okay. Do you recall if you advised Paul to

Q. All right. So you would not have advised

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23 bankruptcy.

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Page 66

1 longer?

- 2 A. Well, we have difference of opinion but Paul
- 3 was a bit difficult, so I just had to -- there were a
- 4 couple times that I told him I was going to withdraw
- 5 and then he begged me not to and so I didn't, but
- 6 then ultimately he -- it got pretty -- it got pretty
- 7 tough. He was saying some unfavorable, unflattering
- 8 things and I just decided we're not going to get
- 9 anywhere, I'm going to move on.
- , and who et a ... go in go ... ov a on ...
- 10 Q. Okay, so you -- the client relationship
- 11 broke down and you withdrew?
- 12 A. Yes.
- 13 Q. Okay. Was there anything about Gagnon's
- 14 liability or your thoughts on his liability that
- 15 would have caused you to withdraw?
- 16 A. That was another aspect of it. Paul was
- 17 looking for the stars and the moon and I didn't see
- 18 it.
- 19 Q. And when you say Paul was looking for the
- 20 stars and the moon, you mean -- Well, what do you
- 21 mean by that?
- 22 A. He was looking for a lot of money.
- 23 Q. Okay, and what was your opinion as to David
- 24 Gagnon's liability in the case?

- Page 68
 A. Anything other than what? Pretty much
- everything was not good.
- 3 Q. Okay. I mean, anything that we haven't
- 4 really discussed here today. We've talked about
- 5 Paul's testimony, Gagnon's testimony a little bit,
- 6 the McGuires, the premises liability. We talked --
- 7 You mentioned the doctors' depositions. Is that sort
 - of the general gamut of it?
 - A. That's the whole case.
- 10 Q. Okay. Have you ever had any other chain saw
- 11 liability cases other than this particular case?
- 12 A. I'm sure I have. I don't -- If you're going
- 13 to ask me to name a date, I don't know. I mean, it's
- 4 not a common issue, but it comes up from time to
- 15 time.

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- 16 Q. Okay. Did you state -- Did you seek out a
- 17 liability expert, a chain saw liability expert,
- 18 during the time you were representing Paul?
 - A. No.
 - Q. Is there a reason for that?
- 21 A. That's always a possibility. It's always a
- 22 consideration, but I had to consider even more
 - whether we could even get to prove a credible case
- 24 and that was my first object, my first -- my first

Page 67

- A. I didn't think much of the liability issue.
- 2 I thought it was going to be a long, tough haul given
- 3 that --
- 4 Q. And --
- 5 A. -- Paul was going to be our only witness on
- 6 our side pretty much.
- 7 Q. Okay, and there were no other witnesses
- 8 other than Paul and David; is that correct?
- 9 A. Correct.
- 10 Q. And what about -- Anything related to, like,
- 11 the actual injury, the doctors' depositions or
- 12 anything like that?
- 13 A. That all -- It was the whole ball of wax.
- 14 The doctors weren't supporting his claim. Dave was
- 15 saying he's a liar, he tried to bribe him. There was
- 16 just a lot of -- a lot of bad stuff, not enough good
- 17 stuff.
- 18 Q. Okay, and then at that point you and Paul
- 19 disagreed and Paul retained alternative counsel?
- 20 A. Right.
- 21 Q. Okay. Was there anything else about the
- 22 case that you can recall right now that gave you
- 23 pause as to the liability either to the McGuires or
- 24 David Gagnon?

- Page 69 tier. It doesn't do any good to hire an expert if
- 2 you don't have a good case.
- 3 Q. Okay. Okay. If you were going to take the
- 4 case to trial, at that point would you have hired an
 - expert, chain saw expert?
- 6 A. For this case, I don't know. I'd have to
- 7 look at it again and see what we need to prove, what
- 8 they're arguing. There's -- As I recall, they
- 9 weren't arguing the chain saw -- They weren't
- 10 arguing. He didn't get hit with the chain saw. So
- 11 I'm not real sure. I'd have to think whether we need
- 12 to prove -- what we need to prove, anything more than
- 13 that.

14

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- Q. Okay.
- 15 A. It was more what happened, who caused it to
- 16 happen, not that it happened.
 - Q. Okay. Is there a difference between an independent contractor and an employee?
- 19 A. In terms of what? In terms of duty or what?
- 20 Q. Right. In terms of the supervisor's duty.
- 21 So if the Gagnons -- If Gagnon was, and this is a
- 22 hypothetical, if Gagnon was an employee of his
- 23 parents as opposed to an independent contractor,
- 24 would there be a liability difference?

Page 73

Hans Mast June 25, 2020

Page 70 MR. FLYNN: Object to the hypothetical. And there are --1 1 0. 2 It's inaccurate and incomplete. A. Go ahead. 3 THE WITNESS: That's a very complicated So there would be different elements if 4 question, even though it doesn't sound like one. It something was an employer-employee situation, that 5 depends on lots of things. would be different law, different case law? BY MS. WILLIAMS: Yeah, there's a different cause of action. 6 6 7 Okay. We've already talked about an Q. Okay. independent contractor. So just in your experience Α. Different elements potentially have to be 9 and knowledge, what is a supervisor's duty as to an pled and proved. 10 employee? That's actually a really terrible 10 Okay. But in this case you were trying to 11 question. Let's strike that question. 11 prove -- In Dulberg's case against the McGuires and 12 Is there a difference -- Is there a 12 Gagnon you were trying to show that -- The theory of difference between the control aspect of -- Would the case was that Gagnon was not an employee, but an 13 14 an -- Let me start again. This is a complicated independent contractor, and the McGuires had to 15 question, more complicated than I'm anticipating 15 control him in order to be liable? 16 right now. Okay. 16 A. Well, that's ultimately what it appeared. 17 We've generally established that in order 17 You followed the evidence, you follow the facts, so 18 for an -- someone who hires an independent contractor if it turned out it was employee-employer-employee 19 to be liable for the actions of that independent relationship, that's a different evaluation. 20 contractor, they would have to control the work. In 20 Q. Okay. So but, for the most part, you 21 a situation, an employer-employee situation, is that 21 were -- your evaluations of the liability were based 22 22 control element also present when considering on an independent contractor analysis? liability? Does the employer have to control the 23 A. Well, that's where it went because of the evidence. work of the employee in the same way?

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1 A. I think there are --MR. FLYNN: I just want to raise an 3 objection for the record. I object to the form. I 4 think that the premise of the question indicated that 5 we already established some legal precedent. I don't think that's the case. I don't think that he's 6 7 testified to that, so, again, I'll just object to the 8 form. But if you can --9 THE WITNESS: You're asking me to compare 10 two different theories without a fact pattern, but 11 there's a lot to each issue and it's hard to just 12 say, well, if you have this, then you have that. There's a lot of different facts that apply, but now 13 14 I'm forgetting what you asked initially about the employer-employee question. 15 BY MS. WILLIAMS: 16 17 So I guess my question to the point of is an

employer liable for their employees in a different

I think under the law there are different

way than a homeowner would be liable for an

Based on -- You go ahead.

independent contractor?

elements to those actions.

I think --

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here or may be finished. 5 MR. FLYNN: Okay. BY MS. WILLIAMS: 6 Q. Okay. Just a couple more questions and then we'll wrap things up here. When did you first advise 9 Paul that you didn't think the claims against Gagnon were going to be very strong? A. Probably day one. 12 Before the settlement with the McGuires? 13 Α. And did you discuss that several times prior 14 Q. to that McGuire settlement? 16 Like I said, we discussed those issues every 17 time we'd meet, liability issues, damages issues. Do you recall any particular instances, like maybe after Paul's deposition, after David's 20 deposition, did that stick out in your mind at all? 21 A. Discussing what, the issues of liability 22 against Gagnon? 23 Q. Yes.

Those are probably something we talked about

MS. WILLIAMS: Okay. I'm going to go on

mute for just a second so you guys don't hear me

shuffling papers, but I think I'm almost finished

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Page 74 Page 76 every visit. operate it effectively yourself safely. 1 2 Okay. So we discussed this a little bit Sure. Okay. And --Q. before, but I believe the testimony was that the So I mean --4 McGuires testified that they purchased the chain saw 4 Okay. But today you're not giving an 5 and I believe you said yes, that was your opinion one way or the other whether they had a duty recollection as well; is that correct? 6 to provide warnings, whether they had a duty to 7 That sounds right. I just don't have an provide the manual, fair enough? 8 independent recollection at this point. Yeah, legal wise, no, I'm not giving you a 9 Q. Okay. If the McGuires -- Let's assume 9 legal opinion on that. MS. WILLIAMS: Okay. Okay, I don't think I 10 that -- Just for the purposes of this, let's assume 10 11 that the McGuires did -- it was their chain saw, they 11 have anything further. 12 purchased it and let Gagnon use it on their property. 12 MR. FLYNN: I actually have just a few Would they have any duties to share the manual of follow-ups to that. 13 14 that chain saw with Gagnon or provide any other 14 MS. WILLIAMS: Sure. 15 education as to the use of the chain saw to Gagnon? 15 EXAMINATION 16 A. All right, so you're asking me to make a 16 BY MR. FLYNN: 17 judicial decision whether they had a duty or not? 17 Q. Hans, is your understanding based on the 18 Q. No, I'm asking you in your experience with evidence that there were only two eyewitnesses to 19 these types of cases is there any duty there for 19 Mr. Dulberg's accident, correct? 20 them. 20 Α. Correct. 21 A. All right, so a legal duty? 21 That was Mr. Dulberg himself and David 0. 22 Q. Right. Right. And -- Go ahead, George. 22 Gagnon? 23 MR. FLYNN: Yeah, I'll just object. I mean, 23 Α. Correct. there isn't any evidence that Gagnon asked for a And did you have an understanding as to how 0. Page 77 Page 75 manual, for one, but as far as him providing legal the evidence and testimony shook out as to each 2 opinions not based on the facts of this case, I'm gentleman's version of the accident and how it 3 just going to caution him not to provide what could 3 occurred? be considered an expert opinion. A. Well, as I said before, I thought Paul's 5 THE WITNESS: You don't want me to answer? 6 MR. FLYNN: It's up to you. I don't know if the testimony of everybody, credibility issues, and 7 you can. the lack of evidence to support and prove. 8 THE WITNESS: I don't remember the question. 8 David Gagnon's testimony regarding the facts 9 You're asking me should the McGuires have given

10 Gagnon the manual to the chain saw?

BY MS. WILLIAMS: 11

12 Yes.

13 Α. Sure, if he asked for it or if they wanted 14 to give it to him.

15 Q. Are there any other warnings that they 16 should have provided?

17 See, I mean, you're asking me to -- I get the question, but I'm saying you're asking me to 18 19 evaluate the conduct of both parties and interpret 20 something and I don't know that that's my position as 21 a witness, but should they have warned him? You

know, sure, go ahead and warn him, but obviously when 23 you take on a piece of equipment that you're skilled

and experienced in operating, you should be able to

case was going to be very difficult to prove based on

surrounding the accident differed from Paul Dulberg's version of the facts, correct? 10

A. Correct.

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You took that into account in your 13 evaluation and analysis of the case?

> A. Definitely.

Did you also take into account your professional analysis of Paul Dulberg's performance as a witness at his discovery deposition?

18 A. Definitely.

> You didn't think he made a very good witness for himself, did he?

21 A. He even admits he didn't and I don't think he -- I think -- that was one of the worst -- that 22 23 was one of my worst fears with this case. I had lots

of cases and on a scale of weak witnesses, he's

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Page 78 Page 80 THE WITNESS: I'll waive signature. probably up at the top, and I'm not putting him down, MS. WILLIAMS: We'll order the original, that's just a reality and I think he even E-tran. acknowledged that reality. 3 MR. FLYNN: I'll take a regular and a mini 4 Q. Okay. Not everyone is a professional 5 witness? copy. 6 A. Right. Okay. Generally speaking, your evaluation of the case hinged in part on whether the McGuires 9 controlled the manner and method of the use of the 10 10 chain saw, correct? 11 11 A. Right. 12 Do you have any recollection as to what the McGuires were doing while the work was being done? 13 14 They were inside the house, just another day 14 15 15 to them. They weren't even -- I don't think even 16 16 paying attention to what was going on outside. 17 Did Mr. McGuire testify that he was watching 18 television inside the house while David was working 19 19 on the tree? 2.0 20 A. They were both inside as I recall. 21 Your recommendation or suggestion that 22 Mr. Dulberg settle the case for \$5,000 was based on 23 your analysis of the entire case, including the risks and benefits of going forward and potentially losing Page 81 Page 79 DECLARATION UNDER PENALTY OF PERJURY the case at trial, correct? 2 Α. Yes. I, HANS MAST, do hereby certify under 3 Did you have any way to predict whether the case would result in a verdict on behalf of the penalty of perjury that I have read the foregoing 4 transcript of my deposition taken on June 25, 2020; 5 plaintiff in the case against the McGuires? that I have made such corrections as appear noted 6 I'm sorry? herein in ink, initialed by me; that my testimony as 7 Did you have any -- Did you have any contained herein, as corrected, is true and correct. certainty as to whether Mr. Dulberg could prevail at 8 Dated this ____ day of ____ 9 9 trial on liability against the McGuires? 20___, at ___ 10 I would have staked a lot that we would not have recovered in the case and just something that 12 didn't come up with the direct is they didn't offer 12 13 13 the arbitrator to me. That was something that was 14 later decided. I talked to them about that. They 14 HANS MAST did not offer that to me, so that was not an option 15 16 16 to me. 17 17 So you were -- Based on your professional 18 18 judgment, you suggested that you attempt to settle 19 19 the matter as opposed to taking it to trial versus 20 the McGuires, correct? 21 A. Right. 22 MR. FLYNN: Okay. That's all I have. 22 23 23 MS. WILLIAMS: I have no follow-up. 24 THE REPORTER: Signature?

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     STATE OF ILLINOIS )
                      ) SS:
     COUNTY OF C O O K )
 3
 4
              I, Barbara G. Smith, Certified Shorthand
 5
     Reporter and Notary Public in and for the County of
    Cook, State of Illinois, do hereby certify that on
    the 25th of June, A.D., 2020, the deposition of the
    witness, HANS MAST, called by the Defendants, was
    taken remotely before me, reported stenographically
10
     and was thereafter reduced to typewriting through
11
     computer-aided transcription.
12
             The said witness, HANS MAST, was first duly
13 sworn to tell the truth, the whole truth, and nothing
14 but the truth, and was then examined upon oral
15 interrogatories.
16
             I further certify that the foregoing is a
17 true, accurate and complete record of the questions
18
    asked of and answers made by the said witness, at the
19
     time and place hereinabove referred to.
20
             The signature of the witness was waived by
21
    agreement.
22
             The undersigned is not interested in the
    within case, nor of kin or counsel to any of the
24
    parties.
                                                  Page 83
              Witness my official signature and seal as
    Notary Public, in and for Cook County, Illinois on
 3
     this 7th day of July, A.D., 2020.
 5
                    Bubaca & Smith
 6
 7
                   Barbara G. Smith, CSR, RPR
                   Notary Public
 9
                   200 West Jackson Boulevard, Suite 600
                   Chicago, Illinois 60606
10
11
     License No. 084-002753
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STATE OF ILLINOIS ) SS:

COUNTY OF MCHENRY ) SS:

IN THE CIRCUIT COURT OF THE 22ND JUDICIAL CIRCUIT MCHENRY COUNTY, ILLINOIS

PAUL DULBERG, ) Plaintiff, ) No. 17 LA 377

THE LAW OFFICES OF THOMAS ) POPOVICH and HANS MAST, ) Defendants. )
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The remote videoconference deposition of
HANS MAST, appearing remotely from McHenry County,
Illinois, called by the Plaintiff for examination,
pursuant to subpoena and pursuant to the Code of
Civil Procedure of the State of Illinois, and the
Rules of the Supreme Court thereof, pertaining to the
taking of depositions, for the purpose of discovery,
taken before Barbara G. Smith, appearing remotely
from Will County, Illinois, Certified Shorthand
Reporter and Notary Public within and for the County
of Cook and State of Illinois, commencing at the hour
of 10:00 a.m. on the 25th day of June, A.D., 2020.

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     STATE OF ILLINOIS
                              SS:
 2.
     COUNTY OF MCHENRY
 3
       IN THE CIRCUIT COURT OF THE 22ND JUDICIAL CIRCUIT
                    MCHENRY COUNTY, ILLINOIS
 4
 5
     PAUL DULBERG,
                                    )
 6
              Plaintiff,
 7
                                      No. 17 LA 377
         -vs-
 8
     THE LAW OFFICES OF THOMAS
 9
     POPOVICH and HANS MAST,
10
              Defendants.
11
12
              The remote videoconference deposition of
13
     HANS MAST, appearing remotely from McHenry County,
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     Illinois, called by the Plaintiff for examination,
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    pursuant to subpoena and pursuant to the Code of
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     Rules of the Supreme Court thereof, pertaining to the
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2.2
     of Cook and State of Illinois, commencing at the hour
23
     of 10:00 a.m. on the 25th day of June, A.D., 2020.
24
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		Page 2		Page 4
1 2	REMOTE APPEARANCES: THE CLINTON LAW FIRM, By		1	THE REPORTER: The attorneys participating
-	MS. JULIA C. WILLIAMS		2	in this deposition acknowledge that I am not
3	111 West Washington Street, Suite 1437		3	physically present in the deposition room and that I
	Chicago, Illinois 60602		4	will be reporting this deposition remotely. They
4	(312) 357-1515		5	further acknowledge that, in lieu of an oath
5	(312) 201-0737 (Facsimile) juliawilliams@clintonlaw.net		6	administered in person, the witness will verbally
6	On behalf of the Plaintiff;		7	declare his testimony in this matter is under penalty
7			8	of perjury. The parties and their counsel consent to
	KARBAL COHEN ECONOMOU SILK DUNNE, LLC, By		9	this arrangement and waive any objections to this
8	MR. GEORGE FLYNN 150 South Wacker Drive, Suite 1700		10	manner of reporting. Please indicate your agreement
9	Chicago, Illinois 60606		11	
	(312) 431-3622			by stating your name and your agreement on the
10	(312) 431-3670 (Facsimile)		12	record.
11	gflynn@karballaw.com		13	MS. WILLIAMS: Julia Williams. I agree.
11	On behalf of the Defendants.		14	MR. FLYNN: George Flynn. I agree.
12	on seimin or one seremanes.		15	THE REPORTER: Will the witness kindly
13	ALSO PRESENT: Mr. Paul Dulberg		16	present his government-issued identification by
14			17	holding it up to the camera for verification?
15			18	(Witness presents
16 17			19	government-issued identification
18			20	and identity is verified.)
19			21	
20				THE REPORTER: Thank you.
21			22	HANS MAST,
22			23	called as a witness herein, having been first duly
24			24	sworn, was examined and testified as follows:
		Page 3		Page 5
1 2	INDEX	Page 3	1	Page 5 EXAMINATION
1 2 3	I N D E X WITNESS EXAMINATION	Page 3	1 2	
2		Page 3		EXAMINATION
2 3 4 5	WITNESS EXAMINATION HANS MAST	Page 3	2	EXAMINATION BY MS. WILLIAMS:
2 3 4 5 6	WITNESS EXAMINATION HANS MAST By Ms. Williams 5	Page 3	2	EXAMINATION BY MS. WILLIAMS: MS. WILLIAMS: Okay, so this is the
2 3 4 5	WITNESS EXAMINATION HANS MAST	Page 3	2 3 4	EXAMINATION BY MS. WILLIAMS: MS. WILLIAMS: Okay, so this is the discovery deposition of Hans Mast taken pursuant to
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2 3 4 5 6 7 8 9	WITNESS EXAMINATION HANS MAST By Ms. Williams 5 By Mr. Flynn 76 E X H I B I T S	Page 3	2 3 4 5 6	EXAMINATION BY MS. WILLIAMS: MS. WILLIAMS: Okay, so this is the discovery deposition of Hans Mast taken pursuant to all applicable rules and notice in the case of Dulberg versus The Law Offices of Thomas Popovich, et al. This deposition is being taken for the
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	Page 6		Page 8
1	named, but I was somebody that appeared on a motion.	1	Q. Hundreds?
2	I think I got out eventually.	2	A. Probably.
3	Q. Okay, and then And then Sorry. And	3	Q. Oh, I'm sorry, I forgot to do this, but I
4	then you said you think twice, so do you know	4	think we saw your room. It's just you and George
5	approximately what year that medical malpractice case	5	Flynn in the room with you, correct?
6	that turned into a legal malpractice case, do you	6	A. Yes.
7	know roughly what year that was?	7	Q. And there's no one else in the room and if
8	A. '94 or something.	8	there were, you would identify them, correct?
9	Q. Okay, and then the second time, what would	9	A. Yes.
10	have that been?	10	Q. And you don't have any devices or anything
11	A. It's not coming to me. It was another legal	11	with you? You're not communicating with anyone
12	case. I don't remember the details.	12	during this deposition other than the attorney in the
13	Q. Okay	13	room with you, correct?
14	A. There Go ahead.	14	A. And you and who else is on this meeting.
15	Q. More than 10 years? I'm sorry, I didn't	15	Q. Okay. I'm sorry, let me rephrase. Is there
16	mean to interrupt you.	16	anyone that I don't know that you are communicating
17	A. Yes.	17	with that I wouldn't know?
18	Q. We can go over the I'm going to try not	18	A. Not that I'm aware of.
19	to interrupt you, you're going to try not to	19	Q. Okay. If you take any notes or otherwise
20	interrupt me. You've taken depositions before, I'm	20	communicate with people during the deposition, we
21	sure we can get into that and appreciate you	21	just ask that those notes be produced. Okay. Did
22	answering orally, all of those typical things that	22	you do anything to prepare for the deposition today?
23	apply, and I'll try not to interrupt you too much.	23	A. Well, I just saw some exhibits you sent
24	Have you ever Other than the one time you just	24	George. I didn't really prepare them. I looked them
	D 7		
1	Page 7	1	Page 9
1 2	identified, have you ever been sued other than this	1	over briefly.
2	identified, have you ever been sued other than this suit for legal malpractice?	2	over briefly. Q. Did you review any of the other files that
2 3	identified, have you ever been sued other than this suit for legal malpractice? A. No.	2 3	over briefly. Q. Did you review any of the other files that have been produced in this case?
2 3 4	<pre>identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that</pre>	2 3 4	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No.
2 3 4 5	<pre>identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal</pre>	2 3 4 5	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No. Q. Did you review any notes?
2 3 4 5 6	identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal malpractice suit? Do you know what the basis of the	2 3 4 5 6	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No. Q. Did you review any notes? A. No.
2 3 4 5 6 7	<pre>identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal malpractice suit? Do you know what the basis of the suit was?</pre>	2 3 4 5 6	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No. Q. Did you review any notes? A. No. Q. Any other documents?
2 3 4 5 6 7 8	identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal malpractice suit? Do you know what the basis of the suit was? A. It was a medical malpractice case that I	2 3 4 5 6 7 8	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No. Q. Did you review any notes? A. No. Q. Any other documents? A. No.
2 3 4 5 6 7 8	identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal malpractice suit? Do you know what the basis of the suit was? A. It was a medical malpractice case that I think lost on a summary judgment motion and they	2 3 4 5 6 7 8	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No. Q. Did you review any notes? A. No. Q. Any other documents? A. No. Q. Did you meet with anyone
2 3 4 5 6 7 8 9	identified, have you ever been sued other than this suit for legal malpractice? A. No. Q. Do you recall any other details about that medical malpractice lawsuit that turned into a legal malpractice suit? Do you know what the basis of the suit was? A. It was a medical malpractice case that I think lost on a summary judgment motion and they were the client was suing the office and I think I	2 3 4 5 6 7 8 9	over briefly. Q. Did you review any of the other files that have been produced in this case? A. No. Q. Did you review any notes? A. No. Q. Any other documents? A. No. Q. Did you meet with anyone A. Other than George?
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1	Tllinoio	Page 10 sthat same year?	1	Page 12 A. I think a couple years. Maybe a little more
1 2	A.	-	1 2	A. I think a couple years. Maybe a little more than that.
		Yes.	-	
3	Q.	And have you Are you admitted to practice	3	Q. And what kind of work did you do at Kemper?
4	anywhere		4	A. Defense.
5	A.	No.	5	Q. Defense of what type of cases?
6	Q.	Have you ever been reprimanded or	6	A. Lots of different kinds, auto accidents,
7	-	ned by any courts?	7	premises.
8	A.	No.	8	Q. Mostly torts though, negligence-type cases?
9	Q.	Have you ever been publicly reprimanded or	9	A. Yes.
10	_	ned by any oversight body, such as the ARDC?	10	Q. And then after Kemper?
11	A.	No.	11	A. I think Popovich was next.
12	Q.	When did you start practicing?	12	Q. And how long were you with the Popovich
13	A.	'91.	13	firm?
14	Q.	And where did you start?	14	A. About 18 years, I think.
15	A.	In Rockford.	15	Q. And do you know what year you roughly
16	Q.	With a firm?	16	what year you joined Popovich?
17	A.	Yeah, Cacciatore.	17	A. 2001 maybe.
18	Q.	And how long were you there?	18	Q. And you were there for roughly 18 years you
19	A.	About a year and a half.	19	think?
20	Q.	And what kind of work did you do there?	20	A. Yes.
21	A.	Personal injury, plaintiff.	21	Q. So you left maybe just last year?
22	Q.	Have you done personal injury your entire	22	A. In '18.
23	career?		23	Q. 2018?
24	A.	No, I did some defense work.	24	A. Yeah.
		Page 11		Page 13
1	Q.	Okay. So you were at Cacciatore for a year	1	Q. And why did you leave Popovich?
2	and a ha	lf and you were doing plaintiff's personal	2	A. To start on my own.
3	injury w	ork. What did you do after that?	3	Q. And where are you now?
4	A.	I went to the Loggans firm in Chicago for	4	A. With Compton Law Group.
5	about 6	months.	5	Q. I'll give you just a second to come back.
6	Q.	And what did you do there?	6	A. Yeah.
7	A.	Plaintiff's.	7	Q. And what types of I'm sorry, I'm going to
8	Q.	PI again, personal injury?	8	go back to the Popovich firm. What kind of cases did
9	A.	Yeah.	9	you handle at Popovich's firm?
10	Q.	And after that?	10	A. Plaintiff's personal injury, all kinds.
11	A.	Judge and James in Park Ridge.	11	Q. And then at Compton, what kind of work do
12	Q.	And how long were you there?	12	you do?
13	A.	7 years, I think.	13	A. Same thing, same kind of cases, plaintiff's
14	Q.	Did you do plaintiff's personal injury there	14	personal injury.
15	as well?		15	Q. So is it fair to say you've been doing
16	A.	No, that was defense.	16	plaintiff's personal injury cases steadily throughout
17	Q.	What kind of defense work?	17	your career?
18	A.	Lots All kinds, municipal, tort.	18	A. Yeah, except for the time I was with the
19	Q.	Did you defend personal injury cases while	19	defense offices.
20	_	there as well?	20	Q. Okay. But you were still doing personal
21	Α.	Yes.	21	injury, just on the defense side, not on the
22	Q.	And then after that, where did you go?	22	plaintiff side?
23	Α.	Kemper, I think.	23	A. Right.
24	Q.	And how long were you with Kemper?	24	Q. Okay. Did you answer discovery in this
	ו	100 mass rompor.		¿

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Page 14 case, in the malpractice case that we're -- the Dulberg versus Thomas Popovich case? 3 A. I think I did. 4 Do you remember -- Did you review discovery 5

in this case, do you recall?

6 Like I said, I think I answered some and 7 signed off on some, I just don't remember. I haven't seen them recently.

9 Okay. Okay. If you recall, do you remember reviewing the documents that were produced in this 10 11

12 A. I don't know what was produced.

13 Okay.

14 A. I assume the file.

15 Right. Okay, if I represented that the file

was produced, would that make sense to you? Can we 16

17 kind of agree that the file was produced?

18 Well, if you told me that.

19 Q. Okay. So when the file was produced, I

20 don't know if you recall, there were black -- some

21 black pages between the file. Do you remember any

22 discussions about that?

23 A. I didn't produce anything so and I haven't reviewed what was produced, that wasn't my -- I was 1 case?

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A. Not that I'm aware of. Unless I produced it

to Popovich and he produced it. I don't know how

4 that worked.

Q. Okay. When were you retained by Paul

Dulberg?

A. I don't recall. I'm assuming there's

paperwork that shows that.

9 Q. Yes. Let me upload a file here. Just give

me a second. 10

11 Α. I don't think he retained me. I think he 12 retained Tom Popovich's office.

13 Q. Okay. I just uploaded a file that's titled

14 Dulberg Mast Dep Exhibit 1, if you can -- And,

15 George, you should have that as well -- and it should

be the retainer contract. 16

A. Yeah, I see it.

18 Okay. So it's a contract for legal services

19 and it's marked POP, P O P, 000586 on the bottom,

just for reference, so this will be the first exhibit

21 in this deposition. Do you recognize this document?

22 I recognize what it looks like, yeah.

Yeah, and it's the contract for legal

services and it's undated, it looks like.

Page 15

in a different office when it was produced, I think.

Q. Okay. So Thomas Popovich would have had 3 possession of the file?

4 Right.

5 You did not have possession of any documents

from the underlying case, from the Dulberg versus

7 Gagnon-McGuire case?

8 A. I didn't.

9 Okay. So you would not have had access to

10 that file since you were with Thomas Popovich in

11 2018?

12 Once I left the firm, I have not had the Α.

13 file.

14 Q. Okay. In this case did you produce emails

15 that you possessed or did you not have access to

16 those either?

17 A. I would -- I don't know what was produced,

again, by the Popovich firm. I don't know if they 18

19 had my emails, but I have a new email address. I

don't think it's the same as it was back then. 20

21 Q. Okay.

22 A. So I didn't produce anything.

23 So you didn't produce any emails or

24 communications that -- in the -- from the underlying

A. That's what it looks like.

Okay. I'm going to upload another exhibit.

So I'm uploading Exhibit 2, it's titled Dulberg Mast

Dep Exhibit 2, and this should be the original

complaint filed in the case of Dulberg versus Gagnon,

et al., 12 LA 178, filed in McHenry County. Do you

see that document?

8 A. Yeah. What I'm going off are an email I got

with all the exhibits attached, so I'm not -- that's

what I'm looking at. 10

> Q. Okay.

It's a complaint and it says Exhibit 2.

13 Right, okay. So our numbers may be a little

off, but the description should be correct. In that

15 complaint shows file stamp May 15, 2012?

Yeah, that's what it says.

17 Okay, and so Mr. Dulberg would have hired

you sometime -- hired the Popovich firm sometime

19 prior to that, correct?

A. I'm assuming. I --

21 Okay. Do you have any idea?

> A. I'm sorry.

I'm sorry, I didn't mean to interrupt you. 23 0.

24 Go ahead.

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Page 18

Go ahead. Do you have any idea about -- Do you have

any idea about what timeframe he would have hired --4 retained you?

1 2

5 A. I really, again, I don't have an independent 6 recollection of it. I think there's probably a memo 7 out there of me meeting with him, too.

Q. Okay. Actually, I think there is. Okay, I 9 just uploaded Dulberg Mast Dep Exhibit No. 3 and the top says -- it's titled, "Intake Memo." At the top 10 11 it says, "Memorandum," it's Popovich, it says

12 POP00961 and 000962. Do you recognize this document?

I -- It looks familiar. 13

14 And it indicates that it's from you, so you 15 would have drafted this document, correct?

16 I would have dictated it, yeah.

17 Okay, and it looks like you had a new client

meeting with Paul on December 1st of 2011?

19 That's what it says.

20 Okay. Does that seem like that timeframe

21 would have been roughly correct?

22 I have no reason not to believe that's

23 accurate.

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Q. Okay. So Paul retained you probably

named as well.

Q. And what was the theory as to the McGuires?

I think Paul had said that they were the ones that owned and looked over the work that was

being done.

6 Q. Okay. So if they owned the chain saw and were overseeing the work, what's the legal theory for

liability on that? Why would they be liable? Under case law potentially there's liable --

liability for people that oversee and direct the 10 11

work.

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12 Q. Okay, and is that a strict liability or is it some other form of liability?

> A. It would be negligence.

Q. So negligent oversight?

16 Potentially. Α.

Okay. Were there any other theories that

you were going to pursue or could be pursued?

19 Not that I recall.

Okay. So a negligence claim against Gagnon

21 for negligently utilizing the chain saw and then a

22 negligence claim against McGuires for not -- for not

controlling his use of the chain saw, is that

accurate?

Page 19

sometime in December of 2011 and then you filed a

2 complaint around May 15, 2012?

3 That's what it appears.

4 Okay. So can you just tell me what the case

against Mr. -- I'm sorry. Can you describe the case

6 between Paul Dulberg and David Gagnon, Caroline and

7 William McGuire?

8 Α. What do you mean describe it? What it's 9 about?

10 0. Yeah, basically what was it about?

An injury, a chain saw injury.

Okay. Was there anything about the case 12

13 that was unique to you?

Other than it was a chain saw injury.

15 Okay. What was your theory of that case?

16 What was your theory of liability in the case?

17 I think the -- Paul had claimed Dave struck

him with the chain saw. 18

19 So was it just a negligence theory or was it

20 a strict liability or --

21 A. I believe it was negligence, if I recall

22 correct.

23 Q. Negligence against Gagnon, David Gagnon?

24 A. Yeah, and I think the McGuires actually were

A. I don't recall the exact allegations, but I think in a general theme that was what we were going

to try to prove. 3

Q. Okay. In the intake memo, do you want to go

back to that? There are some notes on this exhibit

that state -- it looks to me like it says, "Hans BC

the accident occurred on their premises, their HO med

pay will cover the bills," and then it's signed. Do

9 you recognize that handwriting?

Yeah, that would be Tom. 10

Okay, and what does that note mean?

Medical coverage, medical payments coverage.

So there -- So the McGuires -- When he says

14 their, is he referring to Caroline and Bill McGuire?

15 Well, I don't know what he's referring to.

16 I think what he's -- Well, he circled their names, so

17 that probably indicates what he's referring to.

Okay. Would their -- Would their insurance 18 19 cover medical bills in an instance like this?

20 Α. Possibly.

21 Okay. Did you reach out to their insurance company about covering any medical bills?

I don't recall if that was applicable or I

don't know -- I don't recall that issue.

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Page 22 mean, that brings up a lot of issues. 1 Q. Okav. 2 A. Oh, uh, I think -- It just kicked me off. Okay. Let's -- Let me narrow it down a MR. FLYNN: I got disconnected, too. It's little bit and try to get more to a point that will 4 the Wi-Fi. be useful for our discussion. At some point, you had 5 BY MS. WILLIAMS: recommended that Paul settle the case as to the McGuires; is that correct? 6 Okay, we'll just wait a minute here. I can hear you. I just can't see you. A. Yeah. 8 We'll wait a minute until you can get your And what was the reasoning for settling the 9 video back on. case as to William and Bill McGuire? MR. FLYNN: Julia, we think the Wi-Fi may 10 10 Just risk, like you always discuss with any Α. 11 have dropped here in the office. 11 settlement. 12 MS. WILLIAMS: Okay. Well, let's just give 12 Q. Can you be a little more specific about what it a minute and see. type of risk? 13 14 MR. FLYNN: Okay. Again, that's a long question but, I mean, Α. 15 (Whereupon, a break was taken, 15 it's like any settlement, you're taking a risk if you 16 after which the following 16 don't settle the case when you have issues that could 17 proceedings were had:) 17 be problematic. 18 MS. WILLIAMS: Okay. I think we're back on 18 Q. Okay. When you say issues that can be 19 the record. Barb, are you doing all right? problematic, and I know it could be a very long 20 THE REPORTER: Yes. 20 answer, but as much as you can, can you summarize 21 BY MS. WILLIAMS: 21 what you think those risks were? 22 22 A. Understanding it's a summary that, I mean, I Q. Okay. So we just went through the memo that Tom made a note about insurance and your testimony could probably answer that in a couple hours, but the was that you don't recall whether you made any chance of recovery was in my view very slim if at all Page 23 requests to the McGuires' insurance to pay Paul's because of lots of reasons, one, because of Paul's medical bills; is that correct? testimony, Gagnon's testimony, the McGuires 3 I don't remember, right. testimony. The evidence didn't seem to be something 4 Okay. Back to the actual claims made. Do that was going to allow us to prove the case against 5 you remember -- Do you recall what the defense was 5 the McGuires. 6 for first Gagnon and then Bill -- William and 6 Okay. What -- And, again, I understand this 7 Caroline McGuire? is -- these are very long questions, but just in 8 What do you mean by defense? 8 summary, what were you going to need to prove the 9 What was their theory of defense in the 9 case against the McGuires? 10 case, do you recall? As you understood it. 10 11 I mean, that's a big question. I mean, they, like every case, they were denying what we were

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alleging.

were alleging?

Q. Were they denying the facts? Did they

as far as the facts that were different from what you

with an hour -- an hour answer. There's a lot that

they were denying. There was a lot that, you know, I

mean, I'd have to -- I could look at their answer. I

could look at their deposition testimony, but, I

Okay. Do you recall what they were alleging

I mean, I can probably answer that for --

dispute the facts of the case?

Definitely.

A. Now, again, understanding I would have to put myself in my place where I was back at the time that I fully evaluated this with Paul, but if I'm just trying to come up with some thoughts now years later the case law, I think, was against us. The defense was going to file a motion for summary judgment if we didn't work out some sort of settlement that I felt they were going to win and the testimony from all parties was not helpful to us. Okay. I'm going to move forward and then we

may come back to this a little bit. Do you recall when the first time was that you talked to Paul about settling the claims with the McGuires?

A. No, whenever -- You know, the defense attorney would have reached out to me to ask for some

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Page 26 Page 28 know if this number is identified in those emails, sort of demand, I assume. 2 but, again, it would have been something I would have Q. Did you make a demand at some point? I think -- I think some of your paperwork talked to him about before making it. 4 showed that I did. 4 Q. Okay. But at this time you don't know if 5 Q. Okay. I just uploaded Dulberg Mast 5 there are any memos, notes or emails memorializing 6 Exhibit 4 and it says letter -- it's "Letter Re any conversation with Paul prior to sending the 7 Settlement," and that should be -- still be Exhibit 4 October 22, 2013 demand? that was emailed around to Counsel so that you would A. Not that I recall. 9 have it. And it is labeled POP192 and POP193. Do 9 Okay, and if they did exist, they would be you recognize those documents? in the possession of Thomas Popovich, correct? 10 10 11 A. Wait. I think the Internet, maybe because 11 Α. I would think so. we were having problems, is the Internet went down, 12 Okay, and if you had those in your 12 so now my exhibits aren't pulling up. Can you try it possession, you would produce them in discovery, 13 14 again? Do you have that, George? correct? 15 MR. FLYNN: Yeah, here's the hard copy. 15 A. If I had them. 16 THE WITNESS: I'll look at the hard copy, so Okay. Just uploaded Exhibit 5, and this is 16 17 what are you asking? email dated October 30, 2013, and it's marked at the 18 BY MS. WILLIAMS: bottom POP000195. 19 O. Great. So it should be the document it has 19 Α. Okay. 20 letterhead on the top, Popovich letterhead on the 20 Okay, and here in this email it looks like 0. you started this email chain to Paul on 21 top, and at the bottom it's POP000192 and 21 22 POP000193. October 25, 2013. Do you see that? 22 23 A. Right. 23 A. It looks like there's a couple emails here. Q. Do you recognize those documents? 24 There's several pages. You just mean the first page? Page 29 Page 27 I mean, they look familiar. Documents from Q. I think -- It should only be, I believe it's the Popovich firm, if that's what you're asking. only one page and it looks like --3 Is that your signature? 3 Oh, these aren't part of it? Just one page? 0. The document that I have is just one page. 4 Α. 5 So you would have drafted or caused this Are we looking at the same thing? 6 letter to be drafted and sent? 6 A. Okay. It's POP00195 on the bottom. 7 It appears that way, yeah. Q. 8 And this is a demand letter where you make a 8 Yeah, he had a couple other pages on it, but Α. 9 demand of \$7,500; is that correct? 9 okay. 10 10 Α. Yes. Q. Okay. I just want to make sure that I 11 Q. Do you recall making that demand? didn't -- Okay. And on the bottom there of the first 12 A. sheet, if you have several, I've only published one Do you recall if you talked to Paul prior to 13 13 sheet for the purposes of this deposition, it states, 0. 14 making the demand? 14 "Friday, October 25, 2013," do you see that? 15 I'm sure I would have. 15 Where does it say that? 16 Okay. Do you recall -- Do you have any 16 So about halfway down the page it looks like 17 memos or notes regarding that conversation with Paul? 17 it says, "Original message from Paul"? 18 I don't personally. 18 Α. Yeah. 19 Okay. If there were memos and notes, would 19 Okay. So that looks like Paul reached out 20 they be in Thomas Popovich's file? 20 to you about medical deposition and then on the top 21 It should. it appears to be your reply of October 30, 2013. 22 Okay. Do you recall any emails about the 22 Does that seem like that's accurate? 23 demand -- the 7,500 demand? 23 Α. That's what it shows.

24

Okay. Okay. And here you first -- Am I

A. I know there were lots of emails. I don't

Page 30 Page 32 1 correct in summarizing this is an email where you deal with it if and when we get to that point. talk to Paul about liability for Mr. Gagnon? Q. Okay. So the document that I'm looking at A. Look likes I did cover that issue. now is another email on the -- it's now titled 3 4 Q. Okay, and do you recall at the time what Exhibit 6. I don't think it was entitled Exhibit 6 5 your purpose was behind this email? in what I sent to George, but it's an email that the 6 I mean, every purpose is just to have open first date on the email is November 4, 2013, and the last date on the email is November 5, 2013 email communication. That's all the purpose --Okay. Would you have been trying to explain chain and it's -- at the bottom it's stamped 9 to Paul the liability issues in his case that you 9 Dulberg001531. 10 described earlier? 10 A. What exhibit is it? 11 A. Yeah, I definitely was discussing several 11 I think it might have been 5-A to George. issues for him so he knows what's going on. 12 It's now Exhibit 6 for the purposes of this 12 13 Okay, and this email response is dated deposition. 14 October 30th, so that was after you sent that initial 14 A. Yeah, that wasn't part of the download then. 15 letter. Do you recall whether there would have been 15 Do you have --16 16 anything prior to this? MR. FLYNN: Yeah, I don't think that was 17 Whether what was prior to this? 17 included. 18 Would there have been any communications 18 THE WITNESS: What's the Bates stamp or 19 about liability either to Gagnon or the McGuires 19 what's the stamp? 20 prior to the October 30, 2013 email? 20 MS. WILLIAMS: The Bates stamp is 21 Every time we talked, there were issues 21 Dulberg001531. 22 about liability, I mean, for whatever I first -- he 22 THE WITNESS: Yeah, I don't recall -first came to the office I recall he was lots of 23 MR. FLYNN: I don't recall seeing a 5-A on questions and I gave him lots of answers as is 24 the download. I think it just went straight from Page 33 Page 31 reflected in my emails. 1 5 to 6. 2 Okay. Did you meet with Paul after you sent MS. WILLIAMS: Okay, let me see if I can do 3 that October 22nd demand letter? something else. I'm going to try to share my screen. 4 Did I meet with him? I don't know if I'm going to be able to do it. So 5 Yes. In person. bear with me. Okay. I can't -- I can't share the 6 I'm sure I did. screen. Can I email -- George, can you pull up an 7 Okay. Do you recall -- Do you recall email if I email it to you? 8 8

- meeting -- the dates of those meetings?
- 9 No, I don't recall the dates.
- 10 Q. Okay. So I'm going to upload another file
- 11 here.
- 12 A. Yeah, our Internet is down. That's why I
- 13 can't bring these up.
- 14 Q. Okay.
- 15 MR. FLYNN: Julia, just so you know, I've
- 16 got hard copies of the majority of the exhibits you
- 17 sent with the exception of the larger files, like the
- insurance policy and the dep transcripts. 18
- 19 MS. WILLIAMS: Okay. Okay, great.
- 20 MR. FLYNN: I've got some of the deposition
- 21 transcripts, but I didn't want to waste a lot of
- paper and ink at home. 22
- 23 MS. WILLIAMS: Okay. I think we'll be --
- 24 For the most part, I think we'll be fine and we'll

- MR. FLYNN: I should be able to eventually.
- 9 MS. WILLIAMS: Okay, let me see if that
- will --10

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- THE WITNESS: Let me run to the washroom
- 12 real quick while you guys do --
- 13 MS. WILLIAMS: We'll take a quick break,
- 14 that's fine, we'll try to work this out. If anybody
- else needs a break, obviously take a break now. 15
 - (Whereupon, a break was taken,
 - after which the following
- 18 proceedings were had:)
 - BY MS. WILLIAMS:
- 20 Q. Okay, back on the record. This is the
- Exhibit 6 for the deposition and it's marked at the
 - bottom Dulberg001531 and it's an email chain between
- 23 Paul Dulberg and Hans Mast dated November 4th through
- about November 5th, is that accurate, Hans?

Hans Mast

June 25, 2020 Page 34 That's what it appears. 1 2 Okay, and it appears at the bottom that Paul is asking you if he should bring anything to a 4 meeting. 5 A. Okay. 6 And that meeting appears to be at 3:00 p.m. 6 on November 4th of 2013. 8 Okay. 9 Is that an accurate description? Okay? Do you recall having --10 10 11 Go ahead, I'm sorry. 11 Α. 12 Do you recall having a meeting on 12 November 4th of 2013 with Paul Dulberg? 13 13 14 I don't have an independent recollection. 14 15 Q. Okay. Okay. 16 MR. FLYNN: Julia, now I recall, this is a 16 Α. 17 separate exhibit you sent a little bit later than the 17 Q. original download, so I did have this. 19 MS. WILLIAMS: Okay. Okay. We got it 19 20 worked out. 20 21 MR. FLYNN: Yeah, okay. 21 BY MS. WILLIAMS: 22 23 Q. Okay. So you don't recall calling a meeting for November 4th? Page 35 We had lots of meetings so --2 Okay. generally how this all transpired. 3 3

Page 36 against the McGuires only," do you see that? Okay. Do you recall that offer being made? I do have some recollection of having a conversation with them. Q. Okay. So I'm going to upload another document and then we can keep going here. And then this is Exhibit 8 and for -- it is a letter from Ronald Barch to you, Hans, and it's POP000667. Do you have that? What's it dated? I'm sorry, dated November 18, 2013. Yeah, I have that. Okay. And that's a settlement letter from Barch offering the settlement of \$5,000, correct? Right. Do you recall receiving this letter? I mean, I don't today recall getting the letter, but I'm familiar with the transaction, yes. Okay. Okay. So you would have received the \$5,000 offer from Barch and you communicated it to Paul via the email on November 18th? A. As well as when we talked, yes. Q. Okay. Okay. And when did you talk? Page 37 Again, I don't know the dates. I just know

-- I don't have an independent recollection

4 of that one particular date.

5 Okay. Okay, I'm going to stop screen

sharing. Okay. I'm going to upload another file.

This is Deposition Exhibit 7. George, you probably

8 had it as Exhibit 6, but for the purposes of this

9 deposition right now it's going to be 7 and it's an

10 email chain dated --

11

I have these on the computer. You don't

need to, unless you want to, but I'm just saying I 12

13 have these on the computer.

14 Q. Okay, but Barb needs them, so that's why I

keep uploading them, otherwise she doesn't have them. 15

16 Okay. So Exhibit 7, and it's POP00181 and POP00182,

17 and it's two pages of an email chain, November 15th,

18 looks like on the second page it starts November 15th

19 and ends November 19th, is that accurate?

20 Α. Yes.

21 Okay, great. So here it looks like Paul

started this email chain, but then on November 18th

23 you note that, "The McGuires' attorney has offered

us, you, \$5,000 in full settlement of the claim

Would you have talked to Paul on the 18th when the letter came in?

A. It's dated the 18th. I doubt I got it on

the 18th. Whenever I got it, I would have told Paul.

Q. Okay. And it looks like the email you sent,

8 which is Exhibit 7, communicated that offer?

9 A. Okay.

Would you have talked to the McGuires' 10

attorney prior to receiving the letter about the

12

17

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13 A. I don't recall. It might have -- that might 14 have happened.

15 Okay. Do you recall whether you met with 16 Paul sometime after -- on or after November 18 to

discuss the settlement offer?

A. I'm sure we did. I know we had several 18

19 conversations and meetings about that. 20

Q. Okay. In this email chain that's

21 Exhibit 7 about halfway down the page it says on

November 18, 2013, at 7:40 p.m., Paul responds to

23 your email. Can you see that?

A. Are we going back to the email now?

Page 41

Hans Mast June 25, 2020

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Page 38

Yep, it's POP00181. 1 0.

- 2 What exhibit? Α.
- 3 It's Exhibit 7.
- 4 7, that's the letter. Α.
- 5 If may be 6 for you. It may be 6 for you. Ο.
- 6 Let's take a look. What page is the email?
- 7 The date at the top of the email chain is
- 8 Tuesday, November 19, 2013.
- 9 Yeah, I have that. Α.
- 10 Okay. And then about maybe halfway down the
- 11 page it's dated on November 18, 2013, at 7:40 p.m.,
- 12 do you see that?
- 13 Α. Yep.
- 14 And there it says, "Only five? That's not
- 15 much at all," do you see that?
- 16 That's his response, yes.
- 17 Right. Right. Do you recall talking to
- Paul about the \$5,000 and that not being much?
- 19 Like I said, yes, we've had plenty of
- 20 conversations and meetings on that.
- 21 Okay. When you originally offered the
- 22 7,500, did you talk about what the possible outcomes
- as far as counteroffers, what they may demand,
- something like that, did you talk about that prior to

- 1 than the 7,500?
 - A. Again, I'm -- I understand the question.
- I'm just not trying to play games, but you're asking
- me do I recall specific words that are used or
- topics. All I can tell you about this is we talked
- about the whole gamut of options, that I didn't feel
- it was a strong case, that they were reaching out to
- us for \$5,000, and that balancing everything, the
- risks, costs, even though it wasn't much, it was
- something that would have been desirable for him if 10
- 11 he wants to end up with money versus the McGuires.
- 13 Okay, for the purposes of this deposition it's
- 14 Deposition Exhibit 9. This is a memorandum. At the

Q. I'm going to add another exhibit here.

- 15 top it will say, "Memorandum," and the date is
- 16 November 20, 2013, and at the bottom it's identified
- 17 as POP and then 3 -- there's 000003, I believe. Do
- you have that?
 - A. What exhibit is it?
 - I think you're probably going to have it as
- 21 Exhibit 8, but for the purposes of this deposition
- 22 it's actually going to be Exhibit 9.
 - A. Okay.
- Q. And it's Dulberg Mast Memo,

Page 39

- making that \$7,500 offer?
- A. I mean, I think I generally understand what 2
- 3 you're asking. Did we just have general
- 4 conversations of numbers? Yes.
- 5 Q. Okay. In this email and this is -- I
- understand this is speculation, but in this email it
- 7 appears that Paul is surprised that it's \$5,000 was
- 8 the offer, correct? Would that be fair to
- 9 characterize it that way?
- 10 A. Is he surprised at it or is he surprised at
- 11 the amount? It looks like he didn't think it was
- 12 much.
- 13 Q. Right. So if you originally offered 7,500
- 14 and they came back at 5,000, in your experience, does
- that seem like much of a difference when it comes to 15
- 16 counteroffers?
- 17 MR. FLYNN: I'll object to the form.
- THE WITNESS: Yeah, I'm not real sure what 18 19 you mean by that.
- BY MS. WILLIAMS: 20
- 21 Q. I guess let me rephrase because I don't
- think I'm getting to the point. Prior to making the
- 23 \$7,500 offer, did you discuss with Paul that the
- 24 McGuires may come back with an offer that was lower

- 2013 November 20.
- A. Okay, yeah.
- Okay. It looks from this memo that you had 3
- a meeting with Paul and his friend on November 20th,
- is that accurately reflected what's stated in the
- memo?
- A. Yes.
- 8 Q. Do you remember this document? Do you
- 9 recall this?
- 10 A. As I said before, I understand what you're
 - asking, but we've had lots of meetings. Do I
- remember that particular date, no, but I remember the
 - meetings.

13

- Q. Do you recognize this memorandum?
- 15 A. I recognize the discussion that's referenced
- 16 in the memo. I haven't seen the memo for 7 years. 17 Q. Okay. Do you recall the advice that you
- gave in that meeting of November 20th? 18
- 19 A. Yeah, like I said, it's summarized a little
- 20 bit in there. Yeah.
- 21 Q. Okay. And what was the -- Why don't -- What
- 22 was the advice that you gave?
- 23 A. Do you want me to read the memo or you want
- me to just tell you generally what the topics were or

Page 45

Hans Mast June 25, 2020

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Page 42

- what? 1
- 2 Q. Generally to the best that you can recall.
- Looks like on that day he brought his friend
- 4 in because before he wanted to consider the offer, he
- 5 wanted to have his friend come with him to talk about
- these issues with me. So we went over --6
- 7 So --
- 8 Go ahead. Α.
- 9 No, I'll let you finish. Go ahead. I'm Ο.
- 10 sorry.
- 11 A. Well, we went over all the issues, all the
- 12 risks, all the money issues, all of the issues.
- 13 Do you recall who the friend was?
- 14 A. Not as I sit here today.
- From this memo it says, "Paul maintains the 15
- 16 McGuires controlled everything that they were doing
- 17 and you told him that wasn't what the evidence seemed
- 18 to show." So can you expound on what -- This is
- 19 really going to be a complicated question, but to the
- 20 best of your ability, can you explain what the theory
- 21 of your case was against the McGuires and what the
- 22 evidence was that was going to -- what evidence was
- your reason for believing that you couldn't prove
- 24 your theory?

5

- negligence claim against the McGuires what the legal
 - elements were that you would have to show?
- A. I haven't brushed up recently on that area,
- but I can tell you that under the case law they have 4
- to have some oversight and control over what was
- going on and some involvement in the work and some
- knowledge higher and above what Paul was doing, and
- if you look at their testimony, they were not out
- there, they were not looking at it, they didn't even
- 10 really know what Paul was doing frankly.
 - And what about David? Did they have to
- 12 control what David was doing as well?
- 13 I meant David, I'm sorry.
 - Okay. So the McGuires would have to have
- 15 oversight and control over David Gagnon?
- 16 Over the work.
 - Q. Okay. Over the work. Okay. So William and
- Caroline did buy the chain saw, correct?
- 19 I believe that is true.
 - Okay. But then David Gagnon was the one 0.
- 21 operating the chain saw?
- 22 Right. A.
 - Ο. And you would have to show in Paul's case
- that Bill and Caroline, one or the other, had control

Page 43

- 1 We already talked a little bit about that
- 2 earlier, but every time we met, we talked about this
- 3 because this was a subject at the time with the
- 4 McGuires and given the testimony of the McGuires,
- given Paul's testimony, given the lack of any 6 evidence that they were controlling any work or even
- knew what Paul was doing, I felt it was a big, high
- 8 risk of moving forward on that claim.
- 9 So I'm going to try to summarize this.
- Maybe in parts. So in order for the McGuires to be 10
- 11 liable for Gagnon's work, Paul would have to prove in
- his case that the McGuires controlled Gagnon's work, 12
- 13 is that accurate?
- 14 A. Are you asking me if that's an accurate
- statement of the law? 15
- 16 Yes.

17

- I think that's partially right. There's a
- lot more to it. It's different branches and elements 18
- 19 that you have to prove, control was a factual matter,
- 20 and he would have to be able to establish there was
- 21 some oversight. It goes down into some factual
- 22 issues that you have to be able to show.
- 23 Q. Okay. So can you -- To the best of your
- 24 ability, can you kind of walk me through for the

- over David's operation of the chain saw?
- A. Control could mean a lot of things. They
- would have to be in a position to instruct him, tell
- him what to do, be aware of the work that was being
- done and have some control over what he was doing.
- 6 Okay. So in your -- Your opinion of the
- case was that it was insufficient for them to have
- simply purchased the chain saw and provided it to
- 9 Gagnon?

10

13

- Α. Yeah.
- And what about if they were paying him?
- 12 Would that make any difference?
 - Α. No.
 - Q. I'm sorry, I don't know or no?
- 15 Α. No.
- 16 Just bear with me for a second here. And
 - you informed Paul -- I'm sorry, let me back up. In
- exhibit -- Deposition Exhibit 7, so it's probably 18
- 19 6 for you, the email chain between you and Paul,
- 20 roughly November 18th through the 19th, Popovich
- 21 000181, on the bottom of that first page,
- 22 November 18, 2013, at 1:28 p.m. there's an email from
- 23 you. Do you see that?
- A. Yes. 24

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1 Q. "In addition, the McGuires' attorney," so

- 2 it's ATTY, "has offered us, you, 5,000 in full
- 3 settlement of the claim against the McGuires only.
- 4 As we discussed, they have no liability in the case
- 5 for what Dave did as property owners so they likely
- 6 will get out of the case on a motion." Did I read
- 7 that correctly?
- 8 A. Yes.
- 9 Q. So this is where you told Paul that you
- 10 didn't believe the McGuires had any liabilities for
- 11 the reasons -- in part for the reasons we just
- 12 discussed?
- 13 A. Right.
- Q. Ultimately Paul accepted that \$5,000 offer,
- 15 correct?
- 16 A. Yes.
- 17 Q. And you communicated that to the other side
- 18 later in 2013, does that sound correct to you?
- 19 A. Yes
- 20 Q. I'm uploading Exhibit 10, and it should be
- 21 Exhibit 10 for you as well, and it's a memorandum
- 22 dated December 20, 2013, and at the bottom it's
- 23 POP000884, do you see that?
- 24 A. Yes.

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- 1 risk and he had -- he wanted some time to think about
- 2 it and consider it.
- 3 Q. Okay. All right, just bear with me here.
- 4 Okay, I just uploaded Deposition Exhibit 11, it's a
- 5 settlement acceptance letter, letterhead from Thomas
- Popovich's office dated December 26, 2013. Hans,
- 7 your signature appears on there and it's POP00670.
- 8 Do you recognize this document?
- 9 A. That appears to be a letter from Popovich's
- 10 office to defense counsel.
 - Q. Do you recognize your signature on here?
- 12 A. Yes.

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- 13 Q. And this is the letter where you accepted
 - the offer on behalf of Paul, is that accurate?
- 15 A. It appears, yeah.
- 16 Q. Okay. So the Defendants made the original
- 17 offer around November 18 and Paul --
- 18 November 18, 2013, and Paul accepted it around
- 19 December 20, 2013. Is that statement accurate?
- 20 A. I don't have, like I said, independent
- 21 recollection of the dates. I would just have to go
- 22 off the documents.
- 23 Q. Okay. Was there -- If that timeframe is
- 24 roughly correct, was there anything that occurred

Page 47

- 1 Q. And that's a memorandum that you wrote to
- 2 the legal file; is that correct?
- 3 A. It looks like that.
- 4 Q. I think I already said this, it's dated
- 5 December 20, 2013?
- 6 A. Yes.
- 7 Q. Okay. And the substance of it, it appears
- 8 that you had a conversation on December 18th with
- 9 Paul and that he was authorizing you to accept the
- 10 \$5,000 settlement?
- 11 A. Yes.
- 12 Q. Okay. Do you recall that conversation of
- 13 December 18?
- 14 A. I recall having lots of conversations, this
- 15 is one of them, and generally I do recall the
- 16 conversations in a general sense, not the exact
- 17 dates.
- 18 Q. Okay. So you don't remember anything
- 19 specific to this December 18th call what you would
- 20 have discussed?
- 21 A. Not other than what I've already said we
- 22 discussed over the time.
- 23 Q. Okay.
- 24 A. Paul was weighing his options. He knew the

- Page 49 during that timeframe that indicated to you, you
- 2 know, why Paul changed his mind from originally
- 3 thinking it was too little to now accepting it. Was
- 4 there anything that stuck out in your mind about
- 5 that?

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- 6 A. Yeah.
- Q. Can you expound on that?
- A. Well, he had his friend with him during our
- 9 meeting and he reviewed the depositions.
- 10 Q. Okay. Did he not have the depositions prior
 - to that?
- 12 A. I remember he asked for copies of them, so I
- 13 provided them to him.
- ${\tt Q.}\,{\tt Okay},$ and when you say the depositions, do
- 15 you mean just the party depositions, the McGuires and
 - the Gagnon?
- 17 A. I don't remember if I gave him the doctors.
- 18 I don't remember which ones I gave him, but I know
- 19 specifically it was Gagnon and the McGuires.
- 21 Exhibit 12. This is titled, "Legal Research." And

Okay, I'm uploading Dulberg Mast Dep

- 2 this is hard because there's -- it's 27 pages. Some
- 23 of them have Bates numbers, but some of them are
- 24 black on the bottom, so I think the Bates numbers

Page 50 Page 52 didn't -- didn't take, but it's roughly -- looks like but just, I mean, we're talking now, what is it, roughly 204, maybe 205, Dulberg204, 205 through 7 years later? I haven't been asked to do any roughly Dulberg00304 -- Actually, I'm sorry, these research before today's deposition, but so, I mean, 3 4 aren't going to be continuous. But do you have that if you're asking me for what the case law says, I'd have to look at the case law, if that's what you're 5 packet of legal research in front of you? It appears 6 to be copies out of a -- copies of case law out of 6 asking. 7 the Northeastern Digest. I'm asking based on your -- on your I just have the one case here. experience and knowledge as a personal injury 9 Just one case? Which -- What's the case 9 attorney and not necessarily related to Dulberg's 10 title? 10 case specifically. 11 The first one, it's L A J A T O. 11 A. Okay. Α. 12 Okay. Do you -- Did you copy this case law? 12 But based on your knowledge and experience in premises liability cases, what is an independent 13 I don't know. 14 Do you recall providing any case law to 14 contractor? 15 Paul? 15 A. Someone that works on their own. 16 I don't know if I did or didn't. I don't 16 And can you explain what you mean by on 0. 17 know if he asked. 17 their own? 18 Okay. Do you recall doing case law 18 Somebody that's hired, like, somebody that's Q. 19 research? 19 hired to paint the house. 20 Α. I'm sure I did, yeah. 20 Okay. So somebody that's hired by a 21 Would have there been a memo or something 21 homeowner or maybe a business? 22 regarding that research? 22 Α. Yes. 23 A. Not necessarily. I was familiar with the 23 But someone that's hired by a homeowner but 24 law. the homeowner doesn't -- doesn't tell them how to do Page 53 Page 51 Okay. Okay. Was there any -- Was there any their job? 2 case law that stuck out to you, any particular cases 2 A. Right. 3 that stuck out to you? 3 Did you ever obtain a copy of the McGuires' 4 MR. FLYNN: Object to the form. insurance policy, do you recall? 5 THE WITNESS: You mean stuck out to me with 5 I don't have an independent recollection. 6 regard to Paul and his case? 6 Did you ever advise Paul as to the limits of 7 BY MS. WILLIAMS: the McGuires' policy? 8 Q. No. Were there any applicable cases that 8 A. I'm sure we talked about it. 9 stuck out to you one way or the other as to whether 9 Okay. I just uploaded Dulberg Mast the McGuires would be liable? Was there any specific Deposition Exhibit 13 McGuire Interrogatory Answers 10 10 11 cases that made you think that the McGuires may not and they're Bates stamped Dulberg000162 is the first page and there's roughly 14 pages. Do you see that 12 be liable given the facts in Paul's case?

I mean, you deal with this issue a lot and I can't think of one particular name of a case, but

15 these cases all go along the same line, so there were

16 lots of cases on this one particular issue. It

17 wasn't a complicated issue.

independent contractor.

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18 Q. So particularly the issue of control of 19 Gagnon.

20 A. Of a premises owner's liability for an

22 Okay. So can you explain generally what an 23 independent contractor is?

24 A. I'll give you have an answer if you want, was a question about the homeowner's insurance and the McGuires respond with their personal liability

22

23 and their medical liability, do you see that?

MR. FLYNN: This is 14?

trying to look at paragraph 15.

MS. WILLIAMS: It should be Exhibit 13 --

13 or 14. I think I have it as 13. Yes, okay. And

this -- I'm looking at paragraph 15 or at least I'm

Q. Okay. In paragraph 15 it looks like there

24 A. Yes.

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document?

A. Yes.

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Page 54

- Q. Okay. Now that you see that, do you recall
- 2 whether you ever got a copy of that policy?
- 3 A. I don't -- You mean the dec pages or the
- 4 whole policy?
- 5 Q. Either. Did you get a copy of the dec
- 6 pages?
- 7 A. I have no idea.
- 8 Q. And you have no idea whether you got a copy
- 9 of the whole policy?
- 10 A. Yeah, don't know.
- 11 Q. But they are representing what their
- 12 insurance was and the liability there, correct, or
- 13 their liability coverage there?
- 14 A. That's what it appears.
- 15 Q. Okay. And these -- This was -- looks like
- 16 this was responded to based on the McGuires'
- 17 signature on roughly the 12th page of the document.
- 18 It looks like it was August 6th of 2012.
- 19 A. That's what it appears.
- 20 Q. Yeah. So prior to when they would have made
- 21 the settlement offers, correct?
- 22 A. That's what it appears.
- 23 Q. Okay. Did you ever talk to Paul about
- 24 those -- the limits of the insurance policy and how

- Page 56
- 1 Co-Defendants, in other words, the McGuires, does
- 2 that seem accurate to you?
 - A. Yes.
- ${\tt Q.}$ So would you have issued interrogatories in
 - addition to what the McGuires' counsel issued?
 - A. It's probable.
- 7 Q. Okay. Do you recall one way or the other
- today as we sit here?
 - A. Not other than it's probable I did.
- 10 Q. I have not seen those in discovery, so if
- 11 they exist, we'd ask that they be produced. Do you
- 12 ever recall talking to Paul about the policy limits
- of the Gagnon insurance policy?
 - A. It's a topic that frequently comes up. I
- 15 don't have an independent recollection.
- Q. Would you have any memos or notes on that?
 - A. I could. I may. I don't have an
- 18 independent recollection of that.
- 19 Q. Okay. And, again, that would have been in
 - the file that -- in Thomas Popovich's file?
- 21 A. Correct.
- 22 Q. In your knowledge and experience not related
 - to the Dulberg case but just in your general
 - 4 knowledge and experience, are there any situations

Page 55

- that may be important in his case?
- 2 A. I suspect we talked about the policy, yeah.
- Q. Okay. Prior to any settlement discussions?
- 4 A. Yeah.
- 5 Q. Okay. But you've already testified you
- 6 didn't -- You don't know if you -- You don't know if
- 7 you obtained a copy. What about Gagnon's insurance
- 8 policy, did you ever obtain a copy of that?
- 9 A. I don't know. I don't know.
- 10 Q. Okay. Did you issue interrogatories to
- 11 Mr. Gagnon?
- 12 A. I'm sure I did.
- 13 Q. Let me upload this. Would they have been in
- 14 Popovich's file if you --
- 15 A. Yes.
- 16 Q. Okay. So I can tell you, I don't recall
- 17 seeing any documents issued by you. I'm going to
- 18 upload a document that appears to be interrogatories
- 19 issued by McGuires' counsel in the case. I'm going
- 20 to upload it right now. It's Exhibit 14 and Answers
- 21 to Co-Defendant Interrogatories and it is stamped
- 22 Dulberg00178. Do you see that document?
- 23 A. Yes.
- Q. It appears that these were issued by

- $\begin{array}{ccc} & & \text{Page 57} \\ 1 & \text{where a homeowner may be strictly liable for someone} \end{array}$
- 2 doing work on their property?
- 3 MR. FLYNN: I'm just going to object to the
- 4 hypothetical being inaccurate and incomplete, also
- 5 calls for an expert opinion. While this witness is a
- 6 lawyer, I won't necessarily -- I don't expect to call
- 7 him as an F-2 or F-3 witness in the case.
- 8 THE WITNESS: So you're asking if a
- 9 homeowner can be strictly liable for an injury?
- 10 BY MS. WILLIAMS:
 - Q. Right.
- 12 A. In general terms, not with regard to this
- 13 case?

11

- 14 Q. No, in general terms. I'm just asking in
- 15 general terms in your -- based on your experience and
- 16 knowledge of injury cases.
- 17 A. I mean, I think -- Not in Paul's case, but I
- 18 think I could probably think of something that maybe
- 19 could be -- as products strict liability, there's
- 20 hazardous materials strict liability, there's
- 21 different issues that potentially factually if
- 22 they're applicable could apply, but not in Paul's
- 23 case.
- Q. Okay. Just in general, what kind of

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Page 58 hazardous -- When you say hazardous, are you talking

about hazardous chemical-type cases?

3 There's a string of cases when you're 4 dealing with hazardous chemicals and hazardous

5 materials, like a bomb or something like that, things

like that. 6

1

7 Okay. Okay. Are there any, like, hazardous 8 actions? Could something be considered, like, some 9 type of action be considered hazardous?

10 What do you mean by action? Activity?

11 Yeah, like, I'm trying to give you an

12 example because I'm just trying to understand it more

13 than anything else. Yeah, is there an activity that

you could be doing on your property, I don't know, 14

15 like, what about tearing down your home, would that

be considered -- would that be something that could 16

17 be hazardous?

18 There would have to be statutory authority 19 for that and there isn't.

20 Okay. Okay. So generally for strict

21 liability there has to be some type of statutory

22 authority for that?

23 A. Or common law. Yeah. They have a particular fact pattern.

Page 59

1 Okay. But this case particularly is simply a negligence case. Paul's case against the McGuires 3 was a simple negligent failure to control case in your opinion?

Α. That's what was pled.

6 Okay. Did you ever make any -- ever

consider pleading any other allegations? 7

8 MR. FLYNN: Object to the form.

9 THE WITNESS: I don't -- No. Not that I

10 recall.

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11 MS. WILLIAMS: Okay. Can we take about a 12 4-minute break?

13 MR. FLYNN: Sure.

14 MS. WILLIAMS: Let's just take -- I just

want to take a quick break and review my notes and I 15 16 want to give everybody an opportunity to kind of

17 stretch for a second. I'm going to go on mute.

18 MR. FLYNN: Okay.

19 (Whereupon, a break was taken,

20 after which the following

21 proceedings were had:)

22 MS. WILLIAMS: Let's go back on the record.

23 Okay, thank you everyone. Okay, just a little bit

24 more here. Page 60

On -- When you were talking to Paul about

settlement in the general timeframe of

November-December 2013, did you ever suggest at that

time that he seek alternative counsel or any

recommendation related to that?

A. I think that did come up.

Do you recall what your advice to him was or

what the discussion was?

I think, you know, we always talk about the 10 risks of not settling and further down the road what,

you know, having to try the case and having to try

12 prove the case or getting a motion for summary

judgment, having the costs exceed the benefits and

all that, and I think my position with Paul, since he

15 didn't give a relatively very good deposition, my

16 thought was we were going to have a tough time, an

17 uphill battle, and he can always seek other counsel

18 if he doesn't agree with me.

19 Q. And you just stated that you thought Paul

20 didn't give a very good deposition, that may not have

21 been your exact language, but roughly that the

22 deposition wasn't great. Can you explain what -- as

you recall it, what about the deposition was

problematic?

Page 61

I mean, he even agreed with me, but he just

doesn't do a very good job.

You mean -- Can you expand on that a little 0.

bit?

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5 A. As a witness, as I recall, again, it's been

quite some time, as I recall he was -- his testimony

wasn't given -- wasn't strong, it wasn't definite, it

8 didn't have credible points and some points were

9 incredible when compared to other -- other testimony.

I mean, there's just a lot -- there was a lot of 10

problems with his testimony.

12 Okay. Do you recall the circumstances that 13 Paul described as to why he came to the McGuires'?

I think he was either going to pick up something or drop something off.

16 Q. Okay.

> Α. I don't really recall. I'm just thinking

back now. 18

Okay. Do you recall whether he was asked to 19 Ο. 20 come over to help with the tree, to help take down

21 the tree? Was that the purpose of his visit?

I don't recall that.

23 Would it matter as for liability whether it

24 was or wasn't?

Page 64

Page 65

Hans Mast June 25, 2020

Page 62 As by who? As to whose liability? 1 Paul to file for bankruptcy? 1 2 I'm sorry, his and McGuires' liability. A. Would not. As to how he got there? Okay. And then sometime after the McGuire 4 Whether he was -- Whether he was invited for settlement but before the -- but while the Gagnon --5 the purpose of assisting with the removal of the the claims against David Gagnon were still pending 6 tree. you withdrew from the case; is that correct? 7 A. The law firm did. I -- Again, he hired the MR. FLYNN: Object to the form. Just invited by whom? law firm. 9 THE WITNESS: Yeah, that's a complicated 9 Q. Sure. Sure. I'm sorry. The Popovich firm question, but I don't think -withdrew? 10 10 11 BY MS. WILLIAMS: 11 A. Right. 12 Q. Let me clarify if I can. Okay. So my 12 Q. And I -- Let's see -- I think we're on question was does it matter if the McGuires invited Exhibit 14. 14 Paul to their residence to remove the tree on that 14 THE REPORTER: 15. MS. WILLIAMS: 15, okay. 15 on the June -- roughly June, I believe, 2011 date? 15 16 MR. FLYNN: Object to the hypothetical. Q. I have, I think, one more and then -- Okay, 16 17 THE WITNESS: I don't think it matters. 17 I am uploading Exhibit 15, Dulberg Mast Dep 18 BY MS. WILLIAMS: Exhibit 15. It's a motion to withdraw and it's four 19 Okay. Would it matter if they were paying pages and on the first page it has a Dulberg versus 0. 19 20 Paul? Gagnon case caption and file stamped March 13, 2015. 21 That's not the issue. The issue is Dave. 21 Do you have that document? 22 Q. Okay. So the relationship between the 22 A. Yeah. McGuires and Paul is somewhat irrelevant? 23 And this is the Popovich's firm motion to 24 I'm just saying the issue really that -withdraw as counsel for Paul Dulberg in the Dulberg Page 63 about liability is Dave's relationship with them. versus Gagnon-McGuire case, correct? 2 Because Dave is the one that controlled the 2 A. Yes. 3 chain saw that injured Paul, is that accurate? And you drafted or caused this motion to be 3 4 A. He was the one hired to do the work or asked drafted and filed? 5 to do the work, however, whatever that background 5 Α. Yes. 6 was. 6 And was it granted that same day it was 7 Q. And Caroline and William McGuire both filed? 8 testified that they had never used a chain saw; is 8 A. I'm sure it had to be noticed up. 9 that correct? Okay. On the notice of motion it looks like 10 A. I think that's accurate. I'd have to it was noticed for March 13, filed on March 13, but 10 refresh my memory, but that sounds right. 11 sent to the service list on March 5th, does that seem 12 Q. Okay. Do you remember discussing bankruptcy 12 accurate? 13 with Paul? 13 A. That's what it says. A. I don't remember that. 14 14 Q. But at any rate, you withdrew sometime in Q. Do you remember that Paul filed for 15 15 roughly March of 2015? 16 bankruptcy? Do you recall that? 16 It appears that way. Again, I don't have an 17 I saw a -- Maybe I didn't see one. I independent recollection of the date. 18 remember there was some sort of bankruptcy matter. I 18

Q. Okay. Okay. That's fine. And I didn't see it -- an order actually showing the exact date of when you withdrew. Can you explain why you withdrew from the case? A. The short version is just we had a difference of opinion.

Q. Can you give me the long version or slightly 24

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don't know the dates or when it came up.

A. I don't advise people to file for

file for bankruptcy?

Q. Okay. Do you recall if you advised Paul to

Q. All right. So you would not have advised

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23 bankruptcy.

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Page 66

1 longer?

- 2 A. Well, we have difference of opinion but Paul
- 3 was a bit difficult, so I just had to -- there were a
- 4 couple times that I told him I was going to withdraw
- 5 and then he begged me not to and so I didn't, but
- 6 then ultimately he -- it got pretty -- it got pretty
- 7 tough. He was saying some unfavorable, unflattering
- 8 things and I just decided we're not going to get
- 9 anywhere, I'm going to move on.
- , and who et a ... go in go ... ov a on ...
- 10 Q. Okay, so you -- the client relationship
- 11 broke down and you withdrew?
- 12 A. Yes.
- 13 Q. Okay. Was there anything about Gagnon's
- 14 liability or your thoughts on his liability that
- 15 would have caused you to withdraw?
- 16 A. That was another aspect of it. Paul was
- 17 looking for the stars and the moon and I didn't see
- 18 it.
- 19 Q. And when you say Paul was looking for the
- 20 stars and the moon, you mean -- Well, what do you
- 21 mean by that?
- 22 A. He was looking for a lot of money.
- 23 Q. Okay, and what was your opinion as to David
- 24 Gagnon's liability in the case?

- Page 68
 A. Anything other than what? Pretty much
- everything was not good.
- 3 Q. Okay. I mean, anything that we haven't
- 4 really discussed here today. We've talked about
- 5 Paul's testimony, Gagnon's testimony a little bit,
- 6 the McGuires, the premises liability. We talked --
- 7 You mentioned the doctors' depositions. Is that sort
 - of the general gamut of it?
 - A. That's the whole case.
- 10 Q. Okay. Have you ever had any other chain saw
- 11 liability cases other than this particular case?
- 12 A. I'm sure I have. I don't -- If you're going
- 13 to ask me to name a date, I don't know. I mean, it's
- 4 not a common issue, but it comes up from time to
- 15 time.

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- 16 Q. Okay. Did you state -- Did you seek out a
- 17 liability expert, a chain saw liability expert,
- 18 during the time you were representing Paul?
 - A. No.
 - Q. Is there a reason for that?
- 21 A. That's always a possibility. It's always a
- 22 consideration, but I had to consider even more
 - whether we could even get to prove a credible case
- 24 and that was my first object, my first -- my first

Page 67

- A. I didn't think much of the liability issue.
- 2 I thought it was going to be a long, tough haul given
- 3 that --
- 4 Q. And --
- 5 A. -- Paul was going to be our only witness on
- 6 our side pretty much.
- 7 Q. Okay, and there were no other witnesses
- 8 other than Paul and David; is that correct?
- 9 A. Correct.
- 10 Q. And what about -- Anything related to, like,
- 11 the actual injury, the doctors' depositions or
- 12 anything like that?
- 13 A. That all -- It was the whole ball of wax.
- 14 The doctors weren't supporting his claim. Dave was
- 15 saying he's a liar, he tried to bribe him. There was
- 16 just a lot of -- a lot of bad stuff, not enough good
- 17 stuff.
- 18 Q. Okay, and then at that point you and Paul
- 19 disagreed and Paul retained alternative counsel?
- 20 A. Right.
- 21 Q. Okay. Was there anything else about the
- 22 case that you can recall right now that gave you
- 23 pause as to the liability either to the McGuires or
- 24 David Gagnon?

- Page 69 tier. It doesn't do any good to hire an expert if
- you don't have a good case.
- 3 Q. Okay. Okay. If you were going to take the
- 4 case to trial, at that point would you have hired an
 - expert, chain saw expert?
- 6 A. For this case, I don't know. I'd have to
- 7 look at it again and see what we need to prove, what
- they're arguing. There's -- As I recall, they
- 9 weren't arguing the chain saw -- They weren't
- 10 arguing. He didn't get hit with the chain saw. So
- 11 I'm not real sure. I'd have to think whether we need
- 12 to prove -- what we need to prove, anything more than
- 13 that.

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- Q. Okay.
- 15 A. It was more what happened, who caused it to
- 16 happen, not that it happened.
 - Q. Okay. Is there a difference between an independent contractor and an employee?
 - A. In terms of what? In terms of duty or what?
- 20 Q. Right. In terms of the supervisor's duty.
- 21 So if the Gagnons -- If Gagnon was, and this is a
- 22 hypothetical, if Gagnon was an employee of his
- 23 parents as opposed to an independent contractor,
- 24 would there be a liability difference?

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Page 73

Hans Mast June 25, 2020

Page 70 MR. FLYNN: Object to the hypothetical. And there are --1 1 0. 2 It's inaccurate and incomplete. A. Go ahead. 3 THE WITNESS: That's a very complicated So there would be different elements if 4 question, even though it doesn't sound like one. It something was an employer-employee situation, that 5 depends on lots of things. would be different law, different case law? BY MS. WILLIAMS: Yeah, there's a different cause of action. 6 6 7 Okay. We've already talked about an Q. Okay. independent contractor. So just in your experience Α. Different elements potentially have to be 9 and knowledge, what is a supervisor's duty as to an pled and proved. 10 employee? That's actually a really terrible 10 Okay. But in this case you were trying to 11 question. Let's strike that question. 11 prove -- In Dulberg's case against the McGuires and 12 Is there a difference -- Is there a 12 Gagnon you were trying to show that -- The theory of difference between the control aspect of -- Would the case was that Gagnon was not an employee, but an 13 14 an -- Let me start again. This is a complicated independent contractor, and the McGuires had to 15 question, more complicated than I'm anticipating 15 control him in order to be liable? 16 right now. Okay. 16 A. Well, that's ultimately what it appeared. 17 We've generally established that in order 17 You followed the evidence, you follow the facts, so 18 for an -- someone who hires an independent contractor if it turned out it was employee-employer-employee 19 to be liable for the actions of that independent relationship, that's a different evaluation. 20 contractor, they would have to control the work. In 20 Q. Okay. So but, for the most part, you 21 a situation, an employer-employee situation, is that 21 were -- your evaluations of the liability were based 22 22 control element also present when considering on an independent contractor analysis? liability? Does the employer have to control the 23 A. Well, that's where it went because of the evidence. work of the employee in the same way?

Page 71

1 A. I think there are --MR. FLYNN: I just want to raise an 3 objection for the record. I object to the form. I 4 think that the premise of the question indicated that 5 we already established some legal precedent. I don't think that's the case. I don't think that he's 6 7 testified to that, so, again, I'll just object to the 8 form. But if you can --9 THE WITNESS: You're asking me to compare 10 two different theories without a fact pattern, but 11 there's a lot to each issue and it's hard to just 12 say, well, if you have this, then you have that. There's a lot of different facts that apply, but now 13 14 I'm forgetting what you asked initially about the employer-employee question. 15 BY MS. WILLIAMS: 16 17 So I guess my question to the point of is an

employer liable for their employees in a different

I think under the law there are different

way than a homeowner would be liable for an

Based on -- You go ahead.

independent contractor?

elements to those actions.

I think --

Α.

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here or may be finished. 5 MR. FLYNN: Okay. BY MS. WILLIAMS: 6 Q. Okay. Just a couple more questions and then we'll wrap things up here. When did you first advise 9 Paul that you didn't think the claims against Gagnon were going to be very strong? A. Probably day one. 12 Before the settlement with the McGuires? 13 Α. And did you discuss that several times prior 14 Q. to that McGuire settlement? 16 Like I said, we discussed those issues every 17 time we'd meet, liability issues, damages issues. Do you recall any particular instances, like maybe after Paul's deposition, after David's 20 deposition, did that stick out in your mind at all? 21 A. Discussing what, the issues of liability 22 against Gagnon? 23 Q. Yes.

Those are probably something we talked about

MS. WILLIAMS: Okay. I'm going to go on

mute for just a second so you guys don't hear me

shuffling papers, but I think I'm almost finished

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Page 74 Page 76 every visit. operate it effectively yourself safely. 1 2 Okay. So we discussed this a little bit Sure. Okay. And --Q. before, but I believe the testimony was that the So I mean --4 McGuires testified that they purchased the chain saw 4 Okay. But today you're not giving an 5 and I believe you said yes, that was your opinion one way or the other whether they had a duty recollection as well; is that correct? 6 to provide warnings, whether they had a duty to 7 That sounds right. I just don't have an provide the manual, fair enough? 8 independent recollection at this point. Yeah, legal wise, no, I'm not giving you a 9 Q. Okay. If the McGuires -- Let's assume 9 legal opinion on that. MS. WILLIAMS: Okay. Okay, I don't think I 10 that -- Just for the purposes of this, let's assume 10 11 that the McGuires did -- it was their chain saw, they 11 have anything further. 12 purchased it and let Gagnon use it on their property. 12 MR. FLYNN: I actually have just a few Would they have any duties to share the manual of follow-ups to that. 13 14 that chain saw with Gagnon or provide any other 14 MS. WILLIAMS: Sure. 15 education as to the use of the chain saw to Gagnon? 15 EXAMINATION 16 A. All right, so you're asking me to make a 16 BY MR. FLYNN: 17 judicial decision whether they had a duty or not? 17 Q. Hans, is your understanding based on the 18 Q. No, I'm asking you in your experience with evidence that there were only two eyewitnesses to 19 these types of cases is there any duty there for 19 Mr. Dulberg's accident, correct? 20 them. 20 Α. Correct. 21 A. All right, so a legal duty? 21 That was Mr. Dulberg himself and David 0. 22 Q. Right. Right. And -- Go ahead, George. 22 Gagnon? 23 MR. FLYNN: Yeah, I'll just object. I mean, 23 Α. Correct. there isn't any evidence that Gagnon asked for a And did you have an understanding as to how 0. Page 77 Page 75 manual, for one, but as far as him providing legal the evidence and testimony shook out as to each 2 opinions not based on the facts of this case, I'm gentleman's version of the accident and how it 3 just going to caution him not to provide what could 3 occurred? be considered an expert opinion. A. Well, as I said before, I thought Paul's 5 THE WITNESS: You don't want me to answer? 6 MR. FLYNN: It's up to you. I don't know if the testimony of everybody, credibility issues, and 7 you can. the lack of evidence to support and prove. 8 THE WITNESS: I don't remember the question. 8 David Gagnon's testimony regarding the facts 9 You're asking me should the McGuires have given

10 Gagnon the manual to the chain saw?

BY MS. WILLIAMS: 11

12 Yes.

13 Α. Sure, if he asked for it or if they wanted 14 to give it to him.

15 Q. Are there any other warnings that they 16 should have provided?

17 See, I mean, you're asking me to -- I get the question, but I'm saying you're asking me to 18 19 evaluate the conduct of both parties and interpret 20 something and I don't know that that's my position as 21 a witness, but should they have warned him? You

know, sure, go ahead and warn him, but obviously when 23 you take on a piece of equipment that you're skilled

and experienced in operating, you should be able to

case was going to be very difficult to prove based on

surrounding the accident differed from Paul Dulberg's version of the facts, correct? 10

A. Correct.

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You took that into account in your 13 evaluation and analysis of the case?

> A. Definitely.

Did you also take into account your professional analysis of Paul Dulberg's performance as a witness at his discovery deposition?

18 A. Definitely.

> You didn't think he made a very good witness for himself, did he?

21 A. He even admits he didn't and I don't think he -- I think -- that was one of the worst -- that 22 23 was one of my worst fears with this case. I had lots

of cases and on a scale of weak witnesses, he's

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Page 78 Page 80 THE WITNESS: I'll waive signature. probably up at the top, and I'm not putting him down, MS. WILLIAMS: We'll order the original, that's just a reality and I think he even E-tran. acknowledged that reality. 3 MR. FLYNN: I'll take a regular and a mini 4 Q. Okay. Not everyone is a professional 5 witness? copy. 6 A. Right. Okay. Generally speaking, your evaluation of the case hinged in part on whether the McGuires 9 controlled the manner and method of the use of the 10 10 chain saw, correct? 11 11 A. Right. 12 Do you have any recollection as to what the McGuires were doing while the work was being done? 13 14 They were inside the house, just another day 14 15 15 to them. They weren't even -- I don't think even 16 16 paying attention to what was going on outside. 17 Did Mr. McGuire testify that he was watching 18 television inside the house while David was working 19 19 on the tree? 2.0 20 A. They were both inside as I recall. 21 Your recommendation or suggestion that 22 Mr. Dulberg settle the case for \$5,000 was based on 23 your analysis of the entire case, including the risks and benefits of going forward and potentially losing Page 81 Page 79 DECLARATION UNDER PENALTY OF PERJURY the case at trial, correct? 2 Α. Yes. I, HANS MAST, do hereby certify under 3 Did you have any way to predict whether the case would result in a verdict on behalf of the penalty of perjury that I have read the foregoing 4 transcript of my deposition taken on June 25, 2020; 5 plaintiff in the case against the McGuires? that I have made such corrections as appear noted 6 I'm sorry? herein in ink, initialed by me; that my testimony as 7 Did you have any -- Did you have any contained herein, as corrected, is true and correct. certainty as to whether Mr. Dulberg could prevail at 8 Dated this ____ day of ____ 9 9 trial on liability against the McGuires? 20___, at ___ 10 I would have staked a lot that we would not have recovered in the case and just something that 12 didn't come up with the direct is they didn't offer 12 13 13 the arbitrator to me. That was something that was 14 later decided. I talked to them about that. They 14 HANS MAST did not offer that to me, so that was not an option 15 16 16 to me. 17 17 So you were -- Based on your professional 18 18 judgment, you suggested that you attempt to settle 19 19 the matter as opposed to taking it to trial versus 20 the McGuires, correct? 21 A. Right. 22 MR. FLYNN: Okay. That's all I have. 22 23 23 MS. WILLIAMS: I have no follow-up. 24 THE REPORTER: Signature?

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Page 82
     STATE OF ILLINOIS )
                      ) SS:
     COUNTY OF C O O K )
 3
 4
              I, Barbara G. Smith, Certified Shorthand
 5
     Reporter and Notary Public in and for the County of
    Cook, State of Illinois, do hereby certify that on
    the 25th of June, A.D., 2020, the deposition of the
    witness, HANS MAST, called by the Defendants, was
    taken remotely before me, reported stenographically
10
     and was thereafter reduced to typewriting through
11
     computer-aided transcription.
12
             The said witness, HANS MAST, was first duly
13 sworn to tell the truth, the whole truth, and nothing
14 but the truth, and was then examined upon oral
15 interrogatories.
16
             I further certify that the foregoing is a
17 true, accurate and complete record of the questions
18
    asked of and answers made by the said witness, at the
19
     time and place hereinabove referred to.
20
             The signature of the witness was waived by
21
    agreement.
22
             The undersigned is not interested in the
    within case, nor of kin or counsel to any of the
24
    parties.
                                                  Page 83
              Witness my official signature and seal as
    Notary Public, in and for Cook County, Illinois on
 3
     this 7th day of July, A.D., 2020.
 5
                    Bubaca & Smith
 6
 7
                   Barbara G. Smith, CSR, RPR
                   Notary Public
 9
                   200 West Jackson Boulevard, Suite 600
                   Chicago, Illinois 60606
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     License No. 084-002753
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Exhibits	\$	19 38:8	3:00 34:6
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